



# High Availability

FortiOS™ Handbook v3  
for FortiOS 4.0 MR3



FortiOS™ Handbook High Availability

v3

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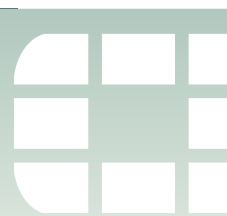
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## Contents

<b>Introduction</b>	<b>11</b>
Before you begin . . . . .	11
Before you set up a cluster. . . . .	11
Revision history. . . . .	12
How this guide is organized . . . . .	13
FortiOS 4.0 MR3 HA new features . . . . .	14
<b>Solving the High Availability problem</b>	<b>15</b>
FortiGate Cluster Protocol (FGCP) . . . . .	15
TCP session synchronization. . . . .	16
VRRP . . . . .	16
<b>An introduction to the FortiGate Clustering Protocol (FGCP)</b>	<b>19</b>
About the FGCP . . . . .	20
FGCP failover protection. . . . .	21
Session Failover . . . . .	21
Load Balancing. . . . .	21
Virtual Clustering . . . . .	21
Full Mesh HA . . . . .	22
Cluster Management . . . . .	22
Configuring a FortiGate unit for FGCP HA operation . . . . .	23
Connecting a FortiGate HA cluster. . . . .	24
Active-passive and active-active HA . . . . .	25
Active-passive HA (failover protection) . . . . .	26
Active-active HA (load balancing and failover protection) . . . . .	26
Identifying the cluster and cluster units . . . . .	27
Group name . . . . .	27
Password. . . . .	27
Group ID . . . . .	28
Device failover, link failover, and session failover . . . . .	28
Primary unit selection. . . . .	28
Primary unit selection and monitored interfaces. . . . .	30
Primary unit selection and age . . . . .	31
Primary unit selection and device priority . . . . .	34
Primary unit selection and FortiGate unit serial number . . . . .	35
Points to remember about primary unit selection . . . . .	36

HA override . . . . .	36
Override and primary unit selection . . . . .	37
Controlling primary unit selection using device priority and override . . . . .	38
Points to remember about primary unit selection when override is enabled . . . . .	38
Configuration changes can be lost if override is enabled . . . . .	39
Override and disconnecting a unit from a cluster . . . . .	40
FortiGate HA compatibility with PPPoE and DHCP . . . . .	40
Hard disk configuration and HA . . . . .	41
Recommended practices. . . . .	41
Heartbeat interfaces . . . . .	42
Interface monitoring (port monitoring) . . . . .	42
Troubleshooting . . . . .	42
FGCP HA terminology . . . . .	43
HA web-based manager options. . . . .	46
<b>Configuring and connecting HA clusters</b>	<b>49</b>
About the procedures in this chapter . . . . .	49
Example: NAT/Route mode active-passive HA configuration . . . . .	49
Example NAT/Route mode HA network topology . . . . .	50
General configuration steps . . . . .	50
Configuring a NAT/Route mode active-passive cluster of two FortiGate-620B units - web-based manager. . . . .	51
Configuring a NAT/Route mode active-passive cluster of two FortiGate-620B units - CLI . . . . .	55
Example: Transparent mode active-active HA configuration . . . . .	61
Example Transparent mode HA network topology . . . . .	61
General configuration steps . . . . .	62
Configuring a Transparent mode active-active cluster of two FortiGate-620B units - web-based manager. . . . .	62
Configuring a Transparent mode active-active cluster of two FortiGate-620B units - CLI . . . . .	67
Example: advanced Transparent mode active-active HA configuration . . . . .	74
Example Transparent mode HA network topology . . . . .	74
Configuring a Transparent mode active-active cluster of three FortiGate-5005FA2 units - web-based manager. . . . .	75
Configuring a Transparent mode active-active cluster of three FortiGate-5005FA2 units - CLI . . . . .	78
Example: converting a standalone FortiGate unit to a cluster . . . . .	82
Example: adding a new unit to an operating cluster . . . . .	83
Example: replacing a failed cluster unit . . . . .	84



Example: HA and 802.3ad aggregated interfaces . . . . .	85
HA interface monitoring, link failover, and 802.3ad aggregation . . . . .	86
HA MAC addresses and 802.3ad aggregation. . . . .	86
Link aggregation, HA failover performance, and HA mode . . . . .	86
General configuration steps . . . . .	87
Configuring active-passive HA cluster that includes aggregated interfaces - web-based manager . . . . .	87
Configuring active-passive HA cluster that includes aggregate interfaces - CLI . . . . .	93
Example: HA and redundant interfaces . . . . .	99
HA interface monitoring, link failover, and redundant interfaces . . . . .	99
HA MAC addresses and redundant interfaces. . . . .	99
Connecting multiple redundant interfaces to one switch while operating in active-passive HA mode . . . . .	100
Connecting multiple redundant interfaces to one switch while operating in active-active HA mode. . . . .	100
General configuration steps . . . . .	100
Configuring active-passive HA cluster that includes redundant interfaces - web-based manager . . . . .	100
Configuring active-passive HA cluster that includes redundant interfaces - CLI . . . . .	106
Troubleshooting HA clusters . . . . .	111
Before you set up a cluster. . . . .	112
Troubleshooting the initial cluster configuration . . . . .	112
More troubleshooting information . . . . .	114
<b>Configuring and connecting virtual clusters . . . . .</b>	<b>117</b>
Virtual clustering overview . . . . .	117
Virtual clustering and failover protection. . . . .	117
Virtual clustering and heartbeat interfaces. . . . .	118
Virtual clustering and HA override . . . . .	118
Virtual clustering and load balancing or VDOM partitioning . . . . .	119
Configuring HA for virtual clustering . . . . .	119
Example: virtual clustering with two VDOMs and VDOM partitioning . . . . .	121
Example virtual clustering network topology . . . . .	121
General configuration steps . . . . .	122
Configuring virtual clustering with two VDOMs and VDOM partitioning - web-based manager . . . . .	123
Configuring virtual clustering with two VDOMs and VDOM partitioning - CLI. . .	128
Example: inter-VDOM links in a virtual clustering configuration . . . . .	135
Configuring inter-VDOM links in a virtual clustering configuration. . . . .	136
Troubleshooting virtual clustering . . . . .	137

<b>Configuring and operating FortiGate full mesh HA</b>	<b>139</b>
Full mesh HA overview . . . . .	139
Full mesh HA and redundant heartbeat interfaces. . . . .	140
Full mesh HA, redundant interfaces and 802.3ad aggregate interfaces. . . . .	141
Example: full mesh HA configuration. . . . .	141
FortiGate-620B full mesh HA configuration . . . . .	142
Full mesh switch configuration. . . . .	142
Full mesh network connections . . . . .	142
How packets travel from the internal network through the full mesh cluster and to the Internet . . . . .	142
Configuring FortiGate-620B units for HA operation - web-based manager . . . .	143
Configuring FortiGate-620B units for HA operation - CLI . . . . .	148
Troubleshooting full mesh HA . . . . .	153
<b>Operating a cluster</b>	<b>155</b>
Operating a cluster . . . . .	155
Operating a virtual cluster . . . . .	156
Managing individual cluster units using a reserved management interface . . . . .	157
Configuring the reserved management interface and SNMP remote management of individual cluster units . . . . .	158
The primary unit acts as a router for subordinate unit management traffic . . . . .	162
Cluster communication with RADIUS and LDAP servers . . . . .	163
Clusters and FortiGuard services . . . . .	163
FortiGuard and active-passive clusters . . . . .	163
FortiGuard and active-active clusters . . . . .	163
FortiGuard and virtual clustering. . . . .	164
Clusters and logging . . . . .	164
Viewing and managing log messages for individual cluster units . . . . .	164
HA log messages. . . . .	166
Example log messages. . . . .	166
Fortigate HA message "HA master heartbeat interface <intf_name> lost neighbor information" . . . . .	169
Clusters and SNMP. . . . .	171
SNMP get command syntax for the primary unit . . . . .	171
SNMP get command syntax for any cluster unit. . . . .	173
Getting serial numbers of cluster units. . . . .	174
SNMP get command syntax - reserved management interface enabled . . . . .	174
Clusters and file quarantine . . . . .	174
Cluster members list . . . . .	175
Virtual cluster members list. . . . .	177
Viewing HA statistics . . . . .	177
Changing the HA configuration of an operating cluster . . . . .	179

Changing the HA configuration of an operating virtual cluster . . . . .	179
Changing the subordinate unit host name and device priority . . . . .	179
Upgrading cluster firmware . . . . .	180
Changing how the cluster processes firmware upgrades . . . . .	181
Synchronizing the firmware build running on a new cluster unit . . . . .	181
Downgrading cluster firmware . . . . .	181
Backing up and restoring the cluster configuration . . . . .	182
Monitoring cluster units for failover . . . . .	183
Viewing cluster status from the CLI . . . . .	183
Examples . . . . .	186
About the HA cluster index and the execute ha manage command . . . . .	188
Managing individual cluster units . . . . .	191
Disconnecting a cluster unit from a cluster . . . . .	192
Adding a disconnected FortiGate unit back to its cluster . . . . .	193
<b>HA and failover protection</b> . . . . .	<b>195</b>
About active-passive failover . . . . .	195
Device failure . . . . .	196
Link failure . . . . .	196
Session failover . . . . .	196
Primary unit recovery . . . . .	197
About active-active failover . . . . .	197
Device failover . . . . .	197
HA heartbeat and communication between cluster units . . . . .	198
Heartbeat interfaces . . . . .	199
Connecting HA heartbeat interfaces . . . . .	200
Heartbeat interfaces and FortiGate switch interfaces . . . . .	200
Heartbeat packets and heartbeat interface selection . . . . .	200
Interface index and display order . . . . .	201
HA heartbeat interface IP addresses . . . . .	201
Heartbeat packet Ethertypes . . . . .	202
Modifying heartbeat timing . . . . .	203
Enabling or disabling HA heartbeat encryption and authentication . . . . .	205
Cluster virtual MAC addresses . . . . .	205
Changing how the primary unit sends gratuitous ARP packets after a failover . . . . .	206
How the virtual MAC address is determined . . . . .	207
Displaying the virtual MAC address . . . . .	209
Diagnosing packet loss with two FortiGate HA clusters in the same broadcast domain . . . . .	210

Synchronizing the configuration . . . . .	212
Disabling automatic configuration synchronization . . . . .	212
Incremental synchronization . . . . .	213
Periodic synchronization . . . . .	213
Console messages when configuration synchronization succeeds . . . . .	214
Console messages when configuration synchronization fails . . . . .	214
Comparing checksums of cluster units . . . . .	216
How to diagnose HA out of sync messages . . . . .	217
Synchronizing routing table updates . . . . .	219
Configuring graceful restart for dynamic routing failover . . . . .	219
Controlling how the FGCP synchronizes routing updates . . . . .	220
Synchronizing IPsec VPN SAs . . . . .	221
Link failover . . . . .	222
If a monitored interface on the primary unit fails . . . . .	224
If a monitored interface on a subordinate unit fails . . . . .	224
How link failover maintains traffic flow . . . . .	225
Recovery after a link failover . . . . .	226
Testing link failover . . . . .	226
Updating MAC forwarding tables when a link failover occurs . . . . .	226
Multiple link failures . . . . .	227
Example link failover scenarios . . . . .	227
Subsecond failover . . . . .	228
Remote link failover . . . . .	228
Adding HA remote IP monitoring to multiple interfaces . . . . .	230
Changing the ping server failover threshold . . . . .	231
Monitoring multiple IP addresses from one interface . . . . .	232
Flip timeout . . . . .	232
Detecting HA remote IP monitoring failovers . . . . .	232
Session failover (session pick-up) . . . . .	233
Improving session synchronization performance . . . . .	233
Session failover not supported for all sessions . . . . .	234
SIP session failover . . . . .	235
Session failover and explicit web proxy, WCCP, and WAN optimization sessions . . . . .	236
Session failover and SSL offloading and HTTP multiplexing . . . . .	236
IPsec VPN and SSL VPN sessions . . . . .	236
PPTP and L2TP VPN sessions . . . . .	236
Session failover and UDP, ICMP, multicast and broadcast packets . . . . .	236
FortiOS Carrier GTP session failover . . . . .	237
Active-active HA subordinate units sessions can resume after a failover . . . . .	237
WAN optimization and HA . . . . .	237
Failover and attached network equipment . . . . .	238
Monitoring cluster units for failover . . . . .	238

NAT/Route mode active-passive cluster packet flow . . . . .	238
Packet flow from client to web server . . . . .	239
Packet flow from web server to client . . . . .	239
When a failover occurs . . . . .	240
Transparent mode active-passive cluster packet flow . . . . .	240
Packet flow from client to mail server . . . . .	241
Packet flow from mail server to client . . . . .	241
When a failover occurs . . . . .	242
Failover performance . . . . .	242
Device failover performance . . . . .	242
Link failover performance . . . . .	243
Reducing failover times . . . . .	243
<b>HA and load balancing</b> . . . . .	<b>245</b>
Load balancing overview . . . . .	245
Load balancing schedules . . . . .	246
Selecting which packets are load balanced . . . . .	247
More about active-active failover . . . . .	247
HTTPS sessions, active-active load balancing, and proxy servers . . . . .	248
Using FortiGate network processor interfaces to accelerate active-active HA performance . . . . .	248
Configuring load balancing settings . . . . .	249
Selecting a load balancing schedule . . . . .	249
Load balancing UTM sessions and TCP sessions . . . . .	249
Configuring weighted-round-robin weights . . . . .	250
Dynamically optimizing weighted load balancing according to how busy cluster units are . . . . .	251
NAT/Route mode active-active cluster packet flow . . . . .	255
Packet flow from client to web server . . . . .	255
Packet flow from web server to client . . . . .	256
When a failover occurs . . . . .	257
Transparent mode active-active cluster packet flow . . . . .	257
Packet flow from client to mail server . . . . .	258
Packet flow from mail server to client . . . . .	259
When a failover occurs . . . . .	259
<b>HA with third-party products</b> . . . . .	<b>261</b>
Troubleshooting layer-2 switches . . . . .	261
Forwarding delay on layer 2 switches . . . . .	262
Failover issues with layer-3 switches . . . . .	262
Changing spanning tree protocol settings for some switches . . . . .	262
Spanning Tree protocol (STP) . . . . .	263
Bridge Protocol Data Unit (BPDU) . . . . .	263
Failover and attached network equipment . . . . .	263

Ethertype conflicts with third-party switches. . . . .	263
LACP, 802.3ad aggregation and third-party switches . . . . .	264
<b>VRRP</b> . . . . .	<b>265</b>
Adding a VRRP virtual router to a FortiGate interface . . . . .	266
VRRP virtual MAC address. . . . .	266
Configuring VRRP . . . . .	267
Example VRRP configuration: two FortiGate units in a VRRP group . . . . .	267
Example VRRP configuration: VRRP load balancing two FortiGate units and two VRRP groups . . . . .	269
Optional VRRP configuration settings . . . . .	270
<b>TCP session synchronization</b> . . . . .	<b>271</b>
Notes and limitations . . . . .	272
Configuring session synchronization . . . . .	272
Configuring the session synchronization link. . . . .	273
Basic example configuration . . . . .	274
<b>Appendix</b> . . . . .	<b>277</b>
Document conventions . . . . .	277
IPv4 IP addresses . . . . .	277
Example Network . . . . .	278
Tips, must reads, and troubleshooting. . . . .	279
Typographical conventions . . . . .	279
Registering your Fortinet product . . . . .	280
Training Services . . . . .	280
Technical Documentation . . . . .	280
Comments on Fortinet technical documentation . . . . .	280
Customer service and support . . . . .	280
Fortinet products End User License Agreement . . . . .	280
<b>Index</b> . . . . .	<b>281</b>



# Introduction

This document describes FortiGate HA, the FortiGate Clustering Protocol (FGCP), and FortiGate standalone TCP session synchronization, and FortiGate VRRP.

This chapter contains the following sections:

- [Before you begin](#)
- [Revision history](#)
- [How this guide is organized](#)
- [FortiOS 4.0 MR3 HA new features](#)

## Before you begin

Before you begin using this guide, take a moment to note the following:

- If you enable virtual domains (VDOMs), HA is configured globally for the entire FortiGate unit and the configuration is called virtual clustering. For details about VDOMs and configuring global settings, see the [FortiGate VLAN and VDOM Guide](#).
- This HA guide is based on the assumption that you are a FortiGate administrator. It is not intended for others who may also use the FortiGate unit, such as FortiClient administrators or end users.
- The configuration examples show steps for both the web-based manager (GUI) and the CLI. For more information about using the web-based manager see your FortiGate unit's online help. For more information about using the CLI, see the [FortiGate CLI Reference](#):

At this stage, the following installation and configuration conditions are assumed:

- You have two or more FortiGate units of the same model available for configuring and connecting to form an HA cluster. You have a copy of the QuickStart Guide for the FortiGate units. You can download FortiGate QuickStart Guides and installation guides from the FortiGate documentation page: <http://docs.fortinet.com/fgt.html>.
- You have administrative access to the web-based manager and CLI.

Many of the configuration examples in this document begin FortiGate unit configured with the factory default configuration. This is optional, but may make the examples easier to follow. As well, you do not need to have installed the FortiGate units on your network before using the examples in this document.

## Before you set up a cluster

Before you set up a cluster ask yourself the following questions about the FortiGate units that you are planning to use to create a cluster.

- 1 Do all the FortiGate units have the same hardware configuration? Including the same hard disk configuration and the same AMC cards installed in the same slots?
- 2 Do all FortiGate units have the same firmware build?
- 3 Are all FortiGate units set to the same operating mode (NAT or Transparent)?
- 4 Are all the FortiGate units operating in the same VDOM mode?

- 5 If the FortiGate units are operating in multiple VDOM mode do they all have the same VDOM configuration?



In some cases you may be able to form a cluster if different FortiGate units have different firmware builds, different VDOM configurations, and are in different operating modes. However, if you encounter problems they may be resolved by installing the same firmware build on each unit, and give them the same VDOM configuration and operating mode.

## Revision history

Version	Description of changes
01-431-99686-20120204	<p>Changes to the following sections:</p> <ul style="list-style-type: none"> <li>• New format</li> <li>• New section: “HA web-based manager options” on <a href="#">page 46</a></li> <li>• Corrected IP address errors in <a href="#">Figure 19 on page 159</a></li> </ul>
01-431-99686-20110623	<p>Changes to the following sections:</p> <ul style="list-style-type: none"> <li>• “Subsecond failover” on <a href="#">page 228</a></li> <li>• “Remote link failover” on <a href="#">page 228</a></li> <li>• Added “The HA priority setting for a ping server (or dead gateway detection) configuration” to the section “Synchronizing the configuration” on <a href="#">page 212</a>.</li> </ul>
01-430-99686-20110217	<p>Updated to FortiOS 4.0 MR3. Added the following sections:</p> <ul style="list-style-type: none"> <li>• “Changing the cluster age difference margin” on <a href="#">page 31</a></li> <li>• “Improving session synchronization performance” on <a href="#">page 233</a></li> <li>• “Dynamically optimizing weighted load balancing according to how busy cluster units are” on <a href="#">page 251</a></li> <li>• “VRRP virtual MAC address” on <a href="#">page 266</a></li> </ul> <p>Changes to the following sections:</p> <ul style="list-style-type: none"> <li>• “Recommended practices” on <a href="#">page 41</a> for optimizing performance when session pickup is enabled</li> <li>• “Subsecond failover” on <a href="#">page 228</a></li> <li>• “Configuring weighted-round-robin weights” on <a href="#">page 250</a></li> </ul>
01-420-99686-20101024	<p>Added “Cluster age difference margin (grace period)” on <a href="#">page 31</a> and made changes to “Primary unit selection and age” on <a href="#">page 31</a> and “Upgrading cluster firmware” on <a href="#">page 180</a>.</p>



Version	Description of changes
01-420-99686-20101014	<p>Changes include:</p> <ul style="list-style-type: none"> <li>Added <a href="#">“VRRP” on page 265</a>.</li> <li>Added <a href="#">“TCP session synchronization” on page 271</a>.</li> <li>Added <a href="#">“Troubleshooting full mesh HA” on page 153</a>.</li> <li>Updated graphics to the new graphics format</li> <li>Corrected SNMP MIB and OID-related errors in <a href="#">“To get CPU, memory, and network usage of each cluster unit using the reserved management IP addresses” on page 161</a> and <a href="#">“Clusters and SNMP” on page 171</a>.</li> </ul>
01-420-99686-20100330	<p>Updated the following sections:</p> <ul style="list-style-type: none"> <li><a href="#">“Updating MAC forwarding tables when a link failover occurs” on page 226</a> corrected the description of the <code>link-failed-signal</code> command.</li> </ul>
01-420-99686-20100330	<p>Updated to FortiOS 4.0 MR2. Added the following sections:</p> <ul style="list-style-type: none"> <li><a href="#">“Subsecond failover” on page 228</a></li> <li><a href="#">“Reducing failover times” on page 243</a></li> </ul> <p>Changes to the following sections:</p> <ul style="list-style-type: none"> <li><a href="#">“Active-active HA (load balancing and failover protection)” on page 26</a></li> <li><a href="#">“Load balancing UTM sessions and TCP sessions” on page 249</a></li> <li><a href="#">“Virtual clustering overview” on page 117</a></li> <li><a href="#">“Session failover (session pick-up)” on page 233</a></li> </ul>
01-410-99686-20100129	<p>Re-written for the FortiOS Handbook.</p> <p>This FortiOS 4.0 MR1 document also describes the following features expected to be released as part of FortiOS 4.0 MR2:</p> <ul style="list-style-type: none"> <li>Changing the Ethertype of HA heartbeat packets. See <a href="#">“Heartbeat packet Ethernets” on page 202</a></li> <li><a href="#">“Managing individual cluster units using a reserved management interface” on page 157</a></li> </ul>
01-410-99686-20100202	<p>Minor corrections and changes to <a href="#">“HA and failover protection” on page 195</a> and <a href="#">“HA and load balancing” on page 245</a>.</p>

## How this guide is organized

This document contains detailed information about how FortiGate HA and the FortiGate Clustering Protocol (FGCP) works. This document all describes all FortiGate HA configuration options, contains detailed configuration examples, and describes how to operate FortiGate clusters. Future versions of this document will include more and more configuration examples and more information about HA functionality.

This FortiOS Handbook chapter contains the following sections:

[Introduction](#) (this chapter) briefly introduces this document.

[Solving the High Availability problem](#) describes the high availability problem and introduces the FortiOS solutions described in this document (FGCP, VRRP, and standalone session synchronization).

[An introduction to the FortiGate Clustering Protocol \(FGCP\)](#) introduces the FGCP clustering protocol and many of its features and terminology.

[Configuring and connecting HA clusters](#) describes configuring HA clusters and contains HA clustering configuration examples.

[Configuring and connecting virtual clusters](#) describes configuring HA virtual clusters and contains virtual clustering configuration examples.

[Configuring and operating FortiGate full mesh HA](#) describes configuring FortiGate Full mesh HA and contains a full mesh HA configuration example.

[Operating a cluster](#) describes how to operate a cluster and includes detailed information about how various FortiGate systems operate differently in a cluster.

[HA and failover protection](#) describes in detail how FortiGate HA device failover, link failover, and session failover work.

[HA and load balancing](#) describes in detail how FortiGate HA active-active load balancing load balances sessions.

[HA with third-party products](#) describes how FortiGate units interact with third-party products.

[VRRP](#) describes FortiOS support of the Virtual Router Redundancy Protocol (VRRP) and its use for high availability.

[TCP session synchronization](#) describes the FortiGate standalone session synchronization feature and its use for high availability.

## FortiOS 4.0 MR3 HA new features

New FortiOS 4.0 MR3 HA features are described in the following sections:

- [“Changing the cluster age difference margin”](#) on page 31.
- [“Using multiple FortiGate interfaces for session synchronization”](#) on page 234
- [“Subsecond failover”](#) on page 228
- [“Configuring weighted-round-robin weights”](#) on page 250
- [“Dynamically optimizing weighted load balancing according to how busy cluster units are”](#) on page 251
- [“VRRP virtual MAC address”](#) on page 266



# Solving the High Availability problem

The basic high availability (HA) problem for TCP/IP networks and security gateways is keeping network traffic flowing. Uninterrupted traffic flow is a critical component for online systems and media because critical business processes quickly come to a halt when the network is down.

The security gateway is a crucial component of most networks since all traffic passes through it. A standalone network security gateway is a single point of failure that is vulnerable to any number of software or hardware problems that could compromise the device and bring all traffic on the network to a halt.

A common solution to the high availability problem is to eliminate the security gateway as single point of failure by introducing redundancy. With two or more redundant security gateways, if one fails, the remaining one or more gateways keep the traffic flowing. FortiOS provides three redundancy solutions: VRRP, TCP session synchronization, and Fortinet's proprietary FortiGate Cluster Protocol (FGCP) high availability solution.

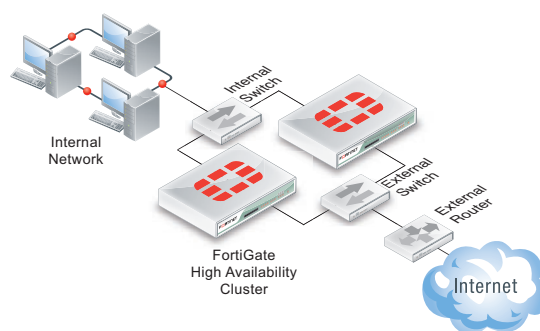


FGCP HA, TCP session synchronization and VRRP are not compatible. You cannot configure more than one of these high availability methods on the same FortiGate unit.

A strong and flexible High availability solution is required for many mission-critical firewall and UTM applications. Each FortiOS high availability solution can be fine tuned to fit into many different network scenarios.

## FortiGate Cluster Protocol (FGCP)

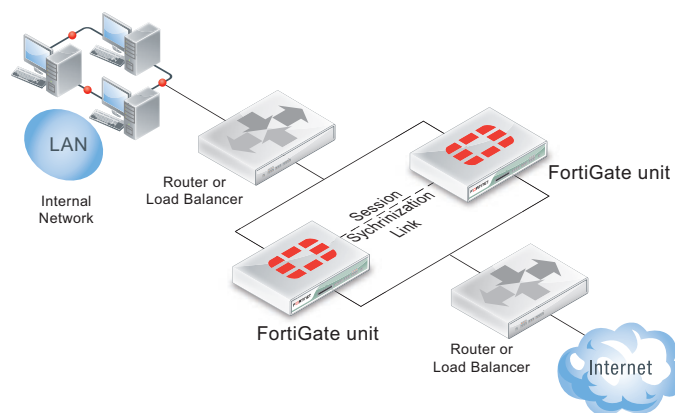
FGCP HA provides a solution for two key requirements of critical enterprise networking components: enhanced reliability and increased performance. Enhanced reliability is achieved through device failover protection, link failover protection and remote link failover protection. Increased performance is achieved through active-active HA load balancing. Extended FGCP features include full mesh HA and virtual clustering. You can also fine tune the performance of the FGCP to change how a cluster forms and shares information among cluster units and how the cluster responds to failures. When configured onto your network an FGCP cluster appears to be a single FortiGate unit operating in NAT/Route or Transparent mode. If a failover occurs, the cluster recovers quickly and automatically and also sends administrator notifications so that the problem that caused the failure can be corrected and any failed equipment restored.



The FGCP is compatible with most network environments and most networking equipment. While initial configuration is relatively quick and easy, a large number of tools and configuration options are available to fine tune the cluster for most situations.

## TCP session synchronization

In a network that already includes load balancing (either with load balancers or routers) for traffic redundancy, two or more FortiGate units can be integrated into the load balancing configuration by enabling TCP session synchronization (also called standalone session synchronization) between them. The external load balancers or routers can distribute TCP sessions among the FortiGate units and TCP session synchronization keeps both FortiGate unit's session tables synchronized.

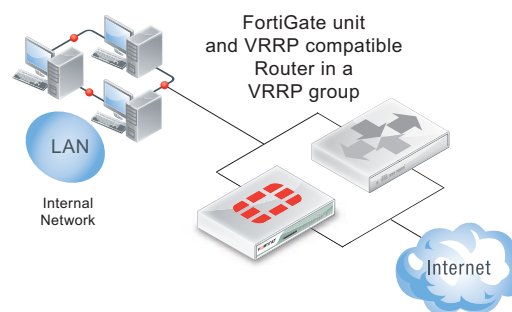


If one of the FortiGate units fails, session failover occurs and active TCP sessions fail over to the unit that is still operating. This failover occurs without any loss of data. As well, the external routers or load balancers detect the failover and re-distribute all sessions to the unit that is still operating.

Unlike the FCGP, TCP session synchronization does not include configuration synchronization. In fact, the configurations of the two FortiGate units (sometimes called peers) are not identical since in most cases the peers would have different IP addresses. Also unlike the FCGP, load balancing and session failover is done by external routers or load balancers instead of by the FGCP. The FortiGate units just perform session synchronization which supports the session failover.

## VRRP

FortiGate units can function as master or backup Virtual Router Redundancy Protocol (VRRP) routers and can be quickly and easily integrated into a network that has already deployed VRRP. A FortiGate unit can be integrated into a VRRP group with any third-party VRRP devices and VRRP can provide redundancy between multiple FortiGate units.



In a VRRP configuration, when a FortiGate unit operating as the master unit fails, a backup unit takes its place and continues processing network traffic. If the backup unit is a FortiGate unit, the network continues to benefit from FortiOS security features. If the backup unit is a router, after a failure traffic will continue to flow, but FortiOS security features will be unavailable until the FortiGate unit is back on line. You can include different FortiGate models in the same VRRP group.

FortiOS supports VRRP between two or more FortiGate units and between FortiGate units and third-party routers that support VRRP. Using VRRP you can assign VRRP routers as master or backup routers. The master router processes traffic and the backup routers monitor the master router and can begin forwarding traffic if the master fails. Similar to the FGCP you can configuration VRRP between multiple FortiGate units to provide redundancy. You can also create a VRRP group with a FortiGate units and any routers that support VRRP.

In a VRRP configuration that consists of one FortiGate unit and one router, normally the FortiGate unit would be the master and all traffic would be processed by the FortiGate unit. If the FortiGate unit fails, all traffic switches to the router. Network connectivity is maintained even though FortiGate security features will be unavailable until the FortiGate unit can is back on line.





# An introduction to the FortiGate Clustering Protocol (FGCP)

A FortiGate HA cluster consists of two to four FortiGate units configured for HA operation. Each FortiGate unit in a cluster is called a cluster unit. All cluster units must be the same FortiGate model with the same FortiOS firmware build installed. All cluster units must also have the same hardware configuration (for example, the same AMC modules installed in the same slots, the same number of hard disks and so on) and be running in the same operating mode (NAT/Route mode or Transparent mode).



You can create an FGCP cluster of up to four FortiGate units.

On startup, after configuring the cluster units with the same HA configuration, the cluster units use the FortiGate Clustering Protocol (FGCP) to find other FortiGate units configured for HA operation and to negotiate to create a cluster. During cluster operation, the FGCP shares communication and synchronization information among the cluster units. This communication and synchronization is called the FGCP heartbeat or the HA heartbeat. Often, this is shortened to just heartbeat. For a cluster to form, the cluster units must be able to communicate using their configured heartbeat interfaces.

The cluster uses the FGCP to select the primary unit, and to provide device, link and session failover. The FGCP also manages the two HA modes; active-passive (failover HA) and active-active (load balancing HA).

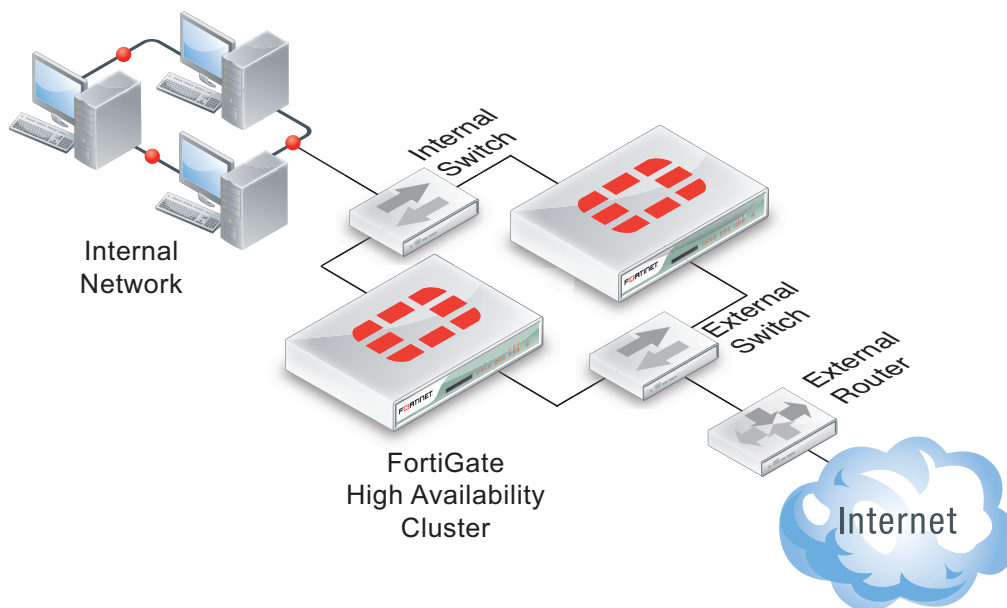
This chapter describes.

- [About the FGCP](#)
- [Configuring a FortiGate unit for FGCP HA operation](#)
- [Active-passive and active-active HA](#)
- [Identifying the cluster and cluster units](#)
- [Device failover, link failover, and session failover](#)
- [Primary unit selection](#)
- [HA override](#)
- [FortiGate HA compatibility with PPPoE and DHCP](#)
- [Hard disk configuration and HA](#)
- [Recommended practices](#)
- [FGCP HA terminology](#)
- [HA web-based manager options](#)

## About the FGCP

FortiGate HA is implemented by configuring two or more FortiGate units to operate as an HA cluster. To the network, the HA cluster appears to function as a single FortiGate unit, processing network traffic and providing normal security services such as firewalling, Unified Threat Management (UTM) and VPN services.

**Figure 1: HA cluster installed between an internal network and the Internet**



Inside the cluster the individual FortiGate units are called cluster units. These cluster units share state and configuration information. If one cluster unit fails, the other units in the cluster automatically replace that unit, taking over the work that the failed unit was doing. After the failure, the cluster continues to process network traffic and provide normal FortiGate services with virtually no interruption.

Every FortiGate cluster contains one primary unit (also called the master unit) and one or more subordinate units (also called slave or backup units). The primary unit controls how the cluster operates. The roles that the primary and subordinate units play in the cluster depend on the mode in which the cluster operates. See [“Active-passive HA \(failover protection\)” on page 26](#) and [“Active-active HA \(load balancing and failover protection\)” on page 26](#).

The ability of an HA cluster to continue providing firewall services after a failure is called failover. FortiGate HA failover means that your network does not have to rely on one FortiGate unit to continue functioning. You can install additional units and form an HA cluster. Other units in the cluster will take over if one of the units fails.

A second HA feature, called load balancing, can be used to increase performance. A cluster of FortiGate units can increase overall network performance by sharing the load of processing network traffic and providing Unified Threat Management (UTM) services. The cluster appears to your network to be a single device, adding increased performance without changing your network configuration.



Virtual clustering extends HA features to provide failover protection and load balancing for a FortiGate operating with virtual domains. A virtual cluster consists of a cluster of two FortiGate units operating with virtual domains. Traffic on different virtual domains can be load balanced between the cluster units. For details about virtual clustering, see [“Configuring and connecting virtual clusters” on page 117](#).

FortiGate models that support redundant interfaces can be configured to support a clustering configuration called full mesh HA. Full mesh HA is a method of reducing the number of single points of failure on a network that includes an HA cluster. For details about full mesh HA, see [“Configuring and operating FortiGate full mesh HA” on page 139](#).

## FGCP failover protection

The FGCP provides IP/MAC takeover for failover protection by assigning virtual MAC addresses to the primary cluster unit and then sending gratuitous ARP packets from the primary unit interfaces to reprogram the network.

Failover times can be less than a second under optimal conditions. You can fine tune failover performance for your network by adjusting cluster status checking, routing table update, and wait timers.

An HA cluster fails over if the primary unit experiences a device or link failure. The cluster can detect link failures for connections to the primary unit using port monitoring and for connections between downstream network components using remote IP monitoring. To compensate for a link failover, the cluster maintains active links to keep traffic flowing between high-priority networks. Port and remote IP monitoring can be fine tuned without disrupting cluster operation.

## Session Failover

FGCP session failover maintains TCP, SIP and IPsec VPN sessions after a failure. Session failover does not failover UDP, multicast, ICMP, or SSL VPN sessions. Session failover may not be required for all networks because many TCP/IP protocols can resume sessions on their own. Supporting session failover adds extra overhead to cluster operations and can be disabled to improve cluster performance if its not required.

## Load Balancing

Active-active HA load balances resource-intensive UTM processing among all cluster units to provide better UTM performance than a standalone FortiGate unit. If network traffic consists of mainly TCP sessions, the FGCP can also load balance all TCP sessions to improve TCP performance in some network configurations. You can use accelerated FortiGate interfaces to also accelerate HA load balancing and HA load balancing schedules can be adjusted to optimize performance for the traffic mix on your network. Weighted load balancing can be used to control the relative amount of sessions processed by each cluster unit.

## Virtual Clustering

Virtual clustering is an extension of the FGCP for a cluster of 2 FortiGate units operating with multiple VDOMS enabled. Not only does virtual clustering provide failover protection for a multiple VDOM configuration, but a virtual cluster can load balance traffic between the cluster units. Load balancing with virtual clustering is quite efficient and load balances all traffic (not just UTM and TCP traffic). Its possible to fine tune virtual clustering load balancing in real time to actively optimize load sharing between the cluster units without affecting the smooth operation of the cluster.

## Full Mesh HA

High availability improves the reliability of a network by replacing a single point of failure (a single FortiGate unit) with a cluster that can maintain network traffic if one of the cluster units fails. However, in a cluster configuration single points of failure remain. Full mesh HA removes these single points of failure by allowing you to connect redundant switches to each cluster interface. Full mesh HA is achieved by configuring 802.3ad aggregate or redundant interfaces on the FortiGate unit and connecting redundant switches to these interfaces. Configuration is a relatively simple extension of the normal aggregate/redundant interface and HA configurations.

## Cluster Management

FortiOS HA provides a wide range of cluster management features:

- Automatic continuous configuration synchronization. You can get a cluster up and running almost as quickly as a standalone FortiGate unit by performing a few basic steps to configure HA settings and minimal network settings on each cluster unit. When the cluster is operating you can make start configuring FortiGate features such as UTM and IPsec VPN in the same way as for a standalone FortiGate unit. All configuration changes (even complex changes such as switching to multiple VDOM mode or from NAT/Route to Transparent mode) are synchronized among all cluster units.
- Firmware upgrades/downgrades. Upgrading or downgrading cluster firmware is similar to upgrading or downgrading standalone FortiGate firmware. The Firmware is uploaded once to the primary unit and the cluster automatically upgrades or downgrades all cluster units in one operation with minimal or no service interruption.
- Individual cluster unit management. In some cases you may want to manage individual cluster units. You can do so from cluster CLI by navigating to each cluster unit. You can also use the reserved management interface feature to give each cluster unit its own IP address and default route. You can use the reserved management interfaces and IP addresses to connect to the GUI and CLI of each cluster unit and configure an SNMP server to poll each cluster unit.
- Removing and adding cluster units. In one simple step any unit (even the primary unit) can be removed from a cluster and given a new IP address. The cluster keeps operating as it was; the transition happening without interrupting cluster operation. Any unit can also be added to an operating cluster without disrupting network traffic. All you have to do is connect the new unit and change its HA configuration to match the cluster's. The cluster automatically finds and adds the unit and synchronizes its configuration with the cluster.
- Debug and diagnose commands. A full range of debug and diagnose commands can be used to report on HA operation and find and fix problems.
- Logging and reporting. All cluster units can be configured to record all log messages. These messages can be stored on the individual cluster units or sent to a FortiAnalyzer unit. You can view all cluster unit log messages by logging into any cluster unit.
- FortiManager support. FortiManager understands FortiOS HA and automatically recognizes when you add a FortiOS cluster to the FortiManager configuration.

## Configuring a FortiGate unit for FGCP HA operation

Each FortiGate unit in the cluster must have the same HA configuration. Once the cluster is connected, you can configure it in the same way as you would configure a standalone FortiGate unit. The following procedures set the HA mode to active-passive and sets the HA password to HA\_pass.

### To configure a FortiGate unit for HA operation - web-based manager

- 1 Power on the FortiGate unit to be configured.
- 2 Log into the web-based manager.
- 3 On the Dashboard *System Information* dashboard widget, beside *Host Name* select *Change*.
- 4 Enter a new Host Name for this FortiGate unit.  
Changing the host name makes it easier to identify individual cluster units when the cluster is operating.
- 5 Go to *System > Config > HA* and change the following settings:

<b>Mode</b>	Active-Passive
<b>Group Name</b>	Example_cluster
<b>Password</b>	HA_pass The password must be the same for all FortiGate units in the cluster.

You can accept the default configuration for the remaining HA options and change them later, once the cluster is operating.

- 6 Select *OK*.  
The FortiGate unit negotiates to establish an HA cluster. When you select *OK* you may temporarily lose connectivity with the FortiGate unit as the HA cluster negotiates and the FGCP changes the MAC address of the FortiGate unit interfaces (see [“Cluster virtual MAC addresses” on page 205](#)). To be able to reconnect sooner, you can update the ARP table of your management PC by deleting the ARP table entry for the FortiGate unit (or just deleting all ARP table entries). You may be able to delete the ARP table of your management PC from a command prompt using a command similar to `arp -d`.
- 7 Power off the FortiGate unit.
- 8 Repeat this procedure for all of the FortiGate units in the cluster.  
Once all of the units are configured, continue with [“Connecting a FortiGate HA cluster” on page 24](#).

### To configure a FortiGate unit for HA operation - CLI

- 1 Power on the FortiGate unit to be configured.
- 2 Log into the CLI.
- 3 Enter the following command to change the FortiGate unit host name.

```
config system global
  set hostname Example1_host
end
```

Changing the host name makes it easier to identify individual cluster units when the cluster is operating.

- 4 Enter the following command to enable HA:

```
config system ha
  set mode active-passive
  set group-name Example_cluster
  set password HA_pass
end
```

You can accept the default configuration for the remaining HA options and change them later, once the cluster is operating.

The FortiGate unit negotiates to establish an HA cluster. You may temporarily lose connectivity with the FortiGate unit as the HA cluster negotiates and because the FGCP changes the MAC address of the FortiGate unit interfaces (see [“Cluster virtual MAC addresses” on page 205](#)). To be able to reconnect sooner, you can update the ARP table of your management PC by deleting the ARP table entry for the FortiGate unit (or just deleting all arp table entries). You may be able to delete the arp table of your management PC from a command prompt using a command similar to `arp -d`.

- 5 Power off the FortiGate unit.
- 6 Repeat this procedure for all of the FortiGate units in the cluster.

Once all of the units are configured, continue with [“Connecting a FortiGate HA cluster”](#).

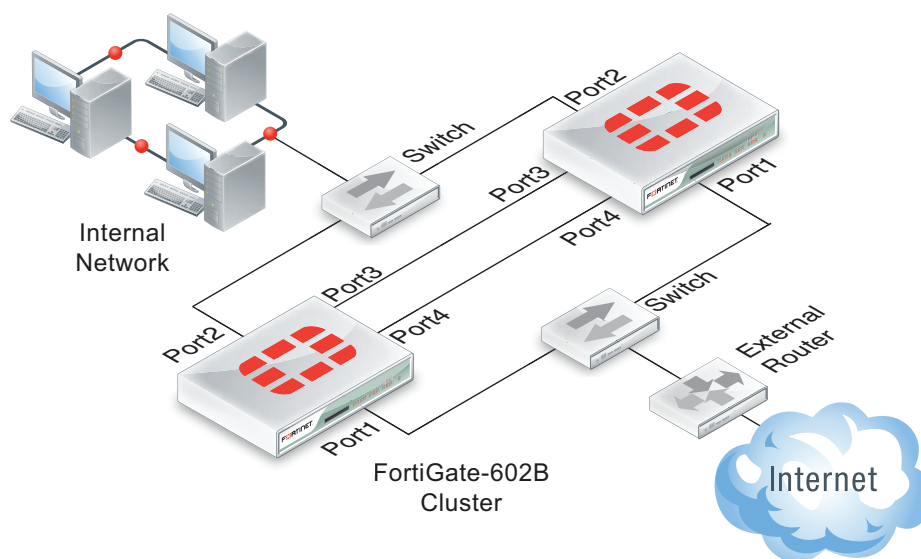
## Connecting a FortiGate HA cluster

Use the following procedure to connect a cluster. Connect the cluster units to each other and to your network. You must connect all matching interfaces in the cluster to the same switch, then connect these interfaces to their networks using the same switch.

Although you can use hubs, Fortinet recommends using switches for all cluster connections for the best performance.

Connecting an HA cluster to your network temporarily interrupts communications on the network because new physical connections are being made to route traffic through the cluster. Also, starting the cluster interrupts network traffic until the individual cluster units are functioning and the cluster completes negotiation. Cluster negotiation is automatic and normally takes just a few seconds. During system startup and negotiation all network traffic is dropped.

This section describes how to connect the cluster shown in [Figure 2 on page 25](#) that consists of two FortiGate-620B units to be connected between the Internet and a head office internal network. The port1 interfaces of the FortiGate unit connect the cluster to the Internet and the port2 interfaces connect the cluster to the internal network. The port3 and port4 interfaces are used for redundant HA heartbeat links.

**Figure 2: Example cluster connections****To connect a FortiGate HA cluster**

- 1 Connect the port1 interfaces of each cluster unit to a switch connected to the Internet.
- 2 Connect the port2 interfaces of each cluster unit to a switch connected to the internal network.
- 3 Connect the port3 interfaces of the cluster units together. You can use a crossover Ethernet cable or regular Ethernet cables and a switch.
- 4 Connect the port4 interfaces of the cluster units together. You can use a crossover Ethernet cable or regular Ethernet cables and a switch.
- 5 Power on both of the FortiGate units.

As the cluster units start, they negotiate to choose the primary unit and the subordinate units. This negotiation occurs with no user intervention and normally just takes a few seconds.

At least one heartbeat interface should be connected together for the cluster to operate. You can also connect the heartbeat interfaces to a network. If the cluster consists of just two FortiGate units, you can connect the heartbeat interfaces directly using a crossover cable. For more information about heartbeat interfaces, see [“HA heartbeat and communication between cluster units”](#) on page 198.

You could use one switch to connect all four heartbeat interfaces. However, this is not recommended because if the switch fails both heartbeat interfaces will become disconnected.

You can now configure the cluster as if it is a single FortiGate unit.

## Active-passive and active-active HA

The first decision to make when configuring FortiGate HA is whether to choose active-passive or active-active HA mode. To configure the HA mode, go to *System > Config > HA* and set Mode to *Active-Passive* or *Active-Active*.

From the CLI enter the following command to set the HA mode to active-passive:

```
config system ha
  set mode a-p
end
```

To form a cluster, all cluster units must be set to the same mode. You can also change the mode after the cluster is up and running. Changing the mode of a functioning cluster causes a slight delay while the cluster renegotiates to operate in the new mode and possibly select a new primary unit.

## Active-passive HA (failover protection)

An active-passive (A-P) HA cluster provides hot standby failover protection.

An active-passive cluster consists of a primary unit that processes communication sessions, and one or more subordinate units. The subordinate units are connected to the network and to the primary unit but do not process communication sessions. Instead, the subordinate units run in a standby state. In this standby state, the configuration of the subordinate units is synchronized with the configuration of the primary unit and the subordinate units monitor the status of the primary unit.

Active-passive HA provides transparent device failover among cluster units. If a cluster unit fails, another immediately take its place. See [“Device failover” on page 197](#).

Active-passive HA also provides transparent link failover among cluster units. If a cluster unit interface fails or is disconnected, this cluster unit updates the link state database and the cluster negotiates and may select a new primary unit. See [“Link failover” on page 222](#) for more information.

If session failover (also called session pickup) is enabled, active-passive HA provides session failover for some communication sessions. See [“Session failover \(session pickup\)” on page 233](#) for information about session failover and its limitations.

The following example shows how to configure a FortiGate unit for active-passive HA operation. You would enter the exact same commands on every FortiGate unit in the cluster.

```
config system ha
  set mode a-p
  set group-name myname
  set password HApas
end
```

## Active-active HA (load balancing and failover protection)

Active-active (A-A) HA load balances resource-intensive UTM processing among all cluster units. UTM processing applies protocol recognition, virus scanning, IPS, web filtering, email filtering, data leak prevention (DLP), application control, and VoIP content scanning and protection to HTTP, HTTPS, FTP, IMAP, IMAPS, POP3, POP3S, SMTP, SMTPS, IM, NNTP, SIP, SIMPLE, and SCCP sessions accepted by security policies. By load balancing this resource-intensive UTM processing among all cluster units, an active-active HA cluster may provide better UTM performance than a standalone FortiGate unit. Other features enabled in security policies such as Endpoint security, traffic shaping and authentication (identity-based policies) have no effect active-active load balancing.

All non-UTM sessions are not load balanced and are processed by the primary unit. You can also optionally configure active-active HA to load balance all TCP sessions in addition to UTM sessions. For more information see [“Load balancing UTM sessions and TCP sessions” on page 249](#).

An active-active HA cluster consists of a primary unit that receives all communication sessions and load balances them among the primary unit and all of the subordinate units. In an active-active cluster the subordinate units are also considered active since they also process UTM sessions.

In all other ways active-active HA operates the same as active-passive HA.

The following example shows how to configure a FortiGate unit for active-active HA operation. You would enter the exact same commands on every FortiGate unit in the cluster.

```
config system ha
  set mode a-a
  set group-name myname
  set password HApass
end
```

## Identifying the cluster and cluster units

You can use the cluster group name, group id, and password to identify a cluster and distinguish one cluster from another. If you have more than one cluster on the same network, each cluster must have a different group name, group id, and password.

### Group name

Use the group name to identify the cluster. The maximum length of the group name is 32 characters. The group name must be the same for all cluster units before the cluster units can form a cluster. After a cluster is operating, you can change the group name. The group name change is synchronized to all cluster units.

The default group name is *FGT-HA*. The group name appears on the FortiGate dashboard of a functioning cluster as the *Cluster Name*.

To change the group name from the web-based manager go to *Config > System > HA* and change the *Group Name*.

Enter the following CLI command to change the group name to *Cluster\_name*:

```
config system ha
  set group-name Cluster_name
end
```

### Password

Use the password to identify the cluster. You should always change the password when configuring a cluster. The password must be the same for all FortiGate units before they can form a cluster. The maximum password length is 19 characters. When the cluster is operating you can change the password, if required. Two clusters on the same network cannot have the same password.

To change the password from the web-based manager go to *Config > System > HA* and change the *Password*.

Enter the following CLI command to change the group name to *ha\_pwd*:

```
config system ha
  set password ha_pwd
end
```



## Group ID

Similar to the group name, the group ID is also used to identify the cluster. In most cases you do not have to change the group ID. However, you should change the group ID if you have more than one cluster on the same network. All members of the HA cluster must have the same group ID. The group ID range is from 0 to 63.

Changing the group ID changes the cluster virtual MAC address. See [“Cluster virtual MAC addresses” on page 205](#).

Enter the following CLI command to change the group ID to 10:

```
config system ha
    set group-id 10
end
```

## Device failover, link failover, and session failover

The FGCP provides transparent device and link failover. You can also enable session pickup to provide session failover. A failover can be caused by a hardware failure, a software failure, or something as simple as a network cable being disconnected. When a failover occurs, the cluster detects and recognizes the failure and takes steps to respond so that the network can continue to operate without interruption. The internal operation of the cluster changes, but network components outside of the cluster notice little or no change.

If a failover occurs, the cluster also records log messages about the event and can be configured to send log messages to a syslog server and to a FortiAnalyzer unit. The cluster can also send SNMP traps and alert email messages. These alerts can notify network administrators of the failover and may contain information that the network administrators can use to find and fix the problem that caused the failure.

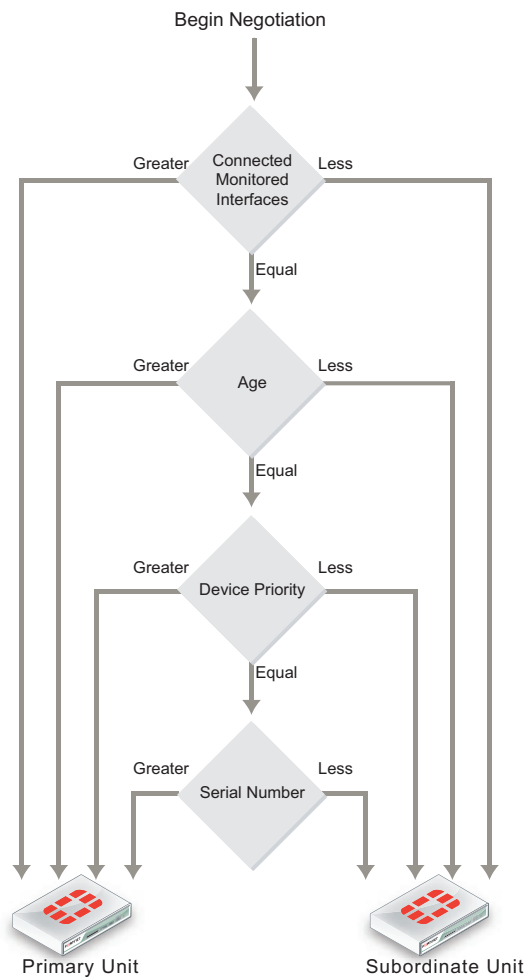
For a complete description of device failover, link failover, and session failover, how clusters support these types of failover, and how FortiGate HA clusters compensate for a failure to maintain network traffic flow see [“HA and failover protection” on page 195](#).

## Primary unit selection

Once FortiGate units recognize that they can form a cluster, the cluster units negotiate to select a primary unit. Primary unit selection occurs automatically based on the criteria shown in [Figure 3](#). After the cluster selects the primary unit, all of the remaining cluster units become subordinate units.

Negotiation and primary unit selection also takes place if a primary unit fails (device failover) or if a monitored interface fails or is disconnected (link failover). During a device or link failover, the cluster renegotiates to select a new primary unit also using the criteria shown in [Figure 3](#).



**Figure 3: Selecting the primary unit**

For many basic HA configurations primary unit selection simply selects the cluster unit with the highest serial number to become the primary unit. A basic HA configuration involves setting the HA mode to active-passive or active-active and configuring the cluster group name and password. Using this configuration, the cluster unit with the highest serial number becomes the primary unit because primary unit selection disregards connected monitored interfaces (because interface monitoring is not configured), the age of the cluster units would usually always be the same, and all units would have the same device priority.

Using the serial number is a convenient way to differentiate cluster units; so basing primary unit selection on the serial number is predictable and easy to understand and interpret. Also the cluster unit with the highest serial number would usually be the newest FortiGate unit with the most recent hardware version. In many cases you may not need active control over primary unit selection, so basic primary unit selection based on serial number is sufficient.

In some situations you may want control over which cluster unit becomes the primary unit. You can control primary unit selection by setting the device priority of one cluster unit to be higher than the device priority of all other cluster units. If you change one or more device priorities, during negotiation, the cluster unit with the highest device priority becomes the primary unit. As shown in [Figure 3](#) the FGCP selects the primary unit based on device priority before serial number. For more information about how to use device priorities, see [“Primary unit selection and device priority” on page 34](#).

The only other way that you can influence primary unit selection is by configuring interface monitoring (also called port monitoring). Using interface monitoring you can make sure that cluster units with failed or disconnected monitored interfaces cannot become the primary unit. See [“Primary unit selection and monitored interfaces” on page 30](#).

Finally, the age of a cluster unit is determined by a number of cluster operating factors. Normally the age of all cluster units is the same so normally age has no effect on primary unit selection. Age does affect primary unit selection after a monitored interface failure. For more information about age, see [“Primary unit selection and age” on page 31](#).

This section describes:

- [Primary unit selection and monitored interfaces](#)
- [Primary unit selection and age](#)
- [Primary unit selection and device priority](#)
- [Primary unit selection and FortiGate unit serial number](#)
- [Points to remember about primary unit selection](#)

## Primary unit selection and monitored interfaces

If you have configured interface monitoring the cluster unit with the highest number of monitored interfaces that are connected to networks becomes the primary unit. Put another way, the cluster unit with the highest number of failed or disconnected monitored interfaces cannot become the primary unit.

Normally, when a cluster starts up, all monitored interfaces of all cluster units are connected and functioning normally. So monitored interfaces do not usually affect primary unit selection when the cluster first starts.

A cluster always renegotiates when a monitored interface fails or is disconnected (called link failover). A cluster also always renegotiates when a failed or disconnected monitored interface is restored.

If a primary unit monitored interface fails or is disconnected, the cluster renegotiates and if this is the only failed or disconnected monitored interface the cluster selects a new primary unit.

If a subordinate unit monitored interface fails or is disconnected, the cluster also renegotiates but will not necessarily select a new primary unit. However, the subordinate unit with the failed or disconnected monitored interface cannot become the primary unit.

Multiple monitored interfaces can fail or become disconnected on more than one cluster unit. Each time a monitored interface is disconnected or fails, the cluster negotiates to select the cluster unit with the most connected and operating monitored interfaces to become the primary unit. In fact, the intent of the link failover feature is just this, to make sure that the primary unit is always the cluster unit with the most connected and operating monitored interfaces. For information about monitored interfaces and link failover see [“Link failover” on page 222](#).

## Primary unit selection and age

The cluster unit with the highest age value becomes the primary unit. The age of a cluster unit is the amount of time since a monitored interface failed or is disconnected. Age is also reset when a cluster unit starts (boots up). So, when all cluster units start up at the same time, they all have the same age. Age does not affect primary unit selection when all cluster units start up at the same time. Age also takes precedence over priority for primary unit selection.

If a link failure of a monitored interface occurs, the age value for the cluster unit that experiences the link failure is reset. So, the cluster unit that experienced the link failure also has a lower age value than the other cluster units. This reduced age does not effect primary unit selection because the number of link failures takes precedence over the age.

If the failed monitored interface is restored the cluster unit that had the failed monitored interface cannot become the primary unit because its age is still lower than the age of the other cluster units.

In most cases, the way that age is handled by the cluster reduces the number of times the cluster selects a new primary unit, which results in a more stable cluster since selecting a new primary unit has the potential to disrupt traffic.

## Cluster age difference margin (grace period)

In any cluster, some of the cluster units may take longer to start up than others. This startup time difference can happen as a result of a number of issues and does not affect the normal operation of the cluster. To make sure that cluster units that start slower can still become primary units, by default the FGCP ignores age differences of up to 5 minutes (300 seconds).

In most cases, during normal operation this age difference margin or grace period helps cluster function as expected. However, the age difference margin can result in some unexpected behavior in some cases:

- During a cluster firmware upgrade with `uninterruptable-upgrade` enabled (the default configuration) the cluster should not select a new primary unit after the firmware of all cluster units has been updated. But since the age difference of the cluster units is most likely less than 300 seconds, age is not used to affect primary unit selection and the cluster may select a new primary unit. See [“Upgrading cluster firmware” on page 180](#) for more information.
- During failover testing where cluster units are failed over repeatedly the age difference between the cluster units will most likely be less than 5 minutes. During normal operation, if a failover occurs, when the failed unit rejoins the cluster its age will be very different from the age of the still operating cluster units so the cluster will not select a new primary unit. However, if a unit fails and is restored in a very short time the age difference may be less than 5 minutes. As a result the cluster may select a new primary unit during some failover testing scenarios.

## Changing the cluster age difference margin

You can change the cluster age difference margin using the following command:

```
config system ha
  set ha-uptime-diff-margin 60
end
```

This command sets the cluster age difference margin to 60 seconds (1 minute). The age difference margin range 1 to 65535 seconds. The default is 300 seconds.

You may want to reduce the margin if during failover testing you don't want to wait the default age difference margin of 5 minutes. You may also want to reduce the margin to allow uninterruptable upgrades to work. See [“Upgrading cluster firmware” on page 180](#).

You may want to increase the age margin if cluster unit startup time differences are larger than 5 minutes.

## Displaying cluster unit age differences

You can use the CLI command `diagnose sys ha dump 1` to display the age difference of the units in a cluster. This command also displays information about a number of HA-related parameters for each cluster unit. You can enter the command from the primary unit CLI or you can enter the command from a subordinate unit after using `execute ha manage` to log into a subordinate unit CLI. The information displayed by the command is relative to the unit that you enter the command from.

For example, for a cluster of two FortiGate-5001SX units with no changes to the default HA configuration except to enable interface monitoring for port5, entering the `diagnose sys ha dump 1` command from the primary unit CLI displays information similar to the following:

```
diagnose sys ha dump 1
      HA information.
vcluster id=1, nentry=2, state=work,
  digest=fe.21.14.b3.e1.8d...
ventry idx=0,id=1,FG50012205400050,prio=128,0,claimed=0,
  override=0,flag=1,time=0,mon=0.
  mondev=port5,50
ventry idx=1,id=1,FG50012204400045,prio=128,0,claimed=0,
  override=0,flag=0,time=194,mon=0.
```

The command displays one `ventry` line for each cluster unit. The first `ventry` in the example contains information for the cluster unit that you are logged into. The other `ventry` lines contain information for the subordinate units (in the example there is only one subordinate unit). The `mondev` entry displays the interface monitoring configuration.

The `time` field is always 0 for the unit that you are logged into. The `time` field for the other cluster unit is the age difference between the unit that you are logged into and the other cluster unit. The age difference is in the form seconds/10.

In the example, the age of the primary unit is 19.4 seconds more than the age of the subordinate unit. The age difference is less than 5 minutes (less than 300 seconds or `time` is less than 3000) so age has no affect on primary unit selection. The cluster selected the unit with the highest serial number to be the primary unit.

If you use `execute ha manage 1` to log into the subordinate unit CLI and enter `diagnose sys ha dump 1` you get results similar to the following:

```
diagnose sys ha dump 1
      HA information.
vcluster id=1, nentry=2, state=standby,
  digest=fe.21.14.b3.e1.8d...
ventry idx=1,id=1,FG50012204400045,prio=128,0,claimed=0,
  override=0,flag=1,time=0,mon=0.
  mondev=port5,50
ventry idx=0,id=1,FG50012205400050,prio=128,0,claimed=0,
  override=0,flag=0,time=-194,mon=0.
```

The `time` for the primary unit is -194, indicating that age of the subordinate unit is 19.4 seconds less than the age of the primary unit.

If port5 (the monitored interface) of the primary unit is disconnected, the cluster renegotiates and the former subordinate unit becomes the primary unit. When you log into the new primary unit CLI and enter `diagnose sys ha dump 1` you could get results similar to the following:

```
diagnose sys ha dump 1
      HA information.
vcluster id=1, nventry=2, state=work,
  digest=9e.70.74.a2.5e.4a...
ventry idx=0,id=1,FG50012204400045,prio=128,0,claimed=0,
  override=0,flag=1,time=0,mon=0.
  mondev=port5,50
ventry idx=1,id=1,FG50012205400050,prio=128,-50,claimed=0,
  override=0,flag=0,time=58710,mon=0.
```

The command results show that the age of the new primary unit is 5871.0 seconds more than the age of the new subordinate unit.

If port5 of the former primary unit is reconnected the cluster will not select a new primary unit because the age of the primary unit will still be 5871.0 seconds more than the age of the subordinate unit. When you log into the primary unit CLI and enter `diagnose sys ha dump 1` you get results similar to the following:

```
diagnose sys ha dump 1
      HA information.
vcluster id=1, nventry=2, state=work,
  digest=9e.70.74.a2.5e.4a...
ventry idx=0,id=1,FG50012204400045,prio=128,0,claimed=0,
  override=0,flag=1,time=0,mon=0.
  mondev=port5,50
ventry idx=1,id=1,FG50012205400050,prio=128,0,claimed=0,
  override=0,flag=0,time=58710,mon=0.
```

## Resetting the age of all cluster units

In some cases, age differences among cluster units can result in the wrong cluster unit or the wrong virtual cluster becoming the primary unit. For example, if a cluster unit set to a high priority reboots, that unit will have a lower age than other cluster units when it rejoins the cluster. Since age takes precedence over priority the priority of this cluster unit will not be a factor in primary unit selection.

This problem also affects virtual cluster VDOM partitioning in a similar way. After a reboot of one of the units in a virtual cluster configuration, traffic for all VDOMs could continue to be processed by the cluster unit that did not reboot. This can happen because the age of both virtual clusters on the unit that did not reboot is greater than the age of both virtual clusters on the unit that rebooted.

One way to resolve this issue is to reboot all of the cluster units at the same time so that the age of all of the cluster units is reset. However, rebooting cluster units may interrupt or at least slow down traffic. If you would rather not reboot all of the cluster units you can instead use the following command to reset the ages of all of the cluster units.

```
diagnose sys ha reset-uptime
```

This command resets the age of all cluster units so age is no longer a factor in primary unit selection and device priority is used to select the primary unit.



The `diagnose sys ha reset-uptime` command should only be used as a temporary solution. The command resets the HA age internally and does not affect the up time displayed for cluster units using the `diagnose sys ha dump 1` command or the up time displayed on the Dashboard or cluster members list. To make sure the actual up time for cluster units is the same as the HA age you should reboot the cluster units during a maintenance window.

## Primary unit selection and device priority

A cluster unit with the highest device priority becomes the primary unit when the cluster starts up or renegotiates. By default, the device priority for all cluster units is 128. You can change the device priority to control which FortiGate unit becomes the primary unit during cluster negotiation. All other factors that influence primary unit selection either cannot be configured (age and serial number) or are synchronized among all cluster units (interface monitoring). You can set a different device priority for each cluster unit. During negotiation, if all monitored interfaces are connected, and all cluster units enter the cluster at the same time (or have the same age), the cluster with the highest device priority becomes the primary unit.

A higher device priority does not affect primary unit selection for a cluster unit with the most failed monitored interfaces or with an age that is higher than all other cluster units because failed monitored interfaces and age are used to select a primary unit before device priority.

Increasing the device priority of a cluster unit does not always guarantee that this cluster unit will become the primary unit. During cluster operation, an event that may affect primary unit selection may not always result in the cluster renegotiating. For example, when a unit joins a functioning cluster, the cluster will not renegotiate. So if a unit with a higher device priority joins a cluster the new unit becomes a subordinate unit until the cluster renegotiates.



Enabling the `override` HA CLI keyword makes changes in device priority more effective by causing the cluster to negotiate more often to make sure that the primary unit is always the unit with the highest device priority. For more information about `override`, see “HA override” on page 36.

## Controlling primary unit selection by changing the device priority

You set a different device priority for each cluster unit to control the order in which cluster units become the primary unit when the primary unit fails.

To change the device priority from the web-based manager go to *Config > System > HA* and change the *Device Priority*.

Enter the following CLI command to change the device priority to 200:

```
config system ha
  set priority 200
end
```

The device priority is not synchronized among cluster units. In a functioning cluster you change device priority to change the priority of any unit in the cluster. Whenever you change the device priority of a cluster unit, when the cluster negotiates, the unit with the highest device priority becomes the primary unit.

The following example shows how to change the device priority of a subordinate unit to 255 so that this subordinate unit becomes the primary unit. This example involves connecting to the cluster CLI and using the `execute ha manage 0` command to connect to the highest priority subordinate unit. After you enter the following commands the cluster renegotiates and selects a new primary unit.

```
execute ha manage 1
config system ha
    set priority 255
end
```

If you have three units in a cluster you can set the device priorities as shown in [Table 1](#). When the cluster starts up, cluster unit A becomes the primary unit because it has the highest device priority. If unit A fails, unit B becomes the primary unit because unit B has a higher device priority than unit C.

**Table 1: Example device priorities for a cluster of three FortiGate units**

Cluster unit	Device priority
A	200
B	100
C	50

Normally, when configuring HA you do not have to change the device priority of any of the cluster units. If all cluster units have the same device priority, when the cluster first starts up the FGCP negotiates to select the cluster unit with the highest serial number to be the primary unit.

Clusters also function normally if all units have the same device priority. However, you can use the device priority if you want to control the roles that individual units play in the cluster. For example, if you want the same unit to always become the primary unit, set this unit device priority higher than the device priority of other cluster units. Also, if you want a cluster unit to always become a subordinate unit, set this cluster unit device priority lower than the device priority of other cluster units.

The device priority range is 0 to 255. The default device priority is 128.

If you are configuring a virtual cluster, if you have added virtual domains to both virtual clusters, you can set the device priority that the cluster unit has in virtual cluster 1 and virtual cluster 2. If a FortiGate unit has different device priorities in virtual cluster 1 and virtual cluster 2, the FortiGate unit may be the primary unit in one virtual cluster and the subordinate unit in the other. For more information, see [“Virtual clustering and load balancing or VDOM partitioning” on page 119](#).

## Primary unit selection and FortiGate unit serial number

The cluster unit with the highest serial number is more likely to become the primary unit. When first configuring FortiGate units to be added to a cluster, if you do not change the device priority of any cluster unit, then the cluster unit with the highest serial number always becomes the primary unit.

Age does take precedence over serial number, so if a cluster unit takes longer to join a cluster for some reason (for example if one cluster unit is powered on after the others), that cluster unit will not become the primary unit because the other units have been in the cluster longer.



Device priority and failed monitored interfaces also take precedence over serial number. A higher device priority means a higher priority. So if you set the device priority of one unit higher or if a monitored interface fails, the cluster will not use the FortiGate serial number to select the primary unit.

## Points to remember about primary unit selection

Some points to remember about primary unit selection:

- The FGCP compares primary unit selection criteria in the following order: Failed Monitored interfaces > Age > Device Priority > Serial number. The selection process stops at the first criteria that selects one cluster unit.
- Negotiation and primary unit selection is triggered if a cluster unit fails or if a monitored interface fails.
- If the HA age difference is more than 5 minutes, the cluster unit that is operating longer becomes the primary unit.
- If HA age difference is less than 5 minutes, the device priority and FortiGate serial number selects the cluster unit to become the primary unit.
- Every time a monitored interface fails the HA age of the cluster unit is reset to 0.
- Every time a cluster unit restarts the HA age of the cluster unit is reset to 0.

## HA override

The HA `override` CLI keyword is disabled by default. When `override` is disabled a cluster may not renegotiate when an event occurs that affects primary unit selection. For example, when `override` is disabled a cluster will not renegotiate when you change a cluster unit device priority or when you add a new cluster unit to a cluster. This is true even if the unit added to the cluster has a higher device priority than any other unit in the cluster. Also, when `override` is disabled a cluster does not negotiate if the new unit added to the cluster has a failed or disconnected monitored interface.



For a virtual cluster configuration, `override` is enabled by default for both virtual clusters when you enable virtual cluster 2. For more information, see [“Virtual clustering and HA override” on page 118](#).

In most cases you should keep `override` disabled to reduce how often the cluster negotiates. Frequent negotiations may cause frequent traffic interruptions.

However, if you want to make sure that the same cluster unit always operates as the primary unit and if you are less concerned about frequent cluster negotiation you can enable `override`.

To enable `override`, select a cluster unit to always be the primary unit. Connect to this cluster unit CLI and use the `config system ha` CLI command to enable `override`.

For `override` to be effective, you must also set the device priority highest on the cluster unit with `override` enabled. To increase the device priority, from the CLI use the `config system ha` command and increase the value of the `priority` keyword to a number higher than the default priority of 128.



You can also increase the device priority from the web-based manager by going to *System > Config > HA*. To increase the device priority of the primary unit select edit for the primary or subordinate unit and set the *Device Priority* to a number higher than 128.



The `override` setting and device priority value are not synchronized to all cluster units.

With `override` enabled, the primary unit with the highest device priority will always become the primary unit. Whenever an event occurs that may affect primary unit selection, the cluster negotiates. For example, when `override` is enabled a cluster renegotiates when you change the device priority of any cluster unit or when you add a new cluster unit to a cluster.

This section also describes:

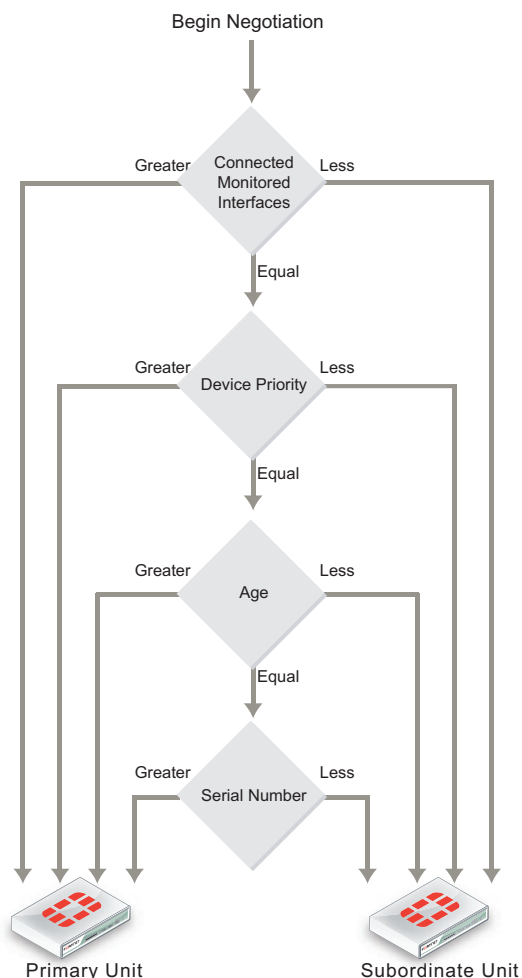
- [Override and primary unit selection](#)
- [Controlling primary unit selection using device priority and override](#)
- [Points to remember about primary unit selection when override is enabled](#)
- [Configuration changes can be lost if override is enabled](#)
- [Override and disconnecting a unit from a cluster](#)

## Override and primary unit selection

Enabling `override` changes the order of primary unit selection. As shown in [Figure 4](#) if `override` is enabled, primary unit selection considers device priority before age and serial number. This means that if you set the device priority higher on one cluster unit, with `override` enabled this cluster unit becomes the primary unit even if its age and serial number are lower than other cluster units.

Similar to when `override` is disabled, when `override` is enabled primary unit selection checks for connected monitored interfaces first. So if interface monitoring is enabled, the cluster unit with the most disconnected monitored interfaces cannot become the primary unit, even if the unit has the highest device priority.

If all monitored interfaces are connected (or interface monitoring is not enabled) and the device priority of all cluster units is the same then age and serial number affect primary unit selection.

**Figure 4: Selecting the primary unit with override enabled**

### Controlling primary unit selection using device priority and override

To configure one cluster unit to always become the primary unit you should set its device priority to be higher than the device priorities of the other cluster units and you should enable `override` for this cluster unit.

Using this configuration, when the cluster is operating normally the primary unit is always the unit with `override` enabled and with the highest device priority. If the primary unit fails the cluster renegotiates to select another cluster unit to be the primary unit. If the failed primary unit recovers, starts up again and rejoins the cluster, because `override` is enabled, the cluster renegotiates. Because the restarted primary unit has the highest device priority it once again becomes the primary unit.

In the same situation with `override` disabled, because the age of the failed primary unit is lower than the age of the other cluster units, when the failed primary unit rejoins the cluster it does not become the primary unit. Instead, even though the failed primary unit may have the highest device priority it becomes a subordinate unit because its age is lower than the age of all the other cluster units.

### Points to remember about primary unit selection when override is enabled

Some points to remember about primary unit selection when `override` is enabled:

- The FGCP compares primary unit selection criteria in the following order: Failed Monitored Interfaces > Device Priority > Age > Serial number. The selection process stops at the first criteria that selects one cluster unit.
- Negotiation and primary unit selection is triggered whenever an event occurs which may affect primary unit selection. For example negotiation occurs, when you change the device priority, when you add a new unit to a cluster, if a cluster unit fails, or if a monitored interface fails.
- Device priority is considered before age. Otherwise age is handled the same when `override` is enabled.

## Configuration changes can be lost if override is enabled

In some cases, when `override` is enabled and you make configuration changes to an HA cluster these changes can be lost. For example, consider the following sequence:

- 1 A cluster of two FortiGate units is operating with `override` enabled.
  - FGT-A: Primary unit with device priority 200 and with `override` enabled
  - FGT-B: Subordinate unit with device priority 100 and with `override` disabled
  - If both units are operating, FGT-A always becomes the primary unit because FGT-A has the highest device priority.
- 2 FGT-A fails and FGT-B becomes the new primary unit.
- 3 The administrator makes configuration changes to the cluster.

The configuration changes are made to FGT-B because FGT-B is operating as the primary unit. These configuration changes are not synchronized to FGT-A because FGT-A is not operating.
- 4 FGT-A is restored and starts up again.
- 5 The cluster renegotiates and FGT-A becomes the new primary unit.
- 6 The cluster recognizes that the configurations of FGT-A and FGT-B are not the same.
- 7 The configuration of FGT-A is synchronized to FGT-B.

The configuration is always synchronized from the primary unit to the subordinate units.
- 8 The cluster is now operating with the same configuration as FGT-A. The configuration changes made to FGT-B have been lost.

## The solution

When `override` is enabled, you can prevent configuration changes from being lost by doing the following:

- Verify that all cluster units are operating before making configuration changes (from the web-based manager go to *System > Config > HA* to view the cluster members list or from the FortiOS CLI enter `get system ha status`).
- Make sure the device priority of the primary unit is set higher than the device priorities of all other cluster units before making configuration changes.
- Disable `override` either permanently or until all configuration changes have been made and synchronized to all cluster units.

## Override and disconnecting a unit from a cluster

A similar scenario to that described in “[Configuration changes can be lost if override is enabled](#)” may occur when `override` is enabled and you use the Disconnect from Cluster option from the web-based manager or the `execute ha disconnect` command from the CLI to disconnect a cluster unit from a cluster.

Configuration changes made to the cluster can be lost when you reconnect the disconnected unit to the cluster. You should make sure that the device priority of the disconnected unit is lower than the device priority of the current primary unit. Otherwise, when the disconnected unit joins the cluster, if `override` is enabled, the cluster renegotiates and the disconnected unit may become the primary unit. If this happens, the configuration of the disconnected unit is synchronized to all other cluster units and any configuration changes made between when the unit was disconnected and reconnected are lost.

## FortiGate HA compatibility with PPPoE and DHCP

FortiGate HA is not compatible with PPP protocols such as PPPoE. FortiGate HA is also not compatible with DHCP. If one or more FortiGate unit interfaces is dynamically configured using DHCP or PPPoE you cannot switch to operate in HA mode. Also, you cannot switch to operate in HA mode if one or more FortiGate unit interfaces is configured as a PPTP or L2TP client.



Configuring an interface for DHCP or PPPoE is only supported in NAT/Route mode. So, usually when configuring HA in Transparent mode an interface being configured for DHCP or PPPoE should not affect HA operation. However, in some cases you may not be able to enable HA if you had configured an interface for DHCP or PPPoE before switching to Transparent mode. So, if you are blocked from operating a Transparent mode FortiGate unit in HA and cannot find another reason for the problem, try switching the FortiGate unit back to NAT/Route mode and setting all interface modes to static before switching to Transparent mode and enabling HA. You could also enable HA before switching to Transparent mode.

You can configure a cluster to act as a DHCP server or a DHCP relay agent. In both active-passive and active-active clusters DHCP relay sessions are always handled by the primary unit. It is possible that a DHCP relay session could be interrupted by a failover. If this occurs the DHCP relay session is not resumed after the failover and the DHCP client may have to repeat the DHCP request.

When a cluster is operating as a DHCP server the primary unit responds to all DHCP requests and maintains the DHCP server address lease database. The cluster also dynamically synchronizes the DHCP server address lease database to the subordinate units. If a failover occurs, the new primary unit will have an up-to-date DHCP server address lease database. Synchronizing the DHCP address lease database prevents the new primary unit from responding incorrectly to new DHCP requests after a failover.

Also, it is possible that when FortiGate units first negotiate to form a cluster that a unit that ends up as a subordinate unit in the cluster will have information in its DHCP address lease database that the cluster unit operating as the primary unit does not have. This can happen if a FortiGate unit responds to DHCP requests while operating as a standalone unit and then when the cluster is formed this unit becomes a subordinate unit. Because of this possibility, after a cluster is formed the DHCP address lease databases of all of the cluster units are merged into one database which is then synchronized to all cluster units.

## Hard disk configuration and HA

If your cluster units include hard disks, all cluster units must have identical hard disk configurations. This means each cluster unit must have same number of hard disks (including AMC and FortiGate Storage Module (FSM) hard disks) and also means that matching hard disks in each cluster unit must be the same size, have the same hard disk format, and have the same number of partitions.

In most cases the default hard disk configuration of the cluster units will be compatible. However, a hard disk formatted by an older FortiGate firmware version may not be compatible with a hard disk formatted by a more recent firmware version. Problems may also arise if you have used the `execute scsi-dev` command to add or change hard disk protections.

If a cluster unit CLI displays hard disk compatibility messages, you may need to use the `execute scsi-dev delete` command to delete partitions. You can also use the `execute formatlogdisk` command to reformat hard disks. In some cases after deleting all partitions and reformatting the hard disks, you may still see hard disk incompatibility messages. If this happens, contact Fortinet Customer Support for assistance.

## Recommended practices

Fortinet suggests the following practices related to high availability:

- Use Active-Active HA to distribute TCP and UTM sessions among multiple cluster units. An active-active cluster may have higher throughput than a standalone FortiGate unit or than an active-passive cluster.
- Use a different host name on each FortiGate unit when configuring an HA cluster. Fewer steps are required to add host names to each cluster unit before configuring HA and forming a cluster.
- Enabling `load-balance-all` can increase device and network load since more traffic is load-balanced. This may be appropriate for use in a deployment using the firewall capabilities of the FortiGate unit and IPS but no other content inspection. See [“Load balancing UTM sessions and TCP sessions” on page 249](#).
- An advantage of using session pickup is that non-UTM sessions will be picked up by the new primary unit after a failover. The disadvantage is that the cluster generates more heartbeat traffic to support session pickup as a larger portion of the session table must be synchronized. Session pickup should be configured only when required and is not recommended for use with SOHO FortiGate models. Session pickup should only be used if the primary heartbeat link is dedicated (otherwise the additional HA heartbeat traffic could affect network performance). See [“Session failover \(session pick-up\)” on page 233](#).
- If you need to enable session pickup, consider enabling session pickup delay to improve performance by reducing the number of sessions that are synchronized. If possible, also consider enabling session synchronization or multiple FortiGate Interfaces. See [“Improving session synchronization performance” on page 233](#) for more information.
- To avoid unpredictable results, when you connect a switch to multiple redundant or aggregate interfaces in an active-passive cluster you should configure separate redundant or aggregate interfaces on the switch; one for each cluster unit. See [“HA MAC addresses and 802.3ad aggregation” on page 86](#).

- Use SNMP, syslog, or email alerts to monitor a cluster for failover messages. Alert messages about cluster failovers may help find and diagnose network problems quickly and efficiently. See [“Operating a cluster” on page 155](#).

## Heartbeat interfaces

Fortinet suggests the following practices related to heartbeat interfaces:

- Isolate heartbeat interfaces from user networks. Heartbeat packets contain sensitive cluster configuration information and can consume a considerable amount of network bandwidth. If the cluster consists of two FortiGate units, connect the heartbeat interfaces directly using a crossover cable. For clusters with more than two units, connect heartbeat interfaces to a separate switch that is not connected to any network.
- If heartbeat traffic cannot be isolated from user networks, enable heartbeat message encryption and authentication to protect cluster information. See [“Enabling or disabling HA heartbeat encryption and authentication” on page 205](#).
- Configure and connect multiple heartbeat interfaces so that if one heartbeat interface fails or becomes disconnected, HA heartbeat traffic can continue to be transmitted using the backup heartbeat interface. If heartbeat communication fails, all cluster members will think they are the primary unit resulting in multiple devices on the network with the same IP addresses and MAC addresses (condition referred to as *Split Brain*) and communication will be disrupted until heartbeat communication can be reestablished.
- Do not monitor dedicated heartbeat interfaces; monitor those interfaces whose failure should trigger a device failover.

## Interface monitoring (port monitoring)

Fortinet suggests the following practices related to interface monitoring (also called port monitoring):

- Wait until a cluster is up and running and all interfaces are connected before enabling interface monitoring. A monitored interface can easily become disconnected during initial setup and cause failovers to occur before the cluster is fully configured and tested.
- Monitor interfaces connected to networks that process high priority traffic so that the cluster maintains connections to these networks if a failure occurs.
- Avoid configuring interface monitoring for all interfaces.
- Supplement interface monitoring with remote link failover. Configure remote link failover to maintain packet flow if a link not directly connected to a cluster unit (for example, between a switch connected to a cluster interface and the network) fails. See [“Remote link failover” on page 228](#).

## Troubleshooting

The following sections in this document contain troubleshooting information:

- [“Troubleshooting HA clusters” on page 111](#)
- [“Troubleshooting virtual clustering” on page 137](#)
- [“Troubleshooting full mesh HA” on page 153](#)
- [“Troubleshooting layer-2 switches” on page 261](#)

## FGCP HA terminology

The following HA-specific terms are used in this document.

### Cluster

A group of FortiGate units that act as a single virtual FortiGate unit to maintain connectivity even if one of the FortiGate units in the cluster fails.

### Cluster unit

A FortiGate unit operating in a FortiGate HA cluster.

### Device failover

Device failover is a basic requirement of any highly available system. Device failover means that if a device fails, a replacement device automatically takes the place of the failed device and continues operating in the same manner as the failed device. See also [“Device failover, link failover, and session failover” on page 28](#).

### Failover

A FortiGate unit taking over processing network traffic in place of another unit in the cluster that suffered a device failure or a link failure.

### Failure

A hardware or software problem that causes a FortiGate unit or a monitored interface to stop processing network traffic.

### FGCP

The FortiGate clustering protocol (FGCP) that specifies how the FortiGate units in a cluster communicate to keep the cluster operating.

### Full mesh HA

Full mesh HA is a method of removing single points of failure on a network that includes an HA cluster. FortiGate models that support redundant interfaces can be used to create a cluster configuration called full mesh HA. Full mesh HA includes redundant connections between all network components. If any single component or any single connection fails, traffic switches to the redundant component or connection.

### HA virtual MAC address

When operating in HA mode, all of the interfaces of the primary unit acquire the same HA virtual MAC address. All communications with the cluster must use this MAC address. The HA virtual MAC address is set according to the group ID.

### Heartbeat

Also called FGCP heartbeat or HA heartbeat. The heartbeat constantly communicates HA status and synchronization information to make sure that the cluster is operating properly.

### Heartbeat device

An ethernet network interface in a cluster that is used by the FGCP for heartbeat communications among cluster units.



## Heartbeat failover

If an interface functioning as the heartbeat device fails, the heartbeat is transferred to another interface also configured as an HA heartbeat device.

## Hello state

In the hello state a cluster unit has powered on in HA mode, is using HA heartbeat interfaces to send hello packets, and is listening on its heartbeat interfaces for hello packets from other FortiGate units. Hello state may appear in HA log messages.

## High availability

The ability that a cluster has to maintain a connection when there is a device or link failure by having another unit in the cluster take over the connection, without any loss of connectivity. To achieve high availability, all FortiGate units in the cluster share session and configuration information.

## Interface monitoring

You can configure interface monitoring (also called port monitoring) to monitor FortiGate interfaces to verify that the monitored interfaces are functioning properly and connected to their networks. If a monitored interface fails or is disconnected from its network the interface leaves the cluster and a link failover occurs. For more information about interface monitoring, see [“Link failover” on page 222](#).

## Link failover

Link failover means that if a monitored interface fails, the cluster reorganizes to re-establish a link to the network that the monitored interface was connected to and to continue operating with minimal or no disruption of network traffic. See also [“Device failover, link failover, and session failover” on page 28](#).

## Load balancing

Also known as active-active HA. All units in the cluster process network traffic. The FGCP employs a technique called unicast load balancing in which a given interface of all cluster units has the same virtual MAC address. The primary unit is associated with the cluster HA virtual MAC address and cluster IP address. The primary unit is the only cluster unit to receive packets sent to the cluster. The primary unit can process packets itself, or propagate them to subordinate units according to a load balancing schedule.

## Monitored interface

An interface that is monitored by a cluster to make sure that it is connected and operating correctly. The cluster monitors the connectivity of this interface for all cluster units. If a monitored interface fails or becomes disconnected from its network, the cluster will compensate.

## Primary unit

Also called the primary cluster unit, this cluster unit controls how the cluster operates. The primary unit sends hello packets to all cluster units to synchronize session information, synchronize the cluster configuration, and to synchronize the cluster routing table. The hello packets also confirm for the subordinate units that the primary unit is still functioning.

The primary unit also tracks the status of all subordinate units. When you start a management connection to a cluster, you connect to the primary unit.



In an active-passive cluster, the primary unit processes all network traffic. If a subordinate unit fails, the primary unit updates the cluster configuration database.

In an active-active cluster, the primary unit receives all network traffic and re-directs this traffic to subordinate units. If a subordinate unit fails, the primary unit updates the cluster status and redistributes load balanced traffic to other subordinate units in the cluster.

The FortiGate firmware uses the term master to refer to the primary unit.

## Session failover

Session failover means that a cluster maintains active network sessions after a device or link failover. FortiGate HA does not support session failover by default. To enable session failover you must change the HA configuration to select Enable Session Pick-up. See also “[Device failover, link failover, and session failover](#)” on page 28.

## Session pickup

If you enable session pickup for a cluster, if the primary unit fails or a subordinate unit in an active-active cluster fails, all communication sessions with the cluster are maintained or picked up by the cluster after the cluster negotiates to select a new primary unit.

If session pickup is not a requirement of your HA installation, you can disable this option to save processing resources and reduce the network bandwidth used by HA session synchronization. In many cases interrupted sessions will resume on their own after a failover even if session pickup is not enabled. You can also enable session pickup delay to reduce the number of sessions that are synchronized by session pickup.

## Standby state

A subordinate unit in an active-passive HA cluster operates in the standby state. In a virtual cluster, a subordinate virtual domain also operates in the standby state. The standby state is actually a hot-standby state because the subordinate unit or subordinate virtual domain is not processing traffic but is monitoring the primary unit session table to take the place of the primary unit or primary virtual domain if a failure occurs.

In an active-active cluster all cluster units operate in a work state.

When standby state appears in HA log messages this usually means that a cluster unit has become a subordinate unit in an active-passive cluster or that a virtual domain has become a subordinate virtual domain.

## State synchronization

The part of the FGCP that maintains connections after failover.

## Subordinate unit

Also called the subordinate cluster unit, each cluster contains one or more cluster units that are not functioning as the primary unit. Subordinate units are always waiting to become the primary unit. If a subordinate unit does not receive hello packets from the primary unit, it attempts to become the primary unit.

In an active-active cluster, subordinate units keep track of cluster connections, keep their configurations and routing tables synchronized with the primary unit, and process network traffic assigned to them by the primary unit. In an active-passive cluster, subordinate units do not process network traffic. However, active-passive subordinate units do keep track of cluster connections and do keep their configurations and routing tables synchronized with the primary unit.

The FortiGate firmware uses the terms slave and subsidiary unit to refer to a subordinate unit.

## Virtual clustering

Virtual clustering is an extension of the FGCP for FortiGate units operating with multiple VDOMS enabled. Virtual clustering operates in active-passive mode to provide failover protection between two instances of a VDOM operating on two different cluster units. You can also operate virtual clustering in active-active mode to use HA load balancing to load balance sessions between cluster units. Alternatively, by distributing VDOM processing between the two cluster units you can also configure virtual clustering to provide load balancing by distributing sessions for different VDOMs to each cluster unit.

## Work state

The primary unit in an active-passive HA cluster, a primary virtual domain in a virtual cluster, and all cluster units in an active-active cluster operate in the work state. A cluster unit operating in the work state processes traffic, monitors the status of the other cluster units, and tracks the session table of the cluster.

When work state appears in HA log messages this usually means that a cluster unit has become the primary unit or that a virtual domain has become a primary virtual domain.

## HA web-based manager options

Go to *System > Config > HA* to change HA options.

You can configure HA options for a FortiGate unit with virtual domains (VDOMs) enabled by logging into the web-based manager as the global admin administrator and going to *System > Config > HA*.

If already operating in HA mode, go to *System > Config > HA* to display the cluster members list (see [“Cluster members list” on page 175](#)).

Go to *System > Config > HA* and select *View HA Statistics* to view statistics about cluster operation. See [“Viewing HA statistics” on page 177](#).



If your cluster uses virtual domains, you are configuring HA virtual clustering. Most virtual cluster HA options are the same as normal HA options. However, virtual clusters include VDOM partitioning options. Other differences between configuration options for regular HA and for virtual clustering HA are described below and see [“Configuring and connecting virtual clusters” on page 117](#).



HA is not compatible with PPP protocols such as PPPoE. HA is also not compatible with DHCP. If one or more FortiGate interfaces is dynamically configured using DHCP or PPPoE, you cannot switch to operate in HA mode. You also cannot switch to operate in HA mode if one or more FortiGate interfaces is configured as a PPTP or L2TP client or if the FortiGate unit is configured for standalone session synchronization.

<b>Mode</b>	<p>Select an HA mode for the cluster or return the FortiGate unit in the cluster to standalone mode. When configuring a cluster, you must set all members of the HA cluster to the same HA mode. You can select <i>Standalone</i> (to disable HA), <i>Active-Passive</i>, or <i>Active-Active</i>.</p> <p>If virtual domains are enabled you can select <i>Active-Passive</i> or <i>Standalone</i>.</p>
<b>Device Priority</b>	<p>Optionally set the device priority of the cluster FortiGate unit. Each FortiGate unit in a cluster can have a different device priority. During HA negotiation, the FortiGate unit with the highest device priority usually becomes the primary unit. See <a href="#">“Primary unit selection” on page 28</a>.</p> <p>In a virtual cluster configuration, each cluster FortiGate unit can have two different device priorities, one for each virtual cluster. During HA negotiation, the FortiGate unit with the highest device priority in a virtual cluster becomes the primary FortiGate unit for that virtual cluster.</p> <p>Changes to the device priority are not synchronized. You can accept the default device priority when first configuring a cluster. When the cluster is operating you can change the device priority for different cluster units as required.</p>
<b>Group Name</b>	<p>Enter a name to identify the cluster. The maximum length of the group name is 32 characters. The group name must be the same for all cluster units before the cluster units can form a cluster. After a cluster is operating, you can change the group name. The group name change is synchronized to all cluster units.</p> <p>When the cluster is operating you can change the group name, if required.</p>
<b>Password</b>	<p>Enter a password to identify the cluster. The maximum password length is 15 characters. The password must be the same for all cluster FortiGate units before the cluster FortiGate units can form a cluster.</p> <p>The default is no password. When the cluster is operating, you can add a password, if required. Two clusters on the same network must have different passwords.</p>
<b>Enable Session pickup</b>	<p>Select to enable session pickup so that if the primary unit fails, sessions are picked up by the cluster unit that becomes the new primary unit.</p> <p>You must enable session pickup for session failover protection. If you do not require session failover protection, leaving session pickup disabled may reduce HA CPU usage and reduce HA heartbeat network bandwidth usage.</p> <p>Session pickup is disabled by default. You can accept the default setting for session pickup and later choose to enable session pickup after the cluster is operating. See <a href="#">“Session failover (session pick-up)” on page 233</a>.</p>

<b>Port Monitor</b>	<p>Select to enable or disable monitoring Fortinet interfaces to verify the monitored interfaces are functioning properly and are connected to their networks. See <a href="#">“Link failover” on page 222</a>.</p> <p>If a monitored interface fails or is disconnected from its network, the interface leaves the cluster and a link failover occurs. The link failover causes the cluster to reroute the traffic being processed by that interface to the same interface of another cluster FortiGate unit that still has a connection to the network. This other cluster FortiGate unit becomes the new primary unit.</p> <p>Port monitoring (also called interface monitoring) is disabled by default. Leave port monitoring disabled until the cluster is operating and then only enable port monitoring for connected interfaces.</p> <p>You can monitor up to 16 interfaces. This limit only applies to FortiGate units with more than 16 physical interfaces.</p>
<b>Heartbeat Interface</b>	<p>Select to enable or disable HA heartbeat communication for each interface in the cluster and set the heartbeat interface priority. The heartbeat interface with the highest priority processes all heartbeat traffic. If two or more heartbeat interfaces have the same priority, the heartbeat interface with the lowest hash map order value processes all heartbeat traffic. The web-based manager lists interfaces in alphanumeric order:</p> <ul style="list-style-type: none"> <li>• port1</li> <li>• port2 through 9</li> <li>• port10</li> </ul> <p>Hash map order sorts interfaces in the following order:</p> <ul style="list-style-type: none"> <li>• port1</li> <li>• port10</li> <li>• port2 through port9</li> </ul> <p>The default heartbeat interface configuration is different for each unit. This default configuration usually sets the priority of two heartbeat interfaces to 50. You can accept the default heartbeat interface configuration or change it as required.</p> <p>The heartbeat interface priority range is 0 to 512. The default priority when you select a new heartbeat interface is 0.</p> <p>You must select at least one heartbeat interface. If heartbeat communication is interrupted, the cluster stops processing traffic. See <a href="#">“HA heartbeat and communication between cluster units” on page 198</a>.</p> <p>You can select up to 8 heartbeat interfaces. This limit only applies to units with more than 8 physical interfaces.</p>
<b>VDOM partitioning</b>	<p>If you are configuring virtual clustering, you can set the virtual domains to be in virtual cluster 1 and the virtual domains to be in virtual cluster 2. The root virtual domain must always be in virtual cluster 1. See <a href="#">“Configuring and connecting virtual clusters” on page 117</a>.</p>



# Configuring and connecting HA clusters

This chapter contains general procedures and descriptions as well as detailed configuration examples that describe how to configure FortiGate HA clusters.

The examples in this chapter include example values only. In most cases you will substitute your own values. The examples in this chapter also do not contain detailed descriptions of configuration parameters.

This chapter contains the following sections:

- [About the procedures in this chapter](#)
- [Example: NAT/Route mode active-passive HA configuration](#)
- [Example: Transparent mode active-active HA configuration](#)
- [Example: advanced Transparent mode active-active HA configuration](#)
- [Example: converting a standalone FortiGate unit to a cluster](#)
- [Example: adding a new unit to an operating cluster](#)
- [Example: replacing a failed cluster unit](#)
- [Example: HA and 802.3ad aggregated interfaces](#)
- [Example: HA and redundant interfaces](#)
- [Troubleshooting HA clusters](#)

## About the procedures in this chapter

The procedures in this chapter describe some of many possible sequences of steps for configuring HA clustering. As you become more experienced with FortiOS HA you may choose to use a different sequence of configuration steps.

For simplicity, many of these procedures assume that you are starting with new FortiGate units set to the factory default configuration. However, starting from the default configuration is not a requirement for a successful HA deployment. FortiGate HA is flexible enough to support a successful configuration from many different starting points.

## Example: NAT/Route mode active-passive HA configuration

This section describes a simple HA network topology that includes an HA cluster of two FortiGate-620B units in NAT/Route mode installed between an internal network and the Internet.

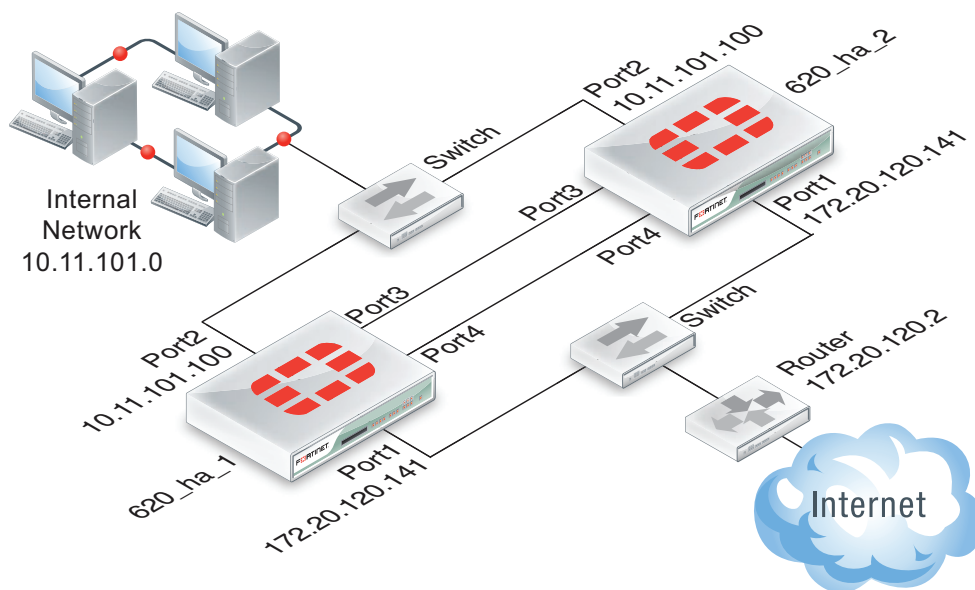
- [Example NAT/Route mode HA network topology](#)
- [General configuration steps](#)
- [Configuring a NAT/Route mode active-passive cluster of two FortiGate-620B units - web-based manager](#)

- Configuring a NAT/Route mode active-passive cluster of two FortiGate-620B units - CLI

## Example NAT/Route mode HA network topology

Figure 5 shows a typical FortiGate-620B HA cluster consisting of two FortiGate-620B units (620\_ha\_1 and 620\_ha\_2) connected to the same internal (port2) and external (port1) networks.

Figure 5: Example NAT/Route mode HA network topology



Port3 and port4 are the default FortiGate-620B heartbeat interfaces. Because the cluster consists of two FortiGate units, you can make the connections between the heartbeat interfaces using crossover cables. You could also use switches and regular ethernet cables.

## General configuration steps

The section includes web-based manager and CLI procedures. These procedures assume that the FortiGate-620B units are running the same FortiOS firmware build and are set to the factory default configuration.

### General configuration steps

- 1 Configure the FortiGate units for HA operation.
  - Optionally change each unit's host name.
  - Configure HA.
- 2 Connect the cluster to the network.
- 3 Confirm that the cluster units are operating as a cluster and add basic configuration settings to the cluster.
  - View cluster status from the web-based manager or CLI.
  - Add a password for the admin administrative account.
  - Change the IP addresses and netmasks of the internal and external interfaces.
  - Add a default route.

## Configuring a NAT/Route mode active-passive cluster of two FortiGate-620B units - web-based manager

Use the following procedures to configure two FortiGate-620B units for NAT/Route HA operation using the FortiGate web-based manager. These procedures assume you are starting with two FortiGate-620B units with factory default settings.



Give each cluster unit a unique host name to make the individual units easier to identify when they are part of a functioning cluster. The default FortiGate unit host name is the FortiGate serial number. You may want to change this host name to something more meaningful for your network.

### To configure the first FortiGate-620B unit (host name 620\_ha\_1)

- 1 Power on the first FortiGate unit.
- 2 On your management computer with an Ethernet connection, set the static IP address to 192.168.1.2 and the netmask to 255.255.255.0.
- 3 On a management computer, start a web browser and browse to the address <https://192.168.1.99> (remember to include the “s” in https://).  
The FortiGate login is displayed.
- 4 Type *admin* in the *Name* field and select *Login*.  
The FortiGate dashboard is displayed.
- 5 On the *System Information* dashboard widget beside *Host Name*, select *Change*.
- 6 Enter a new Host Name for this FortiGate unit.

<b>New Name</b>	620_ha_1
-----------------	----------

- 7 Select OK.
- 8 Go to *System > Config > HA* and change the following settings:

<b>Mode</b>	Active-Passive
<b>Group Name</b>	example1.com
<b>Password</b>	HA_pass_1



This is the minimum recommended configuration for an active-active HA cluster. You can also configure other HA options, but if you wait until after the cluster is operating you will only have to configure these options once for the cluster instead of separately for each unit in the cluster.



**9** Select OK.

The FortiGate unit negotiates to establish an HA cluster. When you select OK you may temporarily lose connectivity with the FortiGate unit as the HA cluster negotiates and the FGCP changes the MAC address of the FortiGate unit interfaces (see “[Cluster virtual MAC addresses](#)” on page 205). The MAC addresses of the FortiGate-620B interfaces change to the following virtual MAC addresses:

- port1 interface virtual MAC: 00-09-0f-09-00-00
- port10 interface virtual MAC: 00-09-0f-09-00-01
- port11 interface virtual MAC: 00-09-0f-09-00-02
- port12 interface virtual MAC: 00-09-0f-09-00-03
- port13 interface virtual MAC: 00-09-0f-09-00-04
- port14 interface virtual MAC: 00-09-0f-09-00-05
- port15 interface virtual MAC: 00-09-0f-09-00-06
- port16 interface virtual MAC: 00-09-0f-09-00-07
- port17 interface virtual MAC: 00-09-0f-09-00-08
- port18 interface virtual MAC: 00-09-0f-09-00-09
- port19 interface virtual MAC: 00-09-0f-09-00-0a
- port2 interface virtual MAC: 00-09-0f-09-00-0b
- port20 interface virtual MAC: 00-09-0f-09-00-0c
- port3 interface virtual MAC: 00-09-0f-09-00-0d
- port4 interface virtual MAC: 00-09-0f-09-00-0e
- port5 interface virtual MAC: 00-09-0f-09-00-0f
- port6 interface virtual MAC: 00-09-0f-09-00-10
- port7 interface virtual MAC: 00-09-0f-09-00-11
- port8 interface virtual MAC: 00-09-0f-09-00-12
- port9 interface virtual MAC: 00-09-0f-09-00-13

To reconnect sooner, you can update the ARP table of your management PC by deleting the ARP table entry for the FortiGate unit (or just deleting all arp table entries). You may be able to delete the arp table of your management PC from a command prompt using a command similar to `arp -d`.

To confirm these MAC address changes, you can use the `get hardware nic` (or `diagnose hardware deviceinfo nic`) CLI command to view the virtual MAC address of any FortiGate unit interface. For example, use the following command to view the port1 interface virtual MAC address (MAC) and the port1 permanent MAC address (Permanent\_HWaddr):

```
get hardware nic port1
.
.
.
MAC: 00:09:0f:09:00:00
Permanent_HWaddr: 02:09:0f:78:18:c9
.
.
.
```

**10** Power off the first FortiGate unit (620\_ha\_1).



**To configure the second FortiGate-620B unit (host name 620\_ha\_2)**

- 1 Power on the second FortiGate unit.
- 2 On a management computer, start a web browser and browse to the address <https://192.168.1.99> (remember to include the “s” in https://).  
The FortiGate login is displayed.
- 3 Type *admin* in the *Name* field and select *Login*.  
The FortiGate dashboard is displayed.
- 4 On the *System Information* dashboard widget, beside *Host Name* select *Change*.
- 5 Enter a new Host Name for this FortiGate unit.

<b>New Name</b>	620_ha_2
-----------------	----------

- 6 Select OK.
- 7 Go to *System > Config > HA* and change the following settings:

<b>Mode</b>	Active-Passive
<b>Group Name</b>	example1.com
<b>Password</b>	HA_pass_1

- 8 Select OK.  
The FortiGate unit negotiates to establish an HA cluster. When you select OK you may temporarily lose connectivity with the FortiGate unit as the HA cluster negotiates and because the FGCP changes the MAC address of the FortiGate unit interfaces.  
To reconnect sooner, you can update the ARP table of your management PC by deleting the ARP table entry for the FortiGate unit (or just deleting all arp table entries). You may be able to delete the arp table of your management PC from a command prompt using a command similar to `arp -d`.
- 9 Power off the second FortiGate unit.

**To connect the cluster to the network**

- 1 Connect the port1 interfaces of 620\_ha\_1 and 620\_ha\_2 to a switch connected to the Internet.
- 2 Connect the port2 interfaces of 620\_ha\_1 and 620\_ha\_2 to a switch connected to the internal network.
- 3 Connect the port3 interfaces of 620\_ha\_1 and 620\_ha\_2 together. You can use a crossover Ethernet cable or regular Ethernet cables and a switch.
- 4 Connect the port4 interfaces of the cluster units together. You can use a crossover Ethernet cable or regular Ethernet cables and a switch.
- 5 Power on the cluster units.

The units start and negotiate to choose the primary unit and the subordinate unit. This negotiation occurs with no user intervention and normally takes less than a minute.

When negotiation is complete the cluster is ready to be configured for your network.

### To view cluster status

Use the following steps to view the cluster dashboard and cluster members list to confirm that the cluster units are operating as a cluster.



Once the cluster is operating, because configuration changes are synchronized to all cluster units, configuring the cluster is the same as configuring an individual FortiGate unit. You could have performed the following configuration steps separately on each FortiGate unit before you connected them to form a cluster.

- 1 Start Internet Explorer and browse to the address <https://192.168.1.99> (remember to include the “s” in https://).

The FortiGate Login is displayed.

- 2 Type `admin` in the *Name* field and select Login.

The FortiGate dashboard is displayed.

The System Information dashboard widget shows the *Cluster Name* (example1.com) and the host names and serial numbers of the *Cluster Members*. The Unit Operation widget shows multiple cluster units.





**Figure 6: Sample FortiGate-620B System Information dashboard widget**

System Information		
Cluster Name	example1.com	
Cluster Members	620_ha_2/FG600B3908600825	(Master)
	620_ha_1/FG600B3908600705	(Slave)
Serial Number	FG600B3908600825	
Operation Mode	NAT [Change]	
HA Status	Active-Passive [Configure]	
System Time	Wed Feb 9 14:35:11 2011 [Change]	
Firmware Version	v4.0,build0415,110126 (Interim) [Update]	
System Configuration	Last Backup: N/A [Backup] [Restore]	
Current Administrator	admin [Change Password] /4 in Total [Details]	
Uptime	13 day(s) 7 hour(s) 34 min(s)	
Virtual Domain	Disabled [Enable]	

- 3 Go to *System > Config > HA* to view the cluster members list.

The list shows both cluster units, their host names, their roles in the cluster, and their priorities. You can use this list to confirm that the cluster is operating normally. For example, if the list shows only one cluster unit then the other unit has left the cluster for some reason.

**Figure 7: Sample FortiGate-620B cluster members list**

HA Cluster		View HA Statistics		
	Cluster Member	Hostname	Role	Priority
		620_ha_2	MASTER	128
		620_ha_1	SLAVE	128

**To troubleshoot the cluster configuration**

If the cluster members list and the dashboard do not display information for both cluster units, the FortiGate units are not functioning as a cluster. See [“Troubleshooting HA clusters” on page 111](#) to troubleshoot the cluster.

**To add basic configuration settings to the cluster**

Use the following steps to configure the cluster to connect to its network. The following are example configuration steps only and do not represent all of the steps required to configure the cluster for a given network.

- 1 Log into the cluster web-based manager.
- 2 Go to *System > Admin > Administrators*.
- 3 For *admin*, select the *Change Password* icon
- 4 Enter and confirm a new password.
- 5 Select OK.
- 6 Go to *System > Network > Interface*.
- 7 Edit the *port2* interface and change *IP/Netmask* to 10.11.101.100/24.
- 8 Select OK.



After changing the IP address of the port1 interface you may have to change the IP address of your management computer and then reconnect to the port1 interface using the 172.20.120.141 IP address.

- 9 Edit the *port1* interface and change *IP/Netmask* to 172.20.120.141/24.
- 10 Select OK.
- 11 Go to *Router > Static*.
- 12 Change the default route.

<b>Destination IP/Mask</b>	0.0.0.0/0.0.0.0
<b>Gateway</b>	172.20.120.2
<b>Device</b>	port1
<b>Distance</b>	10

- 13 Select OK.

**Configuring a NAT/Route mode active-passive cluster of two FortiGate-620B units - CLI**

Use the following procedures to configure two FortiGate-620B units for NAT/Route HA operation using the FortiGate CLI. These procedures assume you are starting with two FortiGate-620B units with factory default settings.

**To configure the first FortiGate-620B unit (host name 620\_ha\_1)**

- 1 Power on the FortiGate unit.
- 2 Connect a null modem cable to the communications port of the management computer and to the FortiGate Console port.
- 3 Start HyperTerminal (or any terminal emulation program), enter a name for the connection, and select OK.

- 4 Configure HyperTerminal to connect directly to the communications port on the computer to which you have connected the null modem cable and select OK.
- 5 Select the following port settings and select OK.

<b>Bits per second</b>	9600
<b>Data bits</b>	8
<b>Parity</b>	None
<b>Stop bits</b>	1
<b>Flow control</b>	None

- 6 Press Enter to connect to the FortiGate CLI.

The FortiGate unit CLI login prompt appears.

If the prompt does not appear, press Enter. If it still does not appear, power off your FortiGate unit and power it back on. If you are connected, at this stage you will see startup messages that will confirm you are connected. The login prompt will appear after the startup has completed.

- 7 Type `admin` and press Enter twice.
- 8 Change the host name for this FortiGate unit.

```
config system global
  set hostname 620_ha_1
end
```

- 9 Configure HA settings.

```
config system ha
  set mode a-p
  set group-name example1.com
  set password HA_pass_1
end
```

The FortiGate unit negotiates to establish an HA cluster. You may temporarily lose network connectivity with the FortiGate unit as the HA cluster negotiates and the FGCP changes the MAC address of the FortiGate unit interfaces (see [“Cluster virtual MAC addresses” on page 205](#)). The MAC addresses of the FortiGate-620B interfaces change to the following virtual MAC addresses:

- port1 interface virtual MAC: 00-09-0f-09-00-00
- port10 interface virtual MAC: 00-09-0f-09-00-01
- port11 interface virtual MAC: 00-09-0f-09-00-02
- port12 interface virtual MAC: 00-09-0f-09-00-03
- port13 interface virtual MAC: 00-09-0f-09-00-04
- port14 interface virtual MAC: 00-09-0f-09-00-05
- port15 interface virtual MAC: 00-09-0f-09-00-06
- port16 interface virtual MAC: 00-09-0f-09-00-07
- port17 interface virtual MAC: 00-09-0f-09-00-08
- port18 interface virtual MAC: 00-09-0f-09-00-09
- port19 interface virtual MAC: 00-09-0f-09-00-0a
- port2 interface virtual MAC: 00-09-0f-09-00-0b
- port20 interface virtual MAC: 00-09-0f-09-00-0c

- port3 interface virtual MAC: 00-09-0f-09-00-0d
- port4 interface virtual MAC: 00-09-0f-09-00-0e
- port5 interface virtual MAC: 00-09-0f-09-00-0f
- port6 interface virtual MAC: 00-09-0f-09-00-10
- port7 interface virtual MAC: 00-09-0f-09-00-11
- port8 interface virtual MAC: 00-09-0f-09-00-12
- port9 interface virtual MAC: 00-09-0f-09-00-13

To reconnect sooner, you can update the ARP table of your management PC by deleting the ARP table entry for the FortiGate unit (or just deleting all arp table entries). You may be able to delete the arp table of your management PC from a command prompt using a command similar to `arp -d`.

To confirm these MAC address changes, you can use the `get hardware nic` (or `diagnose hardware deviceinfo nic`) CLI command to view the virtual MAC address of any FortiGate unit interface. For example, use the following command to view the port1 interface virtual MAC address (MAC) and the port1 permanent MAC address (Permanent\_HWaddr):

```
get hardware nic port1
.
.
.
MAC: 00:09:0f:09:00:00
Permanent_HWaddr: 02:09:0f:78:18:c9
.
.
.
```

#### 10 Display the HA configuration (optional).

```
get system ha
  group-id           : 0
  group-name         : example1.com
  mode               : a-p
  password           : *
  hbdev              : "port3" 50 "port4" 50
  session-sync-dev   :
  route-ttl          : 10
  route-wait         : 0
  route-hold         : 10
  sync-config        : enable
  encryption         : disable
  authentication     : disable
  hb-interval        : 2
  hb-lost-threshold  : 6
  helo-holddown      : 20
  arps               : 5
  arps-interval      : 8
  session-pickup     : disable
  link-failed-signal : disable
  uninterruptable-upgrade: enable
  ha-mgmt-status     : disable
  ha-eth-type        : 8890
  hc-eth-type        : 8891
```

```

l2ep-eth-type      : 8893
subsecond          : disable
vcluster2          : disable
vcluster-id        : 1
override           : disable
priority           : 128
monitor            :
pingserver-monitor-interface:
pingserver-failover-threshold: 0
pingserver-flip-timeout: 60
vdom                : "root"

```

# 11 Power off the FortiGate unit.

## To configure the second FortiGate-620B unit (host name 620\_ha\_2)

- 1 Power on the FortiGate unit.
- 2 Connect a null modem cable to the communications port of the management computer and to the FortiGate Console port.
- 3 Start HyperTerminal, enter a name for the connection, and select OK.
- 4 Configure HyperTerminal to connect directly to the communications port on the computer to which you have connected the null modem cable and select OK.
- 5 Select the following port settings and select OK.

<b>Bits per second</b>	9600
<b>Data bits</b>	8
<b>Parity</b>	None
<b>Stop bits</b>	1
<b>Flow control</b>	None

- 6 Press Enter to connect to the FortiGate CLI.  
The FortiGate unit CLI login prompt appears.
- 7 Type `admin` and press Enter twice.
- 8 Change the host name for this FortiGate unit.

```

config system global
  set hostname 620_ha_2
end

```

- 9 Configure HA settings.

```

config system ha
  set mode a-p
  set group-name example1.com
  set password HA_pass_1
end

```

The FortiGate unit negotiates to establish an HA cluster. You may temporarily lose network connectivity with the FortiGate unit as the HA cluster negotiates and because the FGCP changes the MAC address of the FortiGate unit interfaces.

To reconnect sooner, you can update the ARP table of your management PC by deleting the ARP table entry for the FortiGate unit (or just deleting all arp table entries). You may be able to delete the arp table of your management PC from a command prompt using a command similar to `arp -d`.

**10 Display the HA configuration (optional).**

```
get system ha
  group-id           : 0
  group-name         : example1.com
  mode               : a-p
  password           : *
  hbdev              : "port3" 50 "port4" 50
  session-sync-dev   :
  route-ttl          : 10
  route-wait         : 0
  route-hold         : 10
  sync-config        : enable
  encryption         : disable
  authentication     : disable
  hb-interval        : 2
  hb-lost-threshold  : 6
  helo-holddown      : 20
  arps               : 5
  arps-interval      : 8
  session-pickup     : disable
  link-failed-signal : disable
  uninterruptable-upgrade: enable
  ha-mgmt-status     : disable
  ha-eth-type        : 8890
  hc-eth-type        : 8891
  l2ep-eth-type      : 8893
  subsecond          : disable
  vcluster2          : disable
  vcluster-id        : 1
  override           : disable
  priority            : 128
  monitor            :
  pingserver-monitor-interface:
  pingserver-failover-threshold: 0
  pingserver-flip-timeout: 60
  vdom               : "root"
```

**11 Power off the FortiGate unit.****To connect the cluster to the network**

- 1** Connect the port1 interfaces of 620\_ha\_1 and 620\_ha\_2 to a switch connected to the Internet.
- 2** Connect the port2 interfaces of 620\_ha\_1 and 620\_ha\_2 to a switch connected to the internal network.
- 3** Connect the port3 interfaces of 620\_ha\_1 and 620\_ha\_2 together. You can use a crossover Ethernet cable or regular Ethernet cables and a switch.
- 4** Connect the port4 interfaces of the cluster units together. You can use a crossover Ethernet cable or regular Ethernet cables and a switch.
- 5** Power on the cluster units.

The units start and negotiate to choose the primary unit and the subordinate unit. This negotiation occurs with no user intervention and normally takes less than a minute.

When negotiation is complete the cluster is ready to be configured for your network.

**To view cluster status**

Use the following steps to view cluster status from the CLI.

**1** Determine which cluster unit is the primary unit.

- Use the null-modem cable and serial connection to re-connect to the CLI of one of the cluster units.
- Enter the command `get system status`.

If the command output includes `Current HA mode: a-a, master`, the cluster units are operating as a cluster and you have connected to the primary unit. Continue with Step 2.

If the command output includes `Current HA mode: a-a, backup`, you have connected to a subordinate unit. Connect the null-modem cable to the other cluster unit, which should be the primary unit and continue with Step 2.



If the command output includes `Current HA mode: standalone`, the cluster unit is not operating in HA mode and you should review your HA configuration.

**2** Enter the following command to confirm the HA configuration of the cluster:

```
get system ha status
Model: 620
Mode: a-a
Group: 0
Debug: 0
ses_pickup: disable
Master:128 620_ha_2          FG600B3908600825 0
Slave :128 620_ha_1          FG600B3908600705 1
number of vcluster: 1
vcluster 1: work 169.254.0.1
Master:0 FG600B3908600825
Slave :1 FG600B3908600705
```

The command output shows both cluster units, their host names, their roles in the cluster, and their priorities. You can use this command to confirm that the cluster is operating normally. For example, if the command shows only one cluster unit then the other unit has left the cluster for some reason.

**To troubleshoot the cluster configuration**

If the cluster members list and the dashboard do not display information for both cluster units the FortiGate units are not functioning as a cluster. See [“Troubleshooting HA clusters” on page 111](#) to troubleshoot the cluster.

**To add basic configuration settings to the cluster**

Use the following steps to add some basic settings to the cluster so that it can connect to the network.

**1** Log into the primary unit CLI.**2** Add a password for the admin administrative account.

```
config system admin
edit admin
set password <password_str>
end
```

**3** Configure the port1 and port2 interfaces.



```

config system interface
  edit port1
    set ip 172.20.120.141/24
  next
  edit port2
    set ip 10.11.101.100/24
end

```

#### 4 Add a default route.

```

config router static
  edit 1
    set dst 0.0.0.0 0.0.0.0
    set gateway 172.20.120.2
    set device port1
  end

```

## Example: Transparent mode active-active HA configuration

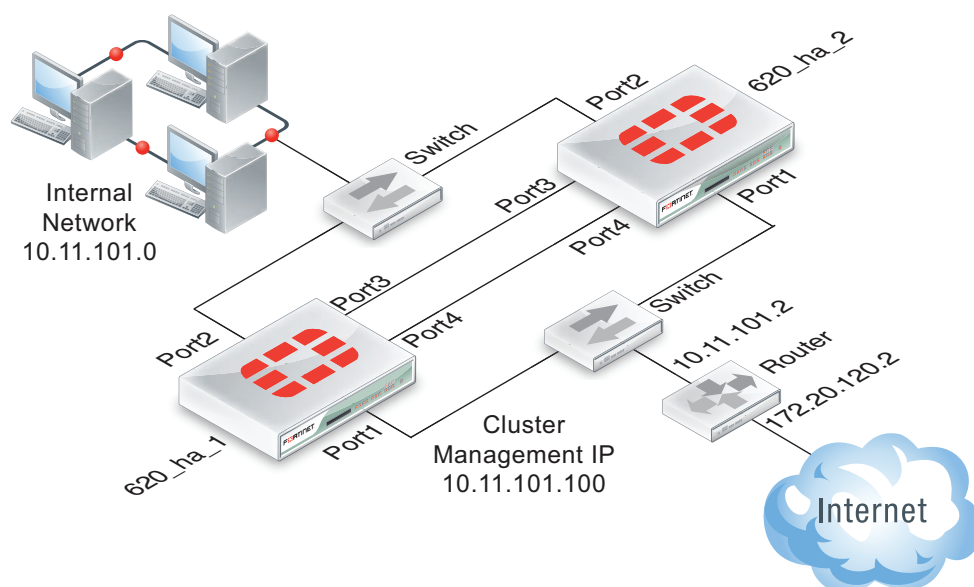
This section describes a simple HA network topology that includes an HA cluster of two FortiGate-620B units installed between an internal network and the Internet and running in Transparent mode.

- [Example Transparent mode HA network topology](#)
- [General configuration steps](#)

### Example Transparent mode HA network topology

Figure 8 shows a Transparent mode FortiGate-620B HA cluster consisting of two FortiGate-620B units (620\_ha\_1 and 620\_ha\_2) installed between the Internet and internal network. The topology includes a router that performs NAT between the internal network and the Internet. The cluster management IP address is 10.11.101.100.

**Figure 8: Transparent mode HA network topology**



Port3 and port4 are the default FortiGate-620B heartbeat interfaces. Because the cluster consists of two FortiGate units, you can make the connections between the heartbeat interfaces using crossover cables. You could also use switches and regular ethernet cables.

## General configuration steps

This section includes web-based manager and CLI procedures. These procedures assume that the FortiGate-620B units are running the same FortiOS firmware build and are set to the factory default configuration.

In this example, the configuration steps are identical to the NAT/Route mode configuration steps until the cluster is operating. When the cluster is operating, you can switch to Transparent mode and add basic configuration settings to cluster.

### General configuration steps

- 1 Configure the FortiGate units for HA operation.
  - Optionally change each unit's host name.
  - Configure HA.
- 2 Connect the cluster to the network.
- 3 Confirm that the cluster units are operating as a cluster.
- 4 Switch the cluster to Transparent mode and add basic configuration settings to the cluster.
  - Switch to Transparent mode, add the management IP address and a default route.
  - Add a password for the admin administrative account.
  - View cluster status from the web-based manager or CLI.

## Configuring a Transparent mode active-active cluster of two FortiGate-620B units - web-based manager

Use the following procedures to configure the FortiGate-620B units for HA operation using the FortiGate web-based manager. These procedures assume you are starting with two FortiGate-620B units with factory default settings.



Waiting until you have established the cluster to switch to Transparent mode means fewer configuration steps because you can switch the mode of the cluster in one step.

### To configure the first FortiGate-620B unit (host name 620\_ha\_1)

- 1 Power on the first FortiGate unit.
- 2 Set the IP address of a management computer with an Ethernet connection to the static IP address 192.168.1.2 and a netmask of 255.255.255.0.
- 3 On a management computer, start a web browser and browse to the address <https://192.168.1.99> (remember to include the "s" in https://).  
The FortiGate login is displayed.
- 4 Type *admin* in the *Name* field and select *Login*.  
The FortiGate dashboard is displayed.
- 5 On the *System Information* dashboard widget, beside *Host Name* select *Change*.
- 6 Enter a new Host Name for this FortiGate unit.

<b>New Name</b>	620_ha_1
-----------------	----------

7 Select OK.

8 Go to *System > Config > HA* and change the following settings:

<b>Mode</b>	Active-Active
<b>Group Name</b>	example2.com
<b>Password</b>	HA_pass_2



This is the minimum recommended configuration for an active-active HA cluster. You can configure other HA options at this point, but if you wait until the cluster is operating you will only have to configure these options once for the cluster instead of separately for each cluster unit.

**9** Select OK.

The FortiGate unit negotiates to establish an HA cluster. When you select OK you may temporarily lose connectivity with the FortiGate unit as the HA cluster negotiates and the FGCP changes the MAC address of the FortiGate unit interfaces (see “[Cluster virtual MAC addresses](#)” on page 205). The MAC addresses of the FortiGate-620B interfaces change to the following virtual MAC addresses:

- port1 interface virtual MAC: 00-09-0f-09-00-00
- port10 interface virtual MAC: 00-09-0f-09-00-01
- port11 interface virtual MAC: 00-09-0f-09-00-02
- port12 interface virtual MAC: 00-09-0f-09-00-03
- port13 interface virtual MAC: 00-09-0f-09-00-04
- port14 interface virtual MAC: 00-09-0f-09-00-05
- port15 interface virtual MAC: 00-09-0f-09-00-06
- port16 interface virtual MAC: 00-09-0f-09-00-07
- port17 interface virtual MAC: 00-09-0f-09-00-08
- port18 interface virtual MAC: 00-09-0f-09-00-09
- port19 interface virtual MAC: 00-09-0f-09-00-0a
- port2 interface virtual MAC: 00-09-0f-09-00-0b
- port20 interface virtual MAC: 00-09-0f-09-00-0c
- port3 interface virtual MAC: 00-09-0f-09-00-0d
- port4 interface virtual MAC: 00-09-0f-09-00-0e
- port5 interface virtual MAC: 00-09-0f-09-00-0f
- port6 interface virtual MAC: 00-09-0f-09-00-10
- port7 interface virtual MAC: 00-09-0f-09-00-11
- port8 interface virtual MAC: 00-09-0f-09-00-12
- port9 interface virtual MAC: 00-09-0f-09-00-13

To reconnect sooner, you can update the ARP table of your management PC by deleting the ARP table entry for the FortiGate unit (or just deleting all arp table entries). You may be able to delete the arp table of your management PC from a command prompt using a command similar to `arp -d`.

To confirm these MAC address changes, you can use the `get hardware nic` (or `diagnose hardware deviceinfo nic`) CLI command to view the virtual MAC address of any FortiGate unit interface. For example, use the following command to view the port1 interface virtual MAC address (MAC) and the port1 permanent MAC address (Permanent\_HWaddr):

```
get hardware nic port1
.
.
.
MAC: 00:09:0f:09:00:00
Permanent_HWaddr: 02:09:0f:78:18:c9
.
.
.
```

**10** Power off the first FortiGate unit.

**To configure the second FortiGate-620B unit (host name 620\_ha\_2)**

- 1 Power on second FortiGate unit.
- 2 On a management computer, start Internet Explorer and browse to the address <https://192.168.1.99> (remember to include the “s” in https://).  
The FortiGate login is displayed.
- 3 Type *admin* in the *Name* field and select *Login*.  
The FortiGate dashboard is displayed.
- 4 On the *System Information* dashboard widget, beside *Host Name* select *Change*.
- 5 Enter a new Host Name for this FortiGate unit.

<b>New Name</b>	620_ha_2
-----------------	----------

- 6 Select OK.
- 7 Go to *System > Config > HA* and change the following settings:

<b>Mode</b>	Active-Active
<b>Group Name</b>	example2.com
<b>Password</b>	HA_pass_2

- 8 Select OK.  
The FortiGate unit negotiates to establish an HA cluster. When you select OK you may temporarily lose connectivity with the FortiGate unit as the HA cluster negotiates and because the FGCP changes the MAC address of the FortiGate unit interfaces.  
To reconnect sooner, you can update the ARP table of your management PC by deleting the ARP table entry for the FortiGate unit (or just deleting all arp table entries). You may be able to delete the arp table of your management PC from a command prompt using a command similar to `arp -d`.
- 9 Power off the second FortiGate unit.

**To connect the cluster to the network**

- 1 Connect the port1 interfaces of 620\_ha\_1 and 620\_ha\_2 to a switch connected to the Internet.
- 2 Connect the port2 interfaces of 620\_ha\_1 and 620\_ha\_2 to a switch connected to the internal network.
- 3 Connect the port3 interfaces of 620\_ha\_1 and 620\_ha\_2 together. You can use a crossover Ethernet cable or regular Ethernet cables and a switch.
- 4 Connect the port4 interfaces of the cluster units together. You can use a crossover Ethernet cable or regular Ethernet cables and a switch.
- 5 Power on the cluster units.

The units start and negotiate to choose the primary unit and the subordinate unit. This negotiation occurs with no user intervention and normally takes less than a minute.

When negotiation is complete the cluster is ready to be configured for your network.

### To switch the cluster to Transparent mode

Switching from NAT/Route to Transparent mode involves adding the Transparent mode management IP address and default route.



Since configuration changes are synchronized to all cluster units, switching the cluster to operate in Transparent mode once the cluster is operating is the same as switching an individual FortiGate unit to Transparent mode. You could have performed the following configuration steps separately on each FortiGate unit before you connected them to form a cluster.

- 1 Start a web browser and browse to the address `https://192.168.1.99` (remember to include the “s” in `https://`).
- The FortiGate Login is displayed.
- 2 Type admin in the Name field and select Login.
- 3 Under System Information, beside *Operation Mode* select *Change*.
- 4 Set Operation Mode to Transparent.
- 5 Configure basic Transparent mode settings.

<b>Operation Mode</b>	Transparent
<b>Management IP/Mask</b>	10.11.101.100/24
<b>Default Gateway</b>	10.11.101.2

- 6 Select Apply.
- The cluster switches to operating in Transparent mode. The virtual MAC addresses assigned to the cluster interfaces do not change.

### To view cluster status

Use the following steps to view the cluster dashboard and cluster members list to confirm that the cluster units are operating as a cluster.



Once the cluster is operating, because configuration changes are synchronized to all cluster units, configuring the cluster is the same as configuring an individual FortiGate unit. You could have performed the following configuration steps separately on each FortiGate unit before you connected them to form a cluster.

- 1 Start Internet Explorer and browse to the address `https://10.11.101.100` (remember to include the “s” in `https://`).
- The FortiGate Login is displayed.
- 2 Type admin in the Name field and select Login.
- The FortiGate dashboard is displayed.
- The System Information dashboard widget shows the *Cluster Name* (example2.com) and the host names and serial numbers of the *Cluster Members*. The Unit Operation widget shows multiple cluster units.
- 3 Go to *System > Config > HA* to view the cluster members list.
- The list shows both cluster units, their host names, their roles in the cluster, and their priorities. You can use this list to confirm that the cluster is operating normally. For example, if the list shows only one cluster unit then the other unit has left the cluster for some reason.

**To troubleshoot the cluster configuration**

If the cluster members list and the dashboard do not display information for both cluster units, the FortiGate units are not functioning as a cluster. See [“Troubleshooting HA clusters” on page 111](#) to troubleshoot the cluster.

**To add basic configuration settings to the cluster**

Use the following steps to configure the cluster. Note that the following are example configuration steps only and do not represent all of the steps required to configure the cluster for a given network.

- 1 Log into the cluster web-based manager.
- 2 Go to *System > Admin > Administrators*.
- 3 For *admin*, select the *Change Password* icon
- 4 Enter and confirm a new password.
- 5 Select OK.



You added a default gateway when you switched to Transparent mode so you don't need to add a default route as part of the basic configuration of the cluster at this point.

**Configuring a Transparent mode active-active cluster of two FortiGate-620B units - CLI**

Use the following procedures to configure the FortiGate-620B units for Transparent mode HA operation using the FortiGate CLI.

**To configure each FortiGate unit for HA operation**

- 1 Power on the FortiGate unit.
- 2 Connect a null modem cable to the communications port of the management computer and to the FortiGate Console port.
- 3 Start HyperTerminal, enter a name for the connection, and select OK.
- 4 Configure HyperTerminal to connect directly to the communications port on the computer to which you have connected the null modem cable and select OK.
- 5 Select the following port settings and select OK.

<b>Bits per second</b>	9600
<b>Data bits</b>	8
<b>Parity</b>	None
<b>Stop bits</b>	1
<b>Flow control</b>	None

- 6 Press Enter to connect to the FortiGate CLI.  
The FortiGate unit CLI login prompt appears. If the prompt does not appear, press Enter. If it still does not appear, power off your FortiGate unit and power it back on. If you are connected, at this stage you will see startup messages that will confirm you are connected. The login prompt will appear after the startup has completed.
- 7 Type `admin` and press Enter twice.
- 8 Change the host name for this FortiGate unit. For example:  
`config system global`

```
    set hostname 620_ha_1
end
```

## 9 Configure HA settings.

```
config system ha
    set mode a-a
    set group-name example2.com
    set password HA_pass_2
end
```



This is the minimum recommended configuration for an active-active HA cluster. You can also configure other HA options, but if you wait until after the cluster is operating you will only have to configure these options once for the cluster instead of separately for each cluster unit.



The FortiGate unit negotiates to establish an HA cluster. You may temporarily lose network connectivity with the FortiGate unit as the HA cluster negotiates and the FGCP changes the MAC address of the FortiGate unit interfaces (see “[Cluster virtual MAC addresses](#)” on page 205). The MAC addresses of the FortiGate-620B interfaces change to the following virtual MAC addresses:

- port1 interface virtual MAC: 00-09-0f-09-00-00
- port10 interface virtual MAC: 00-09-0f-09-00-01
- port11 interface virtual MAC: 00-09-0f-09-00-02
- port12 interface virtual MAC: 00-09-0f-09-00-03
- port13 interface virtual MAC: 00-09-0f-09-00-04
- port14 interface virtual MAC: 00-09-0f-09-00-05
- port15 interface virtual MAC: 00-09-0f-09-00-06
- port16 interface virtual MAC: 00-09-0f-09-00-07
- port17 interface virtual MAC: 00-09-0f-09-00-08
- port18 interface virtual MAC: 00-09-0f-09-00-09
- port19 interface virtual MAC: 00-09-0f-09-00-0a
- port2 interface virtual MAC: 00-09-0f-09-00-0b
- port20 interface virtual MAC: 00-09-0f-09-00-0c
- port3 interface virtual MAC: 00-09-0f-09-00-0d
- port4 interface virtual MAC: 00-09-0f-09-00-0e
- port5 interface virtual MAC: 00-09-0f-09-00-0f
- port6 interface virtual MAC: 00-09-0f-09-00-10
- port7 interface virtual MAC: 00-09-0f-09-00-11
- port8 interface virtual MAC: 00-09-0f-09-00-12
- port9 interface virtual MAC: 00-09-0f-09-00-13

To reconnect sooner, you can update the ARP table of your management PC by deleting the ARP table entry for the FortiGate unit (or just deleting all arp table entries). You may be able to delete the arp table of your management PC from a command prompt using a command similar to `arp -d`.

To confirm these MAC address changes, you can use the `get hardware nic` (or `diagnose hardware deviceinfo nic`) CLI command to view the virtual MAC address of any FortiGate unit interface. For example, use the following command to view the port1 interface virtual MAC address (MAC) and the port1 permanent MAC address (Permanent\_HWaddr):

```
get hardware nic port1
.
.
.
MAC: 00:09:0f:09:00:00
Permanent_HWaddr: 02:09:0f:78:18:c9
.
.
.
```

#### 10 Display the HA configuration (optional).

```
get system ha
group-id          : 0
```

```

group-name           : example2.com
mode                 : a-a
password             : *
hbdev                : "port3" 50 "port4" 50
session-sync-dev     :
route-ttl            : 10
route-wait           : 0
route-hold           : 10
sync-config          : enable
encryption           : disable
authentication       : disable
hb-interval          : 2
hb-lost-threshold    : 6
helo-holddown        : 20
arps                 : 5
arps-interval        : 8
session-pickup       : disable
link-failed-signal   : disable
uninterruptable-upgrade: enable
ha-mgmt-status       : disable
ha-eth-type          : 8890
hc-eth-type          : 8891
l2ep-eth-type        : 8893
subsecond            : disable
vcluster2            : disable
vcluster-id          : 1
override             : disable
priority             : 128
monitor              :
pingserver-monitor-interface:
pingserver-failover-threshold: 0
pingserver-flip-timeout: 60
vdom                 : "root"

```

**11** Power off the FortiGate unit.

### To configure the second FortiGate-620B unit (host name 620\_ha\_2)

- 1** Power on the FortiGate unit.
- 2** Connect a null modem cable to the communications port of the management computer and to the FortiGate Console port.
- 3** Start HyperTerminal, enter a name for the connection, and select OK.
- 4** Configure HyperTerminal to connect directly to the communications port on the computer to which you have connected the null modem cable and select OK.
- 5** Select the following port settings and select OK.

<b>Bits per second</b>	9600
<b>Data bits</b>	8
<b>Parity</b>	None
<b>Stop bits</b>	1
<b>Flow control</b>	None

**6** Press Enter to connect to the FortiGate CLI.

The FortiGate unit CLI login prompt appears. If the prompt does not appear, press Enter. If it still does not appear, power off your FortiGate unit and power it back on. If you are connected, at this stage you will see startup messages that will confirm you are connected. The login prompt will appear after the startup has completed.

**7** Type `admin` and press Enter twice.**8** Change the host name for this FortiGate unit.

```
config system global
  set hostname 620_ha_2
end
```

**9** Configure HA settings.

```
config system ha
  set mode a-a
  set group-name example2.com
  set password HA_pass_2
end
```

The FortiGate unit negotiates to establish an HA cluster. You may temporarily lose network connectivity with the FortiGate unit as the HA cluster negotiates and because the FGCP changes the MAC address of the FortiGate unit interfaces.

To reconnect sooner, you can update the ARP table of your management PC by deleting the ARP table entry for the FortiGate unit (or just deleting all arp table entries). You may be able to delete the arp table of your management PC from a command prompt using a command similar to `arp -d`.

**10** Display the HA configuration (optional).

```
get system ha
  group-id           : 0
  group-name         : example2.com
  mode               : a-a
  password           : *
  hbdev              : "port3" 50 "port4" 50
  session-sync-dev   :
  route-ttl          : 10
  route-wait         : 0
  route-hold         : 10
  sync-config        : enable
  encryption         : disable
  authentication     : disable
  hb-interval        : 2
  hb-lost-threshold  : 6
  helo-holddown      : 20
  arps               : 5
  arps-interval      : 8
  session-pickup     : disable
  link-failed-signal : disable
  uninterruptable-upgrade: enable
  ha-mgmt-status     : disable
  ha-eth-type        : 8890
  hc-eth-type        : 8891
  l2ep-eth-type      : 8893
  subsecond          : disable
  vcluster2          : disable
```

```

vcluster-id      : 1
override        : disable
priority        : 128
monitor         :
pingserver-monitor-interface:
pingserver-failover-threshold: 0
pingserver-flip-timeout: 60
vdom            : "root"

```

#### 11 Power off the FortiGate unit.

#### To connect the cluster to the network

- 1 Connect the port1 interfaces of 620\_ha\_1 and 620\_ha\_2 to a switch connected to the Internet.
- 2 Connect the port2 interfaces of 620\_ha\_1 and 620\_ha\_2 to a switch connected to the internal network.
- 3 Connect the port3 interfaces of 620\_ha\_1 and 620\_ha\_2 together. You can use a crossover Ethernet cable or regular Ethernet cables and a switch.
- 4 Connect the port4 interfaces of the cluster units together. You can use a crossover Ethernet cable or regular Ethernet cables and a switch.
- 5 Power on the cluster units.

The units start and negotiate to choose the primary unit and the subordinate unit. This negotiation occurs with no user intervention and normally takes less than a minute.

When negotiation is complete the cluster is ready to be configured for your network.

#### To connect to the cluster CLI and switch the cluster to Transparent mode

- 1 Determine which cluster unit is the primary unit.
  - Use the null-modem cable and serial connection to re-connect to the CLI of one of the cluster units.
  - Enter the command `get system status`.  
 If the command output includes `Current HA mode: a-a, master`, the cluster units are operating as a cluster and you have connected to the primary unit. Continue with Step 2.  
 If the command output includes `Current HA mode: a-a, backup`, you have connected to a subordinate unit. Connect to the other cluster unit, which should be the primary unit and continue with Step 2.



If the command output includes `Current HA mode: standalone`, the cluster unit is not operating in HA mode. See [“Troubleshooting the initial cluster configuration” on page 112](#).

- 2 Change to transparent mode.

```

config system settings
  set opmode transparent
  set manageip 192.168.20.3/24
  set gateway 192.168.20.1

```

end

The cluster switches to Transparent Mode, and your administration session is disconnected.

You can now connect to the cluster CLI using SSH to connect to the cluster internal interface using the management IP address (192.168.20.3).

### To view cluster status

Use the following steps to view cluster status from the CLI.

**1** Determine which cluster unit is the primary unit.

- Use the null-modem cable and serial connection to re-connect to the CLI of one of the cluster units.
- Enter the command `get system status`.

If the command output includes `Current HA mode: a-a, master`, the cluster units are operating as a cluster and you have connected to the primary unit. Continue with Step 2.

If the command output includes `Current HA mode: a-a, backup`, you have connected to a subordinate unit. Connect the null-modem cable to the other cluster unit, which should be the primary unit and continue with Step 2.



If the command output includes `Current HA mode: standalone`, the cluster unit is not operating in HA mode and you should review your HA configuration.

**2** Enter the following command to confirm the HA configuration of the cluster:

```
get system ha status
Model: 620
Mode: a-p
Group: 0
Debug: 0
ses_pickup: disable
Master:128 620_ha_2          FG600B3908600825 0
Slave :128 620_ha_1          FG600B3908600705 1
number of vcluster: 1
vcluster 1: work 169.254.0.1
Master:0 FG600B3908600825
Slave :1 FG600B3908600705
```

The command output shows both cluster units, their host names, their roles in the cluster, and their priorities. You can use this command to confirm that the cluster is operating normally. For example, if the command shows only one cluster unit then the other unit has left the cluster for some reason.

### To troubleshoot the cluster configuration

If the cluster members list and the dashboard do not display information for both cluster units the FortiGate units are not functioning as a cluster. See [“Troubleshooting HA clusters” on page 111](#) to troubleshoot the cluster.

### To add a password for the admin administrative account

**1** Add a password for the admin administrative account.

```
config system admin
edit admin
```

```
set password <psswrd>
end
```

## Example: advanced Transparent mode active-active HA configuration

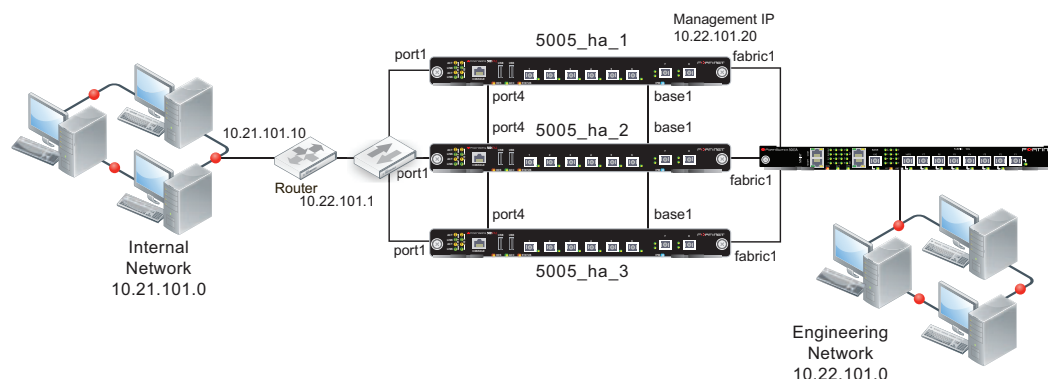
This section describes a more complex HA network topology that includes an HA cluster of three FortiGate-5002FA2 units running in Transparent mode and installed between an internal network and an engineering network.

- [Example Transparent mode HA network topology](#)
- [General configuration steps](#)

### Example Transparent mode HA network topology

**Figure 9** shows a Transparent mode FortiGate-5005FA2 HA cluster consisting of three FortiGate-5005FA2 units (5005\_ha\_1, 5005\_ha\_2, and 5005\_ha\_3) installed in a FortiGate-5000 series chassis with one FortiSwitch-5003A board. The cluster applies virus scanning to traffic passing between an engineering network and an internal network. The topology includes a router that performs NAT between the internal network and the engineering network. The cluster is connected to the engineering network with a management IP address of 10.22.101.20. This IP address is on the engineering network subnet.

**Figure 9: Transparent mode HA network topology**



By default fabric1 and fabric2 are the FortiGate-5005FA2 heartbeat interfaces. This example changes the heartbeat configuration to use the base1 and port4 interfaces for the heartbeat. The base1 connection is handled using the base backplane channel switched by the FortiSwitch-5003A board. The port4 connection is handled by connecting the port4 interfaces together using a switch.

The cluster connects to the engineering network using fabric1. The FortiSwitch-5003A board provides switching for the fabric1 interfaces and the fabric1 connection to the engineering network.

## Configuring a Transparent mode active-active cluster of three FortiGate-5005FA2 units - web-based manager

These procedures assume you are starting with three FortiGate-5005FA2 units with factory default settings but not installed in chassis slots and a FortiSwitch-5003A board installed in chassis slot 1. The chassis is powered on. This configuration works for a FortiGate-5050 chassis or for a FortiGate-5140 chassis. No configuration changes to the FortiSwitch-5003A board are required.

### To configure the FortiGate-5005FA2 units

- 1 Power on the first FortiGate unit by inserting it into chassis slot 5.
- 2 Connect port1 to the network and log into the web-based manager.
- 3 On the *System Information* dashboard widget, beside *Host Name* select *Change*.
- 4 Enter a new Host Name for this FortiGate unit.

<b>New Name</b>	5005_ha_1
-----------------	-----------

- 5 Select OK.
- 6 Go to *System > Network > Interface* and select *Show backplane interfaces*.
- 7 Make sure the administrative status and link status is for base1 and fabric1.

You can edit the interface to set the administrative status to up. The link status will be up if the administrative status is up and the FortiGate-5005FA2 board can connect to the FortiSwitch-5003A board.

- 8 Go to *System > Config > HA* and change the following settings:

<b>Mode</b>	Active-Active	
<b>Group Name</b>	example3.com	
<b>Password</b>	HA_pass_3	
<b>Heartbeat Interface</b>		
	<b>Enable</b>	<b>Priority</b>
<b>base1</b>	Select	50
<b>fabric1</b>	Clear check box	0
<b>fabric2</b>	Clear check box	0
<b>port4</b>	Select	50

**9** Select OK.

The FortiGate unit negotiates to establish an HA cluster. When you select OK you may temporarily lose connectivity with the FortiGate unit as the HA cluster negotiates and the FGCP changes the MAC address of the FortiGate unit interfaces (see “[Cluster virtual MAC addresses](#)” on page 205). The MAC addresses of the FortiGate-5005FA2 interfaces change to the following virtual MAC addresses:

- base1 interface virtual MAC: 00-09-0f-09-00-00
- base2 interface virtual MAC: 00-09-0f-09-00-01
- fabric1 interface virtual MAC: 00-09-0f-09-00-02
- fabric2 interface virtual MAC: 00-09-0f-09-00-03
- port1 interface virtual MAC: 00-09-0f-09-00-04
- port2 interface virtual MAC: 00-09-0f-09-00-05
- port3 interface virtual MAC: 00-09-0f-09-00-06
- port4 interface virtual MAC: 00-09-0f-09-00-07
- port5 interface virtual MAC: 00-09-0f-09-00-08
- port6 interface virtual MAC: 00-09-0f-09-00-09
- port7 interface virtual MAC: 00-09-0f-09-00-0a
- port8 interface virtual MAC: 00-09-0f-09-00-0b

To reconnect sooner, you can update the ARP table of your management PC by deleting the ARP table entry for the FortiGate unit (or just deleting all arp table entries). You may be able to delete the arp table of your management PC from a command prompt using a command similar to `arp -d`.

You can use the `get hardware nic` (or `diagnose hardware deviceinfo nic`) CLI command to view the virtual MAC address of any FortiGate unit interface. For example, use the following command to view the port1 interface virtual MAC address (Current\_HWaddr) and the port1 permanent MAC address (Permanent\_HWaddr):

```
get hardware nic port1
.
.
.
Current_HWaddr      00:09:0f:09:00:04
Permanent_HWaddr    00:09:0f:71:0a:dc
.
.
.
```

**10** Power off the first FortiGate unit.**11** Repeat these steps for the second and third FortiGate units, with the following difference.

Set the second FortiGate unit host name to:

<b>New Name</b>	5005_ha_2
-----------------	-----------

Set the third FortiGate unit host name to:

<b>New Name</b>	5005_ha_3
-----------------	-----------

As you insert and configure each FortiGate unit, they will negotiate and join the cluster using the base1 interface for HA heartbeat communication.



**To connect the cluster to the network**

- 1 Connect the port1 interfaces of the cluster to a switch that can connect to the router and the internal network.
- 2 Connect the port4 interfaces of the cluster units together using a switch.  
These interfaces become the backup heartbeat interface.
- 3 Connect one of the FortiSwitch-5003A front panel fabric interfaces (for example, F3) to the engineering network.

**To switch the cluster to operate in Transparent mode**

Switching from NAT/Route to Transparent mode also involves adding the Transparent mode management IP address and default route.

- 1 Log into the web-based manager.
- 2 Under System Information, beside *Operation Mode* select *Change*.
- 3 Set *Operation Mode* to *Transparent*.
- 4 Configure basic Transparent mode settings.

<b>Operation Mode</b>	Transparent
<b>Management IP/Mask</b>	10.22.101.20/24
<b>Default Gateway</b>	10.22.101.1

- 5 Select Apply.  
The cluster switches to operating in Transparent mode. The virtual MAC addresses assigned to the cluster interfaces do not change. You must login again using the new TP address.

**To view cluster status**

Use the following steps to view the cluster dashboard and cluster members list to confirm that the cluster units are operating as a cluster.

- 1 View the system dashboard.  
The System Information dashboard widget shows the *Cluster Name* (example3.com) and the host names and serial numbers of the *Cluster Members*. The Unit Operation widget shows multiple cluster units.
- 2 Go to *System > Config > HA* to view the cluster members list.  
The list shows three cluster units, their host names, their roles in the cluster, and their priorities. You can use this list to confirm that the cluster is operating normally.

**To troubleshoot the cluster configuration**

If the cluster members list and the dashboard do not display information for both cluster units, the FortiGate units are not functioning as a cluster. See [“Troubleshooting HA clusters” on page 111](#) to troubleshoot the cluster.

**To add basic configuration settings to the cluster**

Use the following steps to configure the cluster. The following are example configuration steps only and do not represent all of the steps required to configure the cluster for a given network.

- 1 Log into the cluster web-based manager.
- 2 Go to *System > Admin > Administrators*.

- 3 For *admin*, select the *Change Password* icon
- 4 Enter and confirm a new password.
- 5 Select OK.

The default route was changed when you switched to Transparent mode.

## Configuring a Transparent mode active-active cluster of three FortiGate-5005FA2 units - CLI

Use the following procedures to configure the three FortiGate-5005FA2 units for Transparent mode HA operation using the FortiGate CLI.

### To configure the FortiGate-5005FA2 units

- 1 Power on the first FortiGate unit by inserting it into chassis slot 5.
- 2 Connect port1 to the network and log into the CLI.

You can also use a console connection.

- 3 Change the host name for this FortiGate unit. For example:

```
config system global
  set hostname 5005_ha_1
end
```

- 4 Enable showing backplane interfaces.

```
config system global
  set show-backplane-intf enable
end
```

- 5 Make sure the administrative status and link status is up for base1 and fabric1.

Enter `get system interface` to view the status of these interfaces.

You can use the following commands to set the administrative status to up for these interfaces.

```
config system interface
  edit base1
    set status up
  next
  edit fabric1
    set status up
end
```

- 6 Configure HA settings.

```
config system ha
  set mode a-a
  set group-name example3.com
  set password HA_pass_3
  set hbdev base1 50 port4 50
end
```



This is the minimum recommended configuration for an active-active HA cluster. You can also configure other HA options, but if you wait until after the cluster is operating you will only have to configure these options once for the cluster instead of separately for each cluster unit.

The FortiGate unit negotiates to establish an HA cluster. You may temporarily lose connectivity with the FortiGate unit as the HA cluster negotiates and the FGCP changes the MAC address of the FortiGate unit interfaces (see “[Cluster virtual MAC addresses](#)” on page 205). The MAC addresses of the FortiGate-620B interfaces change to the following virtual MAC addresses:

- base1 interface virtual MAC: 00-09-0f-09-00-00
- base2 interface virtual MAC: 00-09-0f-09-00-01
- fabric1 interface virtual MAC: 00-09-0f-09-00-02
- fabric2 interface virtual MAC: 00-09-0f-09-00-03
- port1 interface virtual MAC: 00-09-0f-09-00-04
- port2 interface virtual MAC: 00-09-0f-09-00-05
- port3 interface virtual MAC: 00-09-0f-09-00-06
- port4 interface virtual MAC: 00-09-0f-09-00-07
- port5 interface virtual MAC: 00-09-0f-09-00-08
- port6 interface virtual MAC: 00-09-0f-09-00-09
- port7 interface virtual MAC: 00-09-0f-09-00-0a
- port8 interface virtual MAC: 00-09-0f-09-00-0b

To reconnect sooner, you can update the ARP table of your management PC by deleting the ARP table entry for the FortiGate unit (or just deleting all arp table entries). You may be able to delete the arp table of your management PC from a command prompt using a command similar to `arp -d`.

You can use the `get hardware nic` (or `diagnose hardware deviceinfo nic`) CLI command to view the virtual MAC address of any FortiGate unit interface. For example, use the following command to view the port1 interface virtual MAC address (Current\_HWaddr) and the port1 permanent MAC address (Permanent\_HWaddr):

```
get hardware nic port1
.
.
.
Current_HWaddr      00:09:0f:09:00:04
Permanent_HWaddr    00:09:0f:71:0a:dc
.
.
.
```

## 7 Display the HA configuration (optional).

```
get system ha
group-id             : 0
group-name           : example3.com
mode                 : a-a
password             : *
hbdev                : "base1" 50 "port4" 50
session-sync-dev     :
route-ttl            : 10
route-wait           : 0
route-hold           : 10
sync-config          : enable
encryption           : disable
authentication       : disable
```

```

hb-interval          : 2
hb-lost-threshold    : 20
helo-holddown        : 20
arps                 : 5
arps-interval        : 8
session-pickup       : disable
link-failed-signal   : disable
uninterruptable-upgrade: enable
ha-mgmt-status       : disable
ha-eth-type          : 8890
hc-eth-type          : 8891
l2ep-eth-type        : 8893
subsecond            : disable
vcluster2            : disable
vcluster-id          : 1
override             : disable
priority             : 128
schedule             : round-robin
monitor              :
pingserver-monitor-interface:
pingserver-failover-threshold: 0
pingserver-flip-timeout: 60
vdom                 : "root"
load-balance-all    : disable

```

**8 Repeat these steps for the second and third FortiGate units.**

Set the second FortiGate unit host name to:

```

config system global
  set hostname 5005_ha_2
end

```

Set the third FortiGate unit host name to:

```

config system global
  set hostname 5005_ha_3
end

```

As you insert and configure each FortiGate unit they will negotiate and join the cluster using the base1 interface for HA heartbeat communication.

**To connect the cluster to the network**

- 1** Connect the port1 interfaces of the cluster to a switch that can connect to the router and the internal network.
- 2** Connect the port4 interfaces of the cluster units together using a switch.  
These interfaces become the backup heartbeat interface.
- 3** Connect one of the FortiSwitch-5003A front panel fabric interfaces (for example, F3) to the engineering network.

**To switch the cluster to Transparent mode**

- 1** Log into the cluster CLI.
- 2** Change to Transparent mode.
 

```

config system settings
  set opmode transparent
  set manageip 10.22.101.20/24

```

```
set gateway 10.22.101.1
end
```

The cluster switches to Transparent Mode.

You can now connect to the cluster CLI using SSH to connect to the cluster internal interface using the management IP address (10.22.101.20).

### To view cluster status

Use the following steps to view cluster status from the CLI.

- 1 Log into the CLI.
- 2 To verify the HA status of the cluster unit that you logged into, enter the CLI command `get system status`. Look for the following information in the command output.

Current HA mode: a-a, master	The cluster units are operating as a cluster and you have connected to the primary unit.
Current HA mode: a-a, backup	The cluster units are operating as a cluster and you have connected to a subordinate unit.
Current HA mode: standalone	The cluster unit is not operating in HA mode

- 3 Enter the following command to confirm the HA configuration of the cluster:

```
get system ha status
Model: 5005
Mode: a-a
Group: 0
Debug: 0
ses_pickup: disable
load_balance: disable
schedule: round robin
Master:128 5005_ha_1          FG5A253E07600124 0
Slave :128 5005_ha_2          FG5A253E06500088 1
Slave :128 5005_ha_3          FG5A253E06500099 2
number of vcluster: 1
vcluster 1: work 169.254.0.1
Master:0 FG5A253E07600124
Slave :1 FG5A253E06500088
Slave :2 FG5A253E06500099
```

The command output shows both cluster units, their host names, their roles in the cluster, and their priorities. You can use this command to confirm that the cluster is operating normally. For example, if the command shows only one cluster unit then the other unit has left the cluster for some reason.

### To troubleshoot the cluster configuration

If the cluster members list and the dashboard do not display information for both cluster units the FortiGate units are not functioning as a cluster. See [“Troubleshooting HA clusters” on page 111](#) to troubleshoot the cluster.

### To add a password for the admin administrative account

- 1 Add a password for the admin administrative account.

```
config system admin
edit admin
set password <psswr>
```

end

## Example: converting a standalone FortiGate unit to a cluster

You can convert an already configured and installed FortiGate unit into a cluster by configuring this FortiGate unit to be a primary unit and then adding subordinate units.

General configuration steps:

- Configure the original FortiGate unit for HA operation.
- Set the HA Device Priority of the original FortiGate unit to 255 to make sure that this FortiGate unit becomes the primary unit after cluster negotiation and synchronization.
- Back up the configuration of the original FortiGate unit.
- Configure one or more new FortiGate units with the same HA configuration as the original FortiGate unit with one exception. Keep the Unit Priority at the default setting, which is 128.
- Connect the FortiGate units to form a cluster and connect the cluster to your network.

When you power on all of the FortiGate units in the cluster, the original FortiGate unit becomes the primary unit. Its configuration is synchronized to all of the subordinate units. The entire cluster now operates with the original FortiGate unit configuration. No further configuration changes are required.

The new FortiGate units must:

- Have the same hardware configuration as the original FortiGate unit. Including the same hard disk configuration and the same AMC cards installed in the same slots.
- Have the same firmware build as the original FortiGate unit.
- Be set to the same operating mode (NAT or Transparent) as the original FortiGate unit.
- Be operating in single VDOM mode.

In addition to one or more new FortiGate units, you need sufficient switches to connect all of the FortiGate interfaces in the cluster. Generally you will need one switch per interface, as it will have to connect that same interface on all cluster units. That is, all port1 interfaces use the port1 switch, port2 interfaces use the port2 switch, and so on. Intelligent switches that can be partitioned can reduce your switch requirements.

Converting a FortiGate unit to a primary unit and adding in the subordinate unit or units results in a brief service interruption as you disconnect and reconnect FortiGate interfaces and as the cluster negotiates. Therefore, conversion should only be done during off peak hours.

### To configure the original FortiGate unit for HA operation

- 1 Connect to the FortiGate unit web-based manager.
- 2 Go to *System > Config > HA*.
- 3 Configure the FortiGate unit for HA operation.

<b>Mode</b>	Active-Active
<b>Device Priority</b>	255
<b>Group Name</b>	example4.com
<b>Password</b>	HA_pass_4

You can make other HA configuration changes after the cluster is operating.

**4** Select OK.

The FortiGate unit negotiates to establish an HA cluster. When you select OK you may temporarily lose connectivity with the FortiGate unit as the HA cluster negotiates and because the FGCP changes the MAC address of the FortiGate unit interfaces (see [“Cluster virtual MAC addresses” on page 205](#)).

To reconnect sooner, you can update the ARP table of your management PC by deleting the ARP table entry for the FortiGate unit (or just deleting all arp table entries). You may be able to delete the arp table of your management PC from a command prompt using a command similar to `arp -d`.

**5** Configure the new FortiGate units with the same HA configuration as the original FortiGate unit. The one exception is to keep the device priorities of the new FortiGate units at 128 to ensure the original FortiGate unit will become the primary unit in the new cluster.

<b>Mode</b>	Active-Active
<b>Device Priority</b>	128
<b>Group Name</b>	example4.com
<b>Password</b>	HA_pass_4

**6** Configure the other FortiGate units to the same operation mode as the original FortiGate unit.

There is no need to make any other configuration changes (including network configuration changes) to the other FortiGate units.

**7** Optionally power off all of the cluster units.

If you don't power off all of the units they may not negotiate to form a cluster when they are connected together.

**8** Connect the cluster to your network.

For example, for a configuration similar to the FortiGate-620B cluster configuration described in this chapter, see [“To connect the cluster to the network” on page 53](#).

**9** Power on all of the cluster units.

As the units start they change their MAC addresses and then negotiate to choose the primary unit and the subordinate units. This negotiation occurs with no user intervention and normally takes less than a minute.

The original the FortiGate unit becomes the primary unit because the device priority of the original FortiGate unit is higher than the device priority of the other FortiGate units. The configuration of the original FortiGate unit is synchronized to all the cluster units. As a result, the cluster is quickly up and running and configured for your network. No further configuration changes are required.

## Example: adding a new unit to an operating cluster

This procedure describes how to add a new FortiGate unit to a functioning cluster. Adding a new unit to a cluster does not interrupt the operation of the cluster unless you have to change how the cluster is connected to the network to accommodate the new cluster unit.

You can use this procedure to add as many units as required to the cluster.

**To add a new unit to a functioning cluster**

- 1 Install the same firmware build on the new cluster unit as is running on the cluster.
- 2 Configure the new cluster unit for HA operation with the same HA configuration as the other units in the cluster.
- 3 If the cluster is running in Transparent mode, change the operating mode of the new cluster unit to Transparent mode.
- 4 Connect the new cluster unit to the cluster.  
For example, for a configuration similar to the FortiGate-620B cluster configuration described in this chapter, see [“To connect the cluster to the network” on page 53](#).
- 5 Power on the new cluster unit.

When the unit starts it negotiates to join the cluster. After it joins the cluster, the cluster synchronizes the new unit configuration with the configuration of the primary unit.

You can add a new unit to a functioning cluster at any time. The new cluster unit must:

- Have the same hardware configuration as the cluster units. Including the same hard disk configuration and the same AMC cards installed in the same slots.
- Have the same firmware build as the cluster.
- Be set to the same operating mode (NAT or Transparent) as the cluster.
- Be operating in single VDOM mode.

## Example: replacing a failed cluster unit

This procedure describes how to remove a failed cluster unit from a cluster and add a new one to replace it. You can also use this procedure to remove a failed unit from a cluster, repair it and add it back to the cluster. Replacing a failed does not interrupt the operation of the cluster unless you have to change how the cluster is connected to the network to accommodate the replacement unit.

You can use this procedure to replace more than one cluster unit.

**To replace a failed cluster unit**

- 1 Disconnect the failed unit from the cluster and the network.  
If you maintain other connections between the network and the still functioning cluster unit or units and between remaining cluster units network traffic will continue to be processed.
- 2 Repair the failed cluster unit, or obtain a replacement unit with the exact same hardware configuration as the failed cluster unit.
- 3 Install the same firmware build on the repaired or replacement unit as is running on the cluster.
- 4 Configure the repaired or replacement unit for HA operation with the same HA configuration as the cluster.
- 5 If the cluster is running in Transparent mode, change the operating mode of the repaired or replacement cluster unit to Transparent mode.
- 6 Connect the repaired or replacement cluster unit to the cluster.  
For example, for a configuration similar to the FortiGate-620B cluster configuration described in this chapter, see [“To connect the cluster to the network” on page 53](#).



## 7 Power on the repaired or replacement cluster unit.

When the unit starts it negotiates to join the cluster. After it joins the cluster, the cluster synchronizes the repaired or replacement unit configuration with the configuration of the primary unit.

You can add a repaired or replacement unit to a functioning cluster at any time. The repaired or replacement cluster unit must:

- Have the same hardware configuration as the cluster units. Including the same hard disk configuration and the same AMC cards installed in the same slots.
- Have the same firmware build as the cluster.
- Be set to the same operating mode (NAT or Transparent) as the cluster.
- Be operating in single VDOM mode.

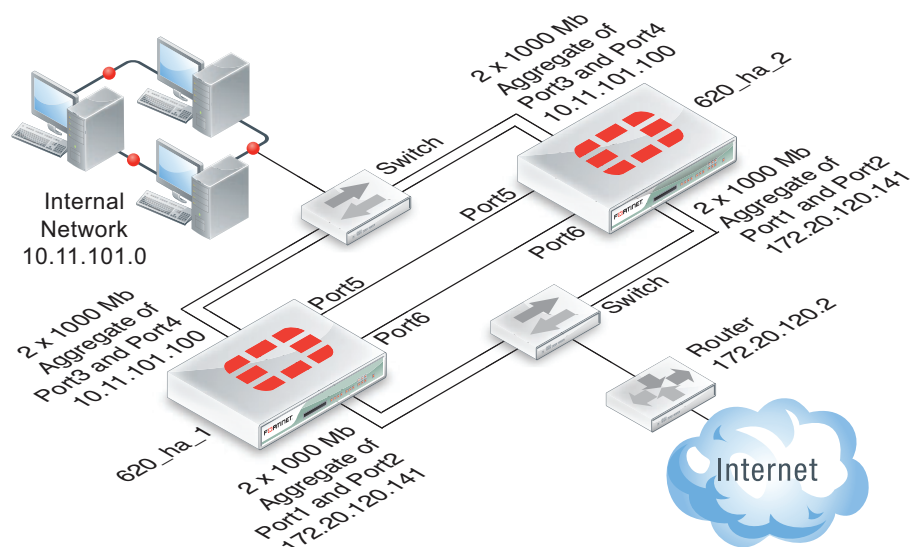
## Example: HA and 802.3ad aggregated interfaces

On FortiGate models that support it you can use 802.3ad link aggregation to combine two or more interfaces into a single aggregated interface. 802.3ad Link Aggregation and its management protocol, Link Aggregation Control Protocol (LACP) are a method for combining multiple physical links into a single logical link. This increases both potential throughput and network resiliency. Using LACP, traffic is distributed among the physical interfaces in the link, potentially resulting in increased performance.

This example describes how to configure an HA cluster consisting of two FortiGate-620B units with two aggregated 1000 Mb connections to the Internet using port1 and port2 and two aggregated 1000 Mb connections to the internal network using port3 and port4. The aggregated interfaces are also configured as HA monitored interfaces.

Each of the aggregate links connects to a different switch. Each switch is configured for link aggregation (2x1000Mb).

**Figure 10: Example cluster with aggregate interfaces**



## HA interface monitoring, link failover, and 802.3ad aggregation

When monitoring the aggregated interface, HA interface monitoring treats the aggregated link as a single interface and does not monitor the individual physical interfaces in the link. HA interface monitoring registers the link to have failed only if all the physical interfaces in the link have failed. If only some of the physical interfaces in the link fail or become disconnected, HA considers the link to be operating normally.

## HA MAC addresses and 802.3ad aggregation

If a configuration uses the Link Aggregate Control Protocol (LACP) (either passive or active), LACP is negotiated over all of the interfaces in any link. For a standalone FortiGate unit, the FortiGate LACP implementation uses the MAC address of the first interface in the link to uniquely identify that link. For example, a link consisting of port1 and port2 interfaces would have the MAC address of port1.

In an HA cluster, HA changes the MAC addresses of the cluster interfaces to virtual MAC addresses. An aggregate interface in a cluster acquires the virtual MAC address that would have been acquired by the first interface in the aggregate.

## Link aggregation, HA failover performance, and HA mode

To operate an active-active or active-passive cluster with aggregated interfaces and for best performance of a cluster with aggregated interfaces, the switches used to connect the cluster unit aggregated interfaces together should support configuring multiple Link Aggregation (LAG) groups.

For example, the cluster shown in [Figure 10](#) should be configured into two LAG groups on the external switch: one for the port1 and port2 aggregated interface of 620\_ha\_1 and a second one for the port1 and port2 aggregate interface of 620\_ha\_2. You should also be able to do the same on the internal switch for the port3 and port4 aggregated interfaces of each cluster unit.

As a result, the subordinate unit aggregated interfaces would participate in LACP negotiation while the cluster is operating. In an active-active mode cluster, packets could be redirected to the subordinate unit interfaces. As well, in active-active or active-passive mode, after a failover the subordinate unit can become a primary unit without having to perform LACP negotiation before it can process traffic. Performing LACP negotiation causes a minor failover delay.

However if you cannot configure multiple LAG groups on the switches, due to the primary and subordinate unit interfaces having the same MAC address, the switch will put all of the interfaces into the same LAG group which would disrupt the functioning of the cluster. To prevent this from happening, you must change the FortiGate aggregated interface configuration to prevent subordinate units from participating in LACP negotiation.

For example, use the following command to prevent subordinate units from participating in LACP negotiation with an aggregate interface named Port1\_Port2:

```
config system interface
  edit Port1_Port2
    set lacp-ha-slave disable
  end
```

As a result of this setting, subordinate unit aggregated interfaces cannot accept packets. This means that you cannot operate the cluster in active-active mode because in active-active mode the subordinate units must be able to receive and process packets. Also, failover may take longer because after a failover the subordinate unit has to perform LACP negotiation before being able to process network traffic.

Also, it may also be necessary to configure the switch to use Passive or even Static mode for LACP to prevent the switch from sending packets to the subordinate unit interfaces, which won't be able to process them.

Finally, in some cases depending on the LACP configuration of the switches, you may experience delayed failover if the FortiGate LACP configuration is not compatible with the switch LACP configuration. For example, in some cases setting the FortiGate LACP mode to static reduces the failover delay because the FortiGate unit does not perform LACP negotiation. However there is a potential problem with this configuration because static LACP does not send periodic LAC Protocol Data Unit (LACPDU) packets to test the connections. So a non-physical failure (for example, if a device is not responding because its too busy) may not be detected and packets could be lost or delayed.

## General configuration steps

The section includes web-based manager and CLI procedures. These procedures assume that the FortiGate-620B units are running the same FortiOS firmware build and are set to the factory default configuration.

### General configuration steps

- 1 Configure the FortiGate units for HA operation.
  - Change each unit's host name.
  - Configure HA.
- 2 Connect the cluster to the network.
- 3 View cluster status.
- 4 Add basic configuration settings and configure the aggregated interfaces.
  - Add a password for the admin administrative account.
  - Add the aggregated interfaces.
  - Disable `lacp-ha-slave` so that the subordinate unit does not send LACP packets.
  - Add a default route.

You could also configure aggregated interfaces in each FortiGate unit before the units form a cluster.

- 5 Configure HA port monitoring for the aggregated interfaces.

## Configuring active-passive HA cluster that includes aggregated interfaces - web-based manager

These procedures assume you are starting with two FortiGate-620B units with factory default settings.

### To configure the FortiGate-620B units for HA operation

- 1 Power on the first FortiGate-620B unit and log into the web-based manager.
- 2 On the *System Information* dashboard widget, beside *Host Name* select *Change*.
- 3 Enter a new Host Name for this FortiGate unit.

<b>New Name</b>	620_ha_1
-----------------	----------

- 4 Select OK.
- 5 Go to *System > Config > HA* and change the following settings.

<b>Mode</b>	Active-Passive	
<b>Group Name</b>	example5.com	
<b>Password</b>	HA_pass_5	
<b>Heartbeat Interface</b>		
	<b>Enable</b>	<b>Priority</b>
<b>port5</b>	Select	50
<b>port6</b>	Select	50

Since port3 and port4 will be used for a aggregated interface, you must change the HA heartbeat configuration to not use those interfaces.

**6** Select OK.

The FortiGate unit negotiates to establish an HA cluster. When you select OK you may temporarily lose connectivity with the FortiGate unit as the HA cluster negotiates and the FGCP changes the MAC address of the FortiGate unit interfaces (see “[Cluster virtual MAC addresses](#)” on page 205). The MAC addresses of the FortiGate-620B interfaces change to the following virtual MAC addresses:

- port1 interface virtual MAC: 00-09-0f-09-00-00
- port10 interface virtual MAC: 00-09-0f-09-00-01
- port11 interface virtual MAC: 00-09-0f-09-00-02
- port12 interface virtual MAC: 00-09-0f-09-00-03
- port13 interface virtual MAC: 00-09-0f-09-00-04
- port14 interface virtual MAC: 00-09-0f-09-00-05
- port15 interface virtual MAC: 00-09-0f-09-00-06
- port16 interface virtual MAC: 00-09-0f-09-00-07
- port17 interface virtual MAC: 00-09-0f-09-00-08
- port18 interface virtual MAC: 00-09-0f-09-00-09
- port19 interface virtual MAC: 00-09-0f-09-00-0a
- port2 interface virtual MAC: 00-09-0f-09-00-0b
- port20 interface virtual MAC: 00-09-0f-09-00-0c
- port3 interface virtual MAC: 00-09-0f-09-00-0d
- port4 interface virtual MAC: 00-09-0f-09-00-0e
- port5 interface virtual MAC: 00-09-0f-09-00-0f
- port6 interface virtual MAC: 00-09-0f-09-00-10
- port7 interface virtual MAC: 00-09-0f-09-00-11
- port8 interface virtual MAC: 00-09-0f-09-00-12
- port9 interface virtual MAC: 00-09-0f-09-00-13

To reconnect sooner, you can update the ARP table of your management PC by deleting the ARP table entry for the FortiGate unit (or just deleting all arp table entries). You may be able to delete the arp table of your management PC from a command prompt using a command similar to `arp -d`.

You can use the `get hardware nic` (or `diagnose hardware deviceinfo nic`) CLI command to view the virtual MAC address of any FortiGate unit interface. For example, use the following command to view the port1 interface virtual MAC address (Current\_HWaddr) and the port1 permanent MAC address (Permanent\_HWaddr):

```
get hardware nic port1
.
.
.
MAC: 00:09:0f:09:00:00
Permanent_HWaddr: 02:09:0f:78:18:c9
.
.
.
```

**7** Power off the first FortiGate unit.

- 8 Repeat these steps for the second FortiGate unit.

Set the second FortiGate unit host name to:

<b>New Name</b>	620_ha_2
-----------------	----------

### To connect the cluster to the network

- 1 Connect the port1 and port2 interfaces of 620\_ha\_1 and 620\_ha\_2 to a switch connected to the Internet.  
Configure the switch so that the port1 and port2 of 620\_ha\_1 make up an aggregated interface and port1 and port2 of 620\_ha\_2 make up a second aggregated interface.
- 2 Connect the port3 and port4 interfaces of 620\_ha\_1 and 620\_ha\_2 to a switch connected to the internal network.  
Configure the switch so that the port3 and port4 of 620\_ha\_1 make up an aggregated interface and port3 and port4 of 620\_ha\_2 make up another aggregated interface.
- 3 Connect the port5 interfaces of 620\_ha\_1 and 620\_ha\_2 together. You can use a crossover Ethernet cable or regular Ethernet cables and a switch.
- 4 Connect the port5 interfaces of the cluster units together. You can use a crossover Ethernet cable or regular Ethernet cables and a switch.
- 5 Power on the cluster units.  
The units negotiate to choose the primary unit and the subordinate unit. This negotiation occurs with no user intervention and normally takes less than a minute.  
When negotiation is complete, the cluster is ready to be configured for your network.

### To view cluster status

Use the following steps to view the cluster dashboard and cluster members list to confirm that the cluster units are operating as a cluster.

- 1 View the system dashboard.  
The System Information dashboard widget shows the *Cluster Name* (example5.com) and the host names and serial numbers of the *Cluster Members*. The Unit Operation widget shows multiple cluster units.
- 2 Go to *System > Config > HA* to view the cluster members list.  
The list shows two cluster units, their host names, their roles in the cluster, and their priorities. You can use this list to confirm that the cluster is operating normally.

### To troubleshoot the cluster configuration

If the cluster members list and the dashboard do not display information for both cluster units, the FortiGate units are not functioning as a cluster. See [“Troubleshooting HA clusters” on page 111](#) to troubleshoot the cluster.

### To add basic configuration settings and the aggregate interfaces

Use the following steps to add a few basic configuration settings.

- 1 Log into the cluster web-based manager.
- 2 Go to *System > Admin > Administrators*.
- 3 For *admin*, select the *Change Password* icon.
- 4 Enter and confirm a new password.
- 5 Select OK.

- 6 Go to *Router > Static* and temporarily delete the default route.  
You cannot add an interface to a aggregated interface if any settings (such as the default route) are configured for it.
- 7 Go to *System > Network > Interface* and select *Create New* to add the aggregate interface to connect to the Internet.
- 8 Set *Type* to *802.3ad Aggregate* and configure the aggregate interface to be connected to the Internet:

<b>Name</b>	Port1_Port2
<b>Physical Interface Members</b>	
<b>Selected Interfaces</b>	port1, port2
<b>IP/Netmask</b>	172.20.120.141/24

- 9 Select OK.
- 10 Select *Create New* to add the aggregate interface to connect to the internal network.
- 11 Set *Type* to *802.3ad Aggregate* and configure the aggregate interface to be connected to the Internet:

<b>Name</b>	Port3_Port4
<b>Physical Interface Members</b>	
<b>Selected Interfaces</b>	port3, port4
<b>IP/Netmask</b>	10.11.101.100/24
<b>Administrative Access</b>	HTTPS, PING, SSH

**12** Select OK.

The virtual MAC addresses of the FortiGate-620B interfaces change to the following. Note that port1 and port2 both have the port1 virtual MAC address and port3 and port4 both have the port3 virtual MAC address:

- port1 interface virtual MAC: 00-09-0f-09-00-00
- port10 interface virtual MAC: 00-09-0f-09-00-01
- port11 interface virtual MAC: 00-09-0f-09-00-02
- port12 interface virtual MAC: 00-09-0f-09-00-03
- port13 interface virtual MAC: 00-09-0f-09-00-04
- port14 interface virtual MAC: 00-09-0f-09-00-05
- port15 interface virtual MAC: 00-09-0f-09-00-06
- port16 interface virtual MAC: 00-09-0f-09-00-07
- port17 interface virtual MAC: 00-09-0f-09-00-08
- port18 interface virtual MAC: 00-09-0f-09-00-09
- port19 interface virtual MAC: 00-09-0f-09-00-0a
- port2 interface virtual MAC: 00-09-0f-09-00-00 (same as port1)
- port20 interface virtual MAC: 00-09-0f-09-00-0c
- port3 interface virtual MAC: 00-09-0f-09-00-0d
- port4 interface virtual MAC: 00-09-0f-09-00-0d (same as port3)
- port5 interface virtual MAC: 00-09-0f-09-00-0f
- port6 interface virtual MAC: 00-09-0f-09-00-10
- port7 interface virtual MAC: 00-09-0f-09-00-11
- port8 interface virtual MAC: 00-09-0f-09-00-12
- port9 interface virtual MAC: 00-09-0f-09-00-13

**13** Connect to the CLI and enter the following command to disable sending LACP packets from the subordinate unit:

```
config system interface
  edit Port1_Port2
    set lacp-ha-slave disable
  next
  edit Port3_Port4
    set lacp-ha-slave disable
end
```

**14** Go to *Router > Static*.**15** Add the default route.

<b>Destination IP/Mask</b>	0.0.0.0/0.0.0.0
<b>Gateway</b>	172.20.120.2
<b>Device</b>	Port1_Port2
<b>Distance</b>	10

**16** Select OK.



**To configure HA port monitoring for the aggregate interfaces**

- 1 Go to *System > Config > HA*.
- 2 In the cluster members list, edit the primary unit.
- 3 Configure the following port monitoring for the aggregate interfaces:

	Port Monitor
Port1_Port2	Select
Port3_Port4	Select

- 4 Select OK.

**Configuring active-passive HA cluster that includes aggregate interfaces - CLI**

These procedures assume you are starting with two FortiGate-620B units with factory default settings.

**To configure the FortiGate-620B units for HA operation**

- 1 Power on the first FortiGate-620B unit and log into the CLI.
- 2 Change the host name for this FortiGate unit:

```
config system global
  set hostname 620_ha_1
end
```

- 3 Configure HA settings.

```
config system ha
  set mode a-p
  set group-name example5.com
  set password HA_pass_5
  set hbdev port5 50 port6 50
```

end

Since port3 and port4 will be used for an aggregated interface, you must change the HA heartbeat configuration.

The FortiGate unit negotiates to establish an HA cluster. You may temporarily lose connectivity with the FortiGate unit as the HA cluster negotiates and the FGCP changes the MAC address of the FortiGate unit interfaces (see [“Cluster virtual MAC addresses” on page 205](#)). The MAC addresses of the FortiGate-620B interfaces change to the following virtual MAC addresses:

- port1 interface virtual MAC: 00-09-0f-09-00-00
- port10 interface virtual MAC: 00-09-0f-09-00-01
- port11 interface virtual MAC: 00-09-0f-09-00-02
- port12 interface virtual MAC: 00-09-0f-09-00-03
- port13 interface virtual MAC: 00-09-0f-09-00-04
- port14 interface virtual MAC: 00-09-0f-09-00-05
- port15 interface virtual MAC: 00-09-0f-09-00-06
- port16 interface virtual MAC: 00-09-0f-09-00-07
- port17 interface virtual MAC: 00-09-0f-09-00-08
- port18 interface virtual MAC: 00-09-0f-09-00-09
- port19 interface virtual MAC: 00-09-0f-09-00-0a
- port2 interface virtual MAC: 00-09-0f-09-00-0b
- port20 interface virtual MAC: 00-09-0f-09-00-0c
- port3 interface virtual MAC: 00-09-0f-09-00-0d
- port4 interface virtual MAC: 00-09-0f-09-00-0e
- port5 interface virtual MAC: 00-09-0f-09-00-0f
- port6 interface virtual MAC: 00-09-0f-09-00-10
- port7 interface virtual MAC: 00-09-0f-09-00-11
- port8 interface virtual MAC: 00-09-0f-09-00-12
- port9 interface virtual MAC: 00-09-0f-09-00-13

To reconnect sooner, you can update the ARP table of your management PC by deleting the ARP table entry for the FortiGate unit (or just deleting all arp table entries). You may be able to delete the arp table of your management PC from a command prompt using a command similar to `arp -d`.

You can use the `get hardware nic` (or `diagnose hardware deviceinfo nic`) CLI command to view the virtual MAC address of any FortiGate unit interface. For example, use the following command to view the port1 interface virtual MAC address (Current\_HWaddr) and the port1 permanent MAC address (Permanent\_HWaddr):

```
get hardware nic port1
.
.
.
MAC: 00:09:0f:09:00:00
Permanent_HWaddr: 02:09:0f:78:18:c9
.
.
.
```

**4 Display the HA configuration (optional).**

```

get system ha
group-id          : 0
group-name        : example5.com
mode              : a-p
password          : *
hbdev             : "port5" 50 "port6" 50
session-sync-dev  :
route-ttl         : 10
route-wait        : 0
route-hold        : 10
sync-config       : enable
encryption        : disable
authentication    : disable
hb-interval       : 2
hb-lost-threshold : 20
helo-holddown     : 20
arps              : 5
arps-interval     : 8
session-pickup    : disable
link-failed-signal : disable
uninterruptable-upgrade: enable
ha-mgmt-status    : disable
ha-eth-type       : 8890
hc-eth-type       : 8891
l2ep-eth-type     : 8893
subsecond         : disable
vcluster2         : disable
vcluster-id       : 1
override          : disable
priority          : 128
monitor           :
pingserver-monitor-interface:
pingserver-failover-threshold: 0
pingserver-flip-timeout: 60
vdom              : "root"

```

**5 Repeat these steps for the other FortiGate unit.**

Set the other FortiGate unit host name to:

```

config system global
  set hostname 620_ha_2
end

```

**To connect the cluster to the network**

- 1 Connect the port1 and port2 interfaces of 620\_ha\_1 and 620\_ha\_2 to a switch connected to the Internet.

Configure the switch so that the port1 and port2 of 620\_ha\_1 make up an aggregated interface and port1 and port2 of 620\_ha\_2 make up another aggregated interface.

- 2 Connect the port3 and port4 interfaces of 620\_ha\_1 and 620\_ha\_2 to a switch connected to the internal network.

Configure the switch so that the port3 and port4 of 620\_ha\_1 make up an interfaced and port3 and port4 of 620\_ha\_2 make up another aggregated interface.

- 3 Connect the port5 interfaces of 620\_ha\_1 and 620\_ha\_2 together. You can use a crossover Ethernet cable or regular Ethernet cables and a switch.
- 4 Connect the port5 interfaces of the cluster units together. You can use a crossover Ethernet cable or regular Ethernet cables and a switch.
- 5 Power on the cluster units.  
The units start and negotiate to choose the primary unit and the subordinate unit. This negotiation occurs with no user intervention and normally takes less than a minute.  
When negotiation is complete the cluster is ready to be configured for your network.

### To view cluster status

Use the following steps to view cluster status from the CLI.

- 1 Log into the CLI.
- 2 Enter `get system status` to verify the HA status of the cluster unit that you logged into. Look for the following information in the command output.

Current HA mode: a-a, master	The cluster units are operating as a cluster and you have connected to the primary unit.
Current HA mode: a-a, backup	The cluster units are operating as a cluster and you have connected to a subordinate unit.
Current HA mode: standalone	The cluster unit is not operating in HA mode

- 3 Enter the following command to confirm the HA configuration of the cluster:

```
get system ha status
Model: 620
Mode: a-a
Group: 0
Debug: 0
ses_pickup: disable
Master:128 620_ha_2          FG600B3908600825 0
Slave :128 620_ha_1          FG600B3908600705 1
number of vcluster: 1
vcluster 1: work 169.254.0.1
Master:0 FG600B3908600825
Slave :1 FG600B3908600705
```

The command output shows both cluster units, their host names, their roles in the cluster, and their priorities. You can use this command to confirm that the cluster is operating normally. For example, if the command shows only one cluster unit then the other unit has left the cluster for some reason.

### To troubleshoot the cluster configuration

If the cluster members list and the dashboard do not display information for both cluster units the FortiGate units are not functioning as a cluster. See [“Troubleshooting HA clusters” on page 111](#) to troubleshoot the cluster.

### To add basic configuration settings and the aggregate interfaces

Use the following steps to add a few basic configuration settings and the aggregate interfaces.

- 1 Add a password for the admin administrative account.  
`config system admin`

```
edit admin
    set password <psswr>
end
```

## 2 Temporarily delete the default route.

You cannot add an interface to an aggregate interface if any settings (such as the default route) are configured for it. In this example the index of the default route is 1.

```
config router static
    delete 1
end
```

## 3 Add the aggregate interfaces:

```
config system interface
    edit Port1_Port2
        set type aggregate
        set lacp-ha-slave disable
        set member port1 port2
        set ip 172.20.120.141/24
        set vdom root
    next
    edit Port3_Port4
        set type aggregate
        set lacp-ha-slave disable
        set member port3 port4
        set ip 10.11.101.100/24
        set vdom root
```

```
end
```

The virtual MAC addresses of the FortiGate-620B interfaces change to the following. Note that port1 and port2 both have the port1 virtual MAC address and port3 and port4 both have the port3 virtual MAC address:

- port1 interface virtual MAC: 00-09-0f-09-00-00
- port10 interface virtual MAC: 00-09-0f-09-00-01
- port11 interface virtual MAC: 00-09-0f-09-00-02
- port12 interface virtual MAC: 00-09-0f-09-00-03
- port13 interface virtual MAC: 00-09-0f-09-00-04
- port14 interface virtual MAC: 00-09-0f-09-00-05
- port15 interface virtual MAC: 00-09-0f-09-00-06
- port16 interface virtual MAC: 00-09-0f-09-00-07
- port17 interface virtual MAC: 00-09-0f-09-00-08
- port18 interface virtual MAC: 00-09-0f-09-00-09
- port19 interface virtual MAC: 00-09-0f-09-00-0a
- port2 interface virtual MAC: 00-09-0f-09-00-00 (same as port1)
- port20 interface virtual MAC: 00-09-0f-09-00-0c
- port3 interface virtual MAC: 00-09-0f-09-00-0d
- port4 interface virtual MAC: 00-09-0f-09-00-0d (same as port3)
- port5 interface virtual MAC: 00-09-0f-09-00-0f
- port6 interface virtual MAC: 00-09-0f-09-00-10
- port7 interface virtual MAC: 00-09-0f-09-00-11
- port8 interface virtual MAC: 00-09-0f-09-00-12
- port9 interface virtual MAC: 00-09-0f-09-00-13

#### 4 Add the default route.

```
config router static
  edit 1
    set dst 0.0.0.0 0.0.0.0
    set gateway 172.20.120.2
    set device Port1_Port2
  end
```

### To configure HA port monitoring for the aggregate interfaces

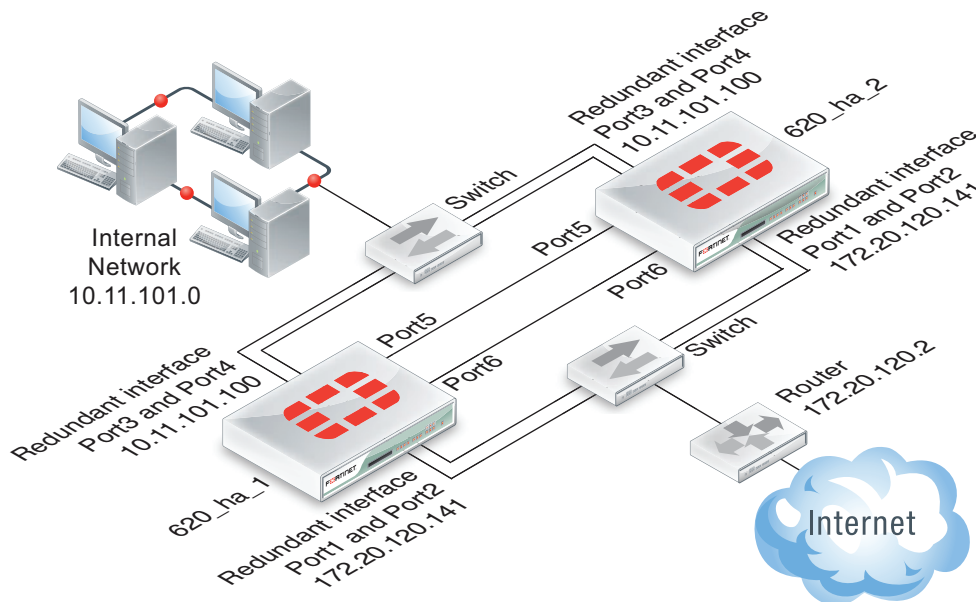
#### 1 Configure HA port monitoring for the aggregate interfaces.

```
config system ha
  set monitor Port1_Port2 Port3_Port4
end
```

## Example: HA and redundant interfaces

On FortiGate models that support it you can combine two or more interfaces into a single redundant interface. A redundant interface consists of two or more physical interfaces. Traffic is processed by the first physical interface in the redundant interface. If that physical interface fails, traffic fails over to the next physical interface. Redundant interfaces don't have the benefit of improved performance that aggregate interfaces can have, but they do provide failover if a physical interface fails or is disconnected.

**Figure 11: Example cluster with a redundant interfaces**



This example describes how to configure an HA cluster consisting of two FortiGate-620B units with a redundant interface connection to the Internet and to an internal network. The connection to the Internet uses port1 and port2. The connection to the internal network uses port3 and port4. The HA heartbeat uses port5 and port6.

The redundant interfaces are also configured as HA monitored interfaces.

### HA interface monitoring, link failover, and redundant interfaces

HA interface monitoring monitors the redundant interface as a single interface and does not monitor the individual physical interfaces in the redundant interface. HA interface monitoring registers the redundant interface to have failed only if all the physical interfaces in the redundant interface have failed. If only some of the physical interfaces in the redundant interface fail or become disconnected, HA considers the redundant interface to be operating normally.

### HA MAC addresses and redundant interfaces

For a standalone FortiGate unit a redundant interface has the MAC address of the first physical interface added to the redundant interface configuration. A redundant interface consisting of port1 and port2 would have the MAC address of port1.

In an HA cluster, HA changes the MAC addresses of the cluster interfaces to virtual MAC addresses. A redundant interface in a cluster acquires the virtual MAC address that would have been acquired by the first physical interface added to the redundant interface configuration.

## Connecting multiple redundant interfaces to one switch while operating in active-passive HA mode

HA assigns the same virtual MAC addresses to the subordinate unit interfaces as are assigned to the corresponding primary unit interfaces. Consider a cluster of two FortiGate units operating in active-passive mode with a redundant interface consisting of port1 and port2. You can connect multiple redundant interfaces to the same switch if you configure the switch so that it defines multiple separate redundant interfaces and puts the redundant interfaces of each cluster unit into separate redundant interfaces. In this configuration, each cluster unit forms a separate redundant interface with the switch.

However, if the switch is configured with a single four-port redundant interface configuration, because the same MAC addresses are being used by both cluster units, the switch adds all four interfaces (port1 and port2 from the primary unit and port1 and port2 from the subordinate unit) to the same redundant interface.

To avoid unpredictable results, when you connect a switch to multiple redundant interfaces in an active-passive cluster you should configure separate redundant interfaces on the switch; one for each cluster unit.

## Connecting multiple redundant interfaces to one switch while operating in active-active HA mode

In an active-active cluster, all cluster units send and receive packets. To operate a cluster with redundant interfaces in active-active mode, with multiple redundant interfaces connected to the same switch, you must separate the redundant interfaces of each cluster unit into different redundant interfaces on the connecting switch.

## General configuration steps

The section includes web-based manager and CLI procedures. These procedures assume that the FortiGate-620B units are running the same FortiOS firmware build and are set to the factory default configuration.

### General configuration steps

- 1 Configure the FortiGate units for HA operation.
  - Change each unit's host name.
  - Configure HA.
- 2 Connect the cluster to the network.
- 3 View cluster status.
- 4 Add basic configuration settings and configure the redundant interfaces.
  - Add a password for the admin administrative account.
  - Add the redundant interfaces.
  - Add a default route.

You could also configure redundant interfaces in each FortiGate unit before they form a cluster.

- 5 Configure HA port monitoring for the redundant interfaces.

## Configuring active-passive HA cluster that includes redundant interfaces - web-based manager

These procedures assume you are starting with two FortiGate-620B units with factory default settings.



**To configure the FortiGate-620B units for HA operation**

- 1 Power on the first FortiGate-620B unit and log into the web-based manager.
- 2 On the *System Information* dashboard widget, beside *Host Name* select *Change*.
- 3 Enter a new Host Name for this FortiGate unit.

<b>New Name</b>	620_ha_1
-----------------	----------

- 4 Select OK.
- 5 Go to *System > Config > HA* and change the following settings.

<b>Mode</b>	Active-Passive	
<b>Group Name</b>	example6.com	
<b>Password</b>	HA_pass_6	
<b>Heartbeat Interface</b>		
	<b>Enable</b>	<b>Priority</b>
<b>port5</b>	Select	50
<b>port6</b>	Select	50

Since port3 and port4 will be used for a redundant interface, you must change the HA heartbeat configuration.

**6** Select OK.

The FortiGate unit negotiates to establish an HA cluster. When you select OK you may temporarily lose connectivity with the FortiGate unit as the HA cluster negotiates and the FGCP changes the MAC address of the FortiGate unit interfaces (see “[Cluster virtual MAC addresses](#)” on page 205). The MAC addresses of the FortiGate-620B interfaces change to the following virtual MAC addresses:

- port1 interface virtual MAC: 00-09-0f-09-00-00
- port10 interface virtual MAC: 00-09-0f-09-00-01
- port11 interface virtual MAC: 00-09-0f-09-00-02
- port12 interface virtual MAC: 00-09-0f-09-00-03
- port13 interface virtual MAC: 00-09-0f-09-00-04
- port14 interface virtual MAC: 00-09-0f-09-00-05
- port15 interface virtual MAC: 00-09-0f-09-00-06
- port16 interface virtual MAC: 00-09-0f-09-00-07
- port17 interface virtual MAC: 00-09-0f-09-00-08
- port18 interface virtual MAC: 00-09-0f-09-00-09
- port19 interface virtual MAC: 00-09-0f-09-00-0a
- port2 interface virtual MAC: 00-09-0f-09-00-0b
- port20 interface virtual MAC: 00-09-0f-09-00-0c
- port3 interface virtual MAC: 00-09-0f-09-00-0d
- port4 interface virtual MAC: 00-09-0f-09-00-0e
- port5 interface virtual MAC: 00-09-0f-09-00-0f
- port6 interface virtual MAC: 00-09-0f-09-00-10
- port7 interface virtual MAC: 00-09-0f-09-00-11
- port8 interface virtual MAC: 00-09-0f-09-00-12
- port9 interface virtual MAC: 00-09-0f-09-00-13

To reconnect sooner, you can update the ARP table of your management PC by deleting the ARP table entry for the FortiGate unit (or just deleting all arp table entries). You may be able to delete the arp table of your management PC from a command prompt using a command similar to `arp -d`.

You can use the `get hardware nic` (or `diagnose hardware deviceinfo nic`) CLI command to view the virtual MAC address of any FortiGate unit interface. For example, use the following command to view the port1 interface virtual MAC address (Current\_HWaddr) and the port1 permanent MAC address (Permanent\_HWaddr):

```
get hardware nic port1
.
.
.
MAC: 00:09:0f:09:00:00
Permanent_HWaddr: 02:09:0f:78:18:c9
.
.
.
```

**7** Power off the first FortiGate unit.

- 8 Repeat these steps for the second FortiGate unit.

Set the second FortiGate unit host name to:

<b>New Name</b>	620_ha_2
-----------------	----------

### To connect the cluster to the network

- 1 Connect the port1 and port2 interfaces of 620\_ha\_1 and 620\_ha\_2 to a switch connected to the Internet.  
Configure the switch so that the port1 and port2 of 620\_ha\_1 make up a redundant interface and port1 and port2 of 620\_ha\_2 make up another redundant interface.
- 2 Connect the port3 and port4 interfaces of 620\_ha\_1 and 620\_ha\_2 to a switch connected to the internal network.  
Configure the switch so that the port3 and port4 of 620\_ha\_1 make up a redundant interface and port3 and port4 of 620\_ha\_2 make up another redundant interface.
- 3 Connect the port5 interfaces of 620\_ha\_1 and 620\_ha\_2 together. You can use a crossover Ethernet cable or regular Ethernet cables and a switch.
- 4 Connect the port5 interfaces of the cluster units together. You can use a crossover Ethernet cable or regular Ethernet cables and a switch.
- 5 Power on the cluster units.  
The units start and negotiate to choose the primary unit and the subordinate unit. This negotiation occurs with no user intervention and normally takes less than a minute.  
When negotiation is complete the cluster is ready to be configured for your network.

### To view cluster status

Use the following steps to view the cluster dashboard and cluster members list to confirm that the cluster units are operating as a cluster.

- 1 View the system dashboard.  
The System Information dashboard widget shows the *Cluster Name* (example5.com) and the host names and serial numbers of the *Cluster Members*. The Unit Operation widget shows multiple cluster units.
- 2 Go to *System > Config > HA* to view the cluster members list.  
The list shows two cluster units, their host names, their roles in the cluster, and their priorities. You can use this list to confirm that the cluster is operating normally.

### To troubleshoot the cluster configuration

If the cluster members list and the dashboard do not display information for both cluster units the FortiGate units are not functioning as a cluster. See [“Troubleshooting HA clusters” on page 111](#) to troubleshoot the cluster.

### To add basic configuration settings and the redundant interfaces

Use the following steps to add a few basic configuration settings.

- 1 Log into the cluster web-based manager.
- 2 Go to *System > Admin > Administrators*.
- 3 For *admin*, select the *Change Password* icon
- 4 Enter and confirm a new password.
- 5 Select OK.

- 6 Go to *Router > Static* and temporarily delete the default route.  
You cannot add an interface to a redundant interface if any settings (such as the default route) are configured for it.
- 7 Go to *System > Network > Interface* and select *Create New* to add the redundant interface to connect to the Internet.
- 8 Set *Type* to *Redundant Interface* and configure the redundant interface to be connected to the Internet:

<b>Name</b>	Port1_Port2
<b>Physical Interface Members</b>	
<b>Selected Interfaces</b>	port1, port2
<b>IP/Netmask</b>	172.20.120.141/24

- 9 Select OK.
- 10 Select *Create New* to add the redundant interface to connect to the internal network.
- 11 Set *Type* to *Redundant Interface* and configure the redundant interface to be connected to the Internet:

<b>Name</b>	Port3_Port4
<b>Physical Interface Members</b>	
<b>Selected Interfaces</b>	port3, port4
<b>IP/Netmask</b>	10.11.101.100/24
<b>Administrative Access</b>	HTTPS, PING, SSH

**12** Select OK.

The virtual MAC addresses of the FortiGate-620B interfaces change to the following. Note that port1 and port2 both have the port1 virtual MAC address and port3 and port4 both have the port3 virtual MAC address:

- port1 interface virtual MAC: 00-09-0f-09-00-00
- port10 interface virtual MAC: 00-09-0f-09-00-01
- port11 interface virtual MAC: 00-09-0f-09-00-02
- port12 interface virtual MAC: 00-09-0f-09-00-03
- port13 interface virtual MAC: 00-09-0f-09-00-04
- port14 interface virtual MAC: 00-09-0f-09-00-05
- port15 interface virtual MAC: 00-09-0f-09-00-06
- port16 interface virtual MAC: 00-09-0f-09-00-07
- port17 interface virtual MAC: 00-09-0f-09-00-08
- port18 interface virtual MAC: 00-09-0f-09-00-09
- port19 interface virtual MAC: 00-09-0f-09-00-0a
- port2 interface virtual MAC: 00-09-0f-09-00-00 (same as port1)
- port20 interface virtual MAC: 00-09-0f-09-00-0c
- port3 interface virtual MAC: 00-09-0f-09-00-0d
- port4 interface virtual MAC: 00-09-0f-09-00-0d (same as port3)
- port5 interface virtual MAC: 00-09-0f-09-00-0f
- port6 interface virtual MAC: 00-09-0f-09-00-10
- port7 interface virtual MAC: 00-09-0f-09-00-11
- port8 interface virtual MAC: 00-09-0f-09-00-12
- port9 interface virtual MAC: 00-09-0f-09-00-13

**13** Go to *Router > Static*.**14** Add the default route.

<b>Destination IP/Mask</b>	0.0.0.0/0.0.0.0
<b>Gateway</b>	172.20.120.2
<b>Device</b>	Port1_Port2
<b>Distance</b>	10

**15** Select OK.**To configure HA port monitoring for the redundant interfaces**

- 1** Go to *System > Config > HA*.
- 2** In the cluster members list, edit the primary unit.
- 3** Configure the following port monitoring for the redundant interfaces:

	<b>Port Monitor</b>
<b>Port1_Port2</b>	Select
<b>Port3_Port4</b>	Select

**4** Select OK.

## Configuring active-passive HA cluster that includes redundant interfaces - CLI

These procedures assume you are starting with two FortiGate-620B units with factory default settings.

### To configure the FortiGate-620B units for HA operation

- 1 Power on the first FortiGate-620B unit and log into the CLI.
- 2 Change the host name for this FortiGate unit:

```
config system global
  set hostname 620_ha_1
end
```

- 3 Configure HA settings.

```
config system ha
  set mode a-p
  set group-name example6.com
  set password HA_pass_6
  set hbdev port5 50 port6 50
```

end

Since port3 and port4 will be used for a redundant interface, you must change the HA heartbeat configuration.

The FortiGate unit negotiates to establish an HA cluster. You may temporarily lose connectivity with the FortiGate unit as the HA cluster negotiates and the FGCP changes the MAC address of the FortiGate unit interfaces (see [“Cluster virtual MAC addresses” on page 205](#)). The MAC addresses of the FortiGate-620B interfaces change to the following virtual MAC addresses:

- port1 interface virtual MAC: 00-09-0f-09-00-00
- port10 interface virtual MAC: 00-09-0f-09-00-01
- port11 interface virtual MAC: 00-09-0f-09-00-02
- port12 interface virtual MAC: 00-09-0f-09-00-03
- port13 interface virtual MAC: 00-09-0f-09-00-04
- port14 interface virtual MAC: 00-09-0f-09-00-05
- port15 interface virtual MAC: 00-09-0f-09-00-06
- port16 interface virtual MAC: 00-09-0f-09-00-07
- port17 interface virtual MAC: 00-09-0f-09-00-08
- port18 interface virtual MAC: 00-09-0f-09-00-09
- port19 interface virtual MAC: 00-09-0f-09-00-0a
- port2 interface virtual MAC: 00-09-0f-09-00-0b
- port20 interface virtual MAC: 00-09-0f-09-00-0c
- port3 interface virtual MAC: 00-09-0f-09-00-0d
- port4 interface virtual MAC: 00-09-0f-09-00-0e
- port5 interface virtual MAC: 00-09-0f-09-00-0f
- port6 interface virtual MAC: 00-09-0f-09-00-10
- port7 interface virtual MAC: 00-09-0f-09-00-11
- port8 interface virtual MAC: 00-09-0f-09-00-12
- port9 interface virtual MAC: 00-09-0f-09-00-13

To reconnect sooner, you can update the ARP table of your management PC by deleting the ARP table entry for the FortiGate unit (or just deleting all arp table entries). You may be able to delete the arp table of your management PC from a command prompt using a command similar to `arp -d`.

You can use the `get hardware nic` (or `diagnose hardware deviceinfo nic`) CLI command to view the virtual MAC address of any FortiGate unit interface. For example, use the following command to view the port1 interface virtual MAC address (Current\_HWaddr) and the port1 permanent MAC address (Permanent\_HWaddr):

```
get hardware nic port1
.
.
.
MAC: 00:09:0f:09:00:00
Permanent_HWaddr: 02:09:0f:78:18:c9
.
.
.
```

**4 Display the HA configuration (optional).**

```

get system ha
group-id          : 0
group-name        : example6.com
mode              : a-p
password          : *
hbdev             : "port5" 50 "port6" 50
session-sync-dev  :
route-ttl         : 10
route-wait        : 0
route-hold        : 10
sync-config       : enable
encryption        : disable
authentication    : disable
hb-interval       : 2
hb-lost-threshold : 20
helo-holddown     : 20
arps              : 5
arps-interval     : 8
session-pickup    : disable
link-failed-signal : disable
uninterruptable-upgrade: enable
ha-mgmt-status    : disable
ha-eth-type       : 8890
hc-eth-type       : 8891
l2ep-eth-type     : 8893
subsecond         : disable
vcluster2         : disable
vcluster-id       : 1
override          : disable
priority          : 128
monitor           :
pingserver-monitor-interface:
pingserver-failover-threshold: 0
pingserver-flip-timeout: 60
vdom              : "root"

```

**5 Repeat these steps for the other FortiGate unit.**

Set the other FortiGate unit host name to:

```

config system global
  set hostname 620_ha_2
end

```

**To connect the cluster to the network**

- 1** Connect the port1 and port2 interfaces of 620\_ha\_1 and 620\_ha\_2 to a switch connected to the Internet.

Configure the switch so that the port1 and port2 of 620\_ha\_1 make up a redundant interface and port1 and port2 of 620\_ha\_2 make up another redundant interface.

- 2** Connect the port3 and port4 interfaces of 620\_ha\_1 and 620\_ha\_2 to a switch connected to the internal network.

Configure the switch so that the port3 and port4 of 620\_ha\_1 make up a redundant interface and port3 and port4 of 620\_ha\_2 make up another redundant interface.



- 3 Connect the port5 interfaces of 620\_ha\_1 and 620\_ha\_2 together. You can use a crossover Ethernet cable or regular Ethernet cables and a switch.
- 4 Connect the port5 interfaces of the cluster units together. You can use a crossover Ethernet cable or regular Ethernet cables and a switch.
- 5 Power on the cluster units.  
The units start and negotiate to choose the primary unit and the subordinate unit. This negotiation occurs with no user intervention and normally takes less than a minute.  
When negotiation is complete the cluster is ready to be configured for your network.

### To view cluster status

Use the following steps to view cluster status from the CLI.

- 1 Log into the CLI.
- 2 Enter `get system status` to verify the HA status of the cluster unit that you logged into. Look for the following information in the command output.

Current HA mode: a-a, master	The cluster units are operating as a cluster and you have connected to the primary unit.
Current HA mode: a-a, backup	The cluster units are operating as a cluster and you have connected to a subordinate unit.
Current HA mode: standalone	The cluster unit is not operating in HA mode

- 3 Enter the following command to confirm the HA configuration of the cluster:

```
get system ha status
Model: 620
Mode: a-a
Group: 0
Debug: 0
ses_pickup: disable
Master:128 620_ha_2          FG600B3908600825 0
Slave :128 620_ha_1          FG600B3908600705 1
number of vcluster: 1
vcluster 1: work 169.254.0.1
Master:0 FG600B3908600825
Slave :1 FG600B3908600705
```

The command output shows both cluster units, their host names, their roles in the cluster, and their priorities. You can use this command to confirm that the cluster is operating normally. For example, if the command shows only one cluster unit then the other unit has left the cluster for some reason.

### To troubleshoot the cluster configuration

If the cluster members list and the dashboard do not display information for both cluster units the FortiGate units are not functioning as a cluster. See [“Troubleshooting HA clusters” on page 111](#) to troubleshoot the cluster.

### To add basic configuration settings and the redundant interfaces

Use the following steps to add a few basic configuration settings and the redundant interfaces.

- 1 Add a password for the admin administrative account.  
`config system admin`

```
edit admin
  set password <psswrd>
end
```

## 2 Temporarily delete the default route.

You cannot add an interface to a redundant interface if any settings (such as the default route) are configured for it. In this example the index of the default route is 1.

```
config router static
  delete 1
end
```

## 3 Add the redundant interfaces:

```
config system interface
  edit Port1_Port2
    set type redundant
    set member port1 port2
    set ip 172.20.120.141/24
    set vdom root
  next
  edit Port3_Port4
    set type redundant
    set member port3 port4
    set ip 10.11.101.100/24
    set vdom root
```

end

The virtual MAC addresses of the FortiGate-620B interfaces change to the following. Note that port1 and port2 both have the port1 virtual MAC address and port3 and port4 both have the port3 virtual MAC address:

- port1 interface virtual MAC: 00-09-0f-09-00-00
- port10 interface virtual MAC: 00-09-0f-09-00-01
- port11 interface virtual MAC: 00-09-0f-09-00-02
- port12 interface virtual MAC: 00-09-0f-09-00-03
- port13 interface virtual MAC: 00-09-0f-09-00-04
- port14 interface virtual MAC: 00-09-0f-09-00-05
- port15 interface virtual MAC: 00-09-0f-09-00-06
- port16 interface virtual MAC: 00-09-0f-09-00-07
- port17 interface virtual MAC: 00-09-0f-09-00-08
- port18 interface virtual MAC: 00-09-0f-09-00-09
- port19 interface virtual MAC: 00-09-0f-09-00-0a
- port2 interface virtual MAC: 00-09-0f-09-00-00 (same as port1)
- port20 interface virtual MAC: 00-09-0f-09-00-0c
- port3 interface virtual MAC: 00-09-0f-09-00-0d
- port4 interface virtual MAC: 00-09-0f-09-00-0d (same as port3)
- port5 interface virtual MAC: 00-09-0f-09-00-0f
- port6 interface virtual MAC: 00-09-0f-09-00-10
- port7 interface virtual MAC: 00-09-0f-09-00-11
- port8 interface virtual MAC: 00-09-0f-09-00-12
- port9 interface virtual MAC: 00-09-0f-09-00-13

#### 4 Add the default route.

```
config router static
  edit 1
    set dst 0.0.0.0 0.0.0.0
    set gateway 172.20.120.2
    set device Port1_Port2
  end
```

### To configure HA port monitoring for the redundant interfaces

#### 1 Configure HA port monitoring for the redundant interfaces.

```
config system ha
  set monitor Port1_Port2 Port3_Port4
end
```

## Troubleshooting HA clusters

This section describes some HA clustering troubleshooting techniques.

## Before you set up a cluster

Before you set up a cluster ask yourself the following questions about the FortiGate units that you are planning to use to create a cluster.

- 1 Do all the FortiGate units have the same hardware configuration? Including the same hard disk configuration and the same AMC cards installed in the same slots?
- 2 Do all FortiGate units have the same firmware build?
- 3 Are all FortiGate units set to the same operating mode (NAT or Transparent)?
- 4 Are all the FortiGate units operating in single VDOM mode?
- 5 If the FortiGate units are operating in multiple VDOM mode do they all have the same VDOM configuration?



In some cases you may be able to form a cluster if different FortiGate units have different firmware builds, different VDOM configurations, and are in different operating modes. However, if you encounter problems they may be resolved by installing the same firmware build on each unit, and give them the same VDOM configuration and operating mode.

## Troubleshooting the initial cluster configuration

This section describes how to check a cluster when it first starts up to make sure that it is configured and operating correctly. This section assumes you have already configured your HA cluster.

### To verify that a cluster can process traffic and react to a failure

- 1 Add a basic security policy configuration and send network traffic through the cluster to confirm connectivity.

For example, if the cluster is installed between the Internet and an internal network, set up a basic internal to external security policy that accepts all traffic. Then from a PC on the internal network, browse to a website on the Internet or ping a server on the Internet to confirm connectivity.

- 2 From your management PC, set ping to continuously ping the cluster, and then start a large download, or in some other way establish ongoing traffic through the cluster.
- 3 While traffic is going through the cluster, disconnect the power from one of the cluster units.

You could also shut down or restart a cluster unit.

Traffic should continue with minimal interruption.

- 4 Start up the cluster unit that you disconnected.

The unit should re-join the cluster with little or no affect on traffic.

- 5 Disconnect a cable for one of the HA heartbeat interfaces.

The cluster should keep functioning, using the other HA heartbeat interface.

- 6 If you have port monitoring enabled, disconnect a network cable from a monitored interface.

Traffic should continue with minimal interruption.

### To verify the cluster configuration - web-based manager

- 1 Log into the cluster web-based manager.
- 2 Check the system dashboard to verify that the System Information widget displays all of the cluster units.

- 3 Check the cluster member graphic to verify that the correct cluster unit interfaces are connected.
- 4 Go to *System > Config > HA* and verify that all of the cluster units are displayed on the cluster members list.
- 5 From the cluster members list, edit the primary unit (master) and verify the cluster configuration is as expected.

#### To troubleshoot the cluster configuration - web-based manager

- 1 Connect to each cluster unit web-based manager and verify that the HA configurations are the same.

To connect to each web-based manager, you may need to disconnect some units from the network to connect to the other if the units have the same IP address.

- 2 If the configurations are the same, try re-entering the cluster *Password* on each cluster unit in case you made an error typing the password when configuring one of the cluster units.
- 3 Check that the correct interfaces of each cluster unit are connected.

Check the cables and interface LEDs.

Use the Unit Operation dashboard widget, system network interface list, or cluster members list to verify that each interface that should be connected actually is connected.

If Link is down re-verify the physical connection. Try replacing network cables or switches as required.

#### To verify the cluster configuration - CLI

- 1 Log into each cluster unit CLI.

You can use the console connection if you need to avoid the problem of units having the same IP address.

- 2 Enter the command `get system status`.

Look for the following information in the command output.

Current HA mode: a-a, master	The cluster units are operating as a cluster and you have connected to the primary unit.
Current HA mode: a-a, backup	The cluster units are operating as a cluster and you have connected to a subordinate unit.
Current HA mode: standalone	The cluster unit is not operating in HA mode

- 3 Verify that the `get system ha status` command displays all of the cluster units.
- 4 Enter the `get system ha` command to verify that the HA configuration is correct and the same for each cluster unit.

#### To troubleshoot the cluster configuration - CLI

- 1 Try using the following command to re-enter the cluster password on each cluster unit in case you made an error typing the password when configuring one of the cluster units.

```
config system ha
  set password <password>
end
```

- 2 Check that the correct interfaces of each cluster unit are connected.

Check the cables and interface LEDs.

Use `get hardware nic <interface_name>` command to confirm that each interface is connected. If the interface is connected the command output should contain a `Link: up` entry similar to the following:

```
get hardware nic port1
.
.
.
Link: up
.
.
.
```

If Link is down, re-verify the physical connection. Try replacing network cables or switches as required.

## More troubleshooting information

Much of the information in this HA guide can be useful for troubleshooting HA clusters. Here are some links to sections with more information.

- If sessions are lost after a failover you may need to change route-ttl to keep synchronized routes active longer. See [“Change how long routes stay in a cluster unit routing table” on page 220](#).
- To control which cluster unit becomes the primary unit, you can change the device priority and enable override. See [“Controlling primary unit selection using device priority and override” on page 38](#).
- Changes made to a cluster can be lost if override is enabled. See [“Configuration changes can be lost if override is enabled” on page 39](#).
- In some cases, age differences among cluster units result in the wrong cluster unit becoming the primary unit. For example, if a cluster unit set to a high priority reboots, that unit will have a lower age than other cluster units. You can resolve this problem by resetting the age of one or more cluster units. See [“Resetting the age of all cluster units” on page 33](#). You can also adjust how sensitive the cluster is to age differences. This can be useful if large age differences cause problems. See [“Cluster age difference margin \(grace period\)” on page 31](#) and [“Changing the cluster age difference margin” on page 31](#).
- If one of the cluster units needs to be serviced or removed from the cluster for other reasons, you can do so without affecting the operation of the cluster. See [“Disconnecting a cluster unit from a cluster” on page 192](#).
- The web-based manager and CLI will not allow you to configure HA if:
  - You have configured a FortiGate interface to get its IP address using DHCP or PPPoE. See [“FortiGate HA compatibility with PPPoE and DHCP” on page 40](#).
  - You have enabled VRRP. See [“VRRP” on page 265](#).
  - You have enabled TCP session synchronization. See [“TCP session synchronization” on page 271](#).

- Some third-party network equipment may prevent HA heartbeat communication, resulting in a failure of the cluster or the creation of a split brain scenario. For example, some switches use packets with the same Ethertype as HA heartbeat packets use for internal functions and when used for HA heartbeat communication the switch generates CRC errors and the packets are not forwarded. See [“Heartbeat packet Ethernets” on page 202](#).
- Very busy clusters may not be able to send HA heartbeat packets quickly enough, also resulting in a split brain scenario. You may be able to resolve this problem by modifying HA heartbeat timing. See [“Modifying heartbeat timing” on page 203](#).
- Very busy clusters may suffer performance reductions if session pickup is enabled. If possible you can disable this feature to improve performance. If you require session pickup for your cluster, several options are available for improving session pickup performance. See [“Improving session synchronization performance” on page 233](#).
- If it takes longer than expected for a cluster to failover you can try changing how the primary unit sends gratuitous ARP packets. See [“Changing how the primary unit sends gratuitous ARP packets after a failover” on page 206](#).
- You can also improve failover times by configuring the cluster for subsecond failover. See [“Subsecond failover” on page 228](#) and [“Failover performance” on page 242](#).
- When you first put a FortiGate unit in HA mode you may lose connectivity to the unit. This occurs because HA changes the MAC addresses of all FortiGate unit interfaces, including the one that you are connecting to. The cluster MAC addresses also change if you change some HA settings such as the cluster group ID. The connection will be restored in a short time as your network and PC updates to the new MAC address. To reconnect sooner, you can update the ARP table of your management PC by deleting the ARP table entry for the FortiGate unit (or just deleting all arp table entries). You may be able to delete the arp table of your management PC from a command prompt using a command similar to `arp -d`.
- Since HA changes all cluster unit MAC addresses, if your network uses MAC address filtering you may have to make configuration changes to account for the HA MAC addresses.
- A network may experience packet loss when two FortiGate HA clusters have been deployed in the same broadcast domain. Deploying two HA clusters in the same broadcast domain can result in packet loss because of MAC address conflicts. The packet loss can be diagnosed by pinging from one cluster to the other or by pinging both of the clusters from a device within the broadcast domain. You can resolve the MAC address conflict by changing the HA Group ID configuration of the two clusters. The HA Group ID is sometimes also called the Cluster ID. See [“Diagnosing packet loss with two FortiGate HA clusters in the same broadcast domain” on page 210](#).
- The cluster CLI displays `slave is not in sync` messages if there is a synchronization problem between the primary unit and one or more subordinate units. See [“How to diagnose HA out of sync messages” on page 217](#).
- If you have configured dynamic routing and the new primary unit takes too long to update its routing table after a failover you can configure graceful restart and also optimize how routing updates are synchronized. See [“Configuring graceful restart for dynamic routing failover” on page 219](#) and [“Controlling how the FGCP synchronizes routing updates” on page 220](#).

- Some switches may not be able to detect that the primary unit has become a subordinate unit and will keep sending packets to the former primary unit. This can occur after a link failover if the switch does not detect the failure and does not clear its MAC forwarding table. See [“Updating MAC forwarding tables when a link failover occurs” on page 226](#).
- If a link not directly connected to a cluster unit (for example, between a switch connected to a cluster interface and the network) fails you can enable remote link failover to maintain communication. See [“Remote link failover” on page 228](#).
- If you find that some cluster units are not running the same firmware build you can reinstall the correct firmware build on the cluster to upgrade all cluster units to the same firmware build. See [“Synchronizing the firmware build running on a new cluster unit” on page 181](#).





# Configuring and connecting virtual clusters

This chapter provides an introduction to virtual clustering and also contains general procedures and configuration examples that describe how to configure FortiGate HA virtual clustering.

This chapter contains the following sections:

- [Virtual clustering overview](#)
- [Configuring HA for virtual clustering](#)
- [Example: virtual clustering with two VDOMs and VDOM partitioning](#)
- [Example: inter-VDOM links in a virtual clustering configuration](#)
- [Troubleshooting virtual clustering](#)

## Virtual clustering overview

Virtual clustering is an extension of the FGCP for a cluster of 2 FortiGate units operating with multiple VDOMS enabled. Virtual clustering operates in active-passive mode to provide failover protection between two instances of a VDOM operating on two different cluster units. You can also operate virtual clustering in active-active mode to use HA load balancing to load balance sessions between cluster units. Alternatively, by distributing VDOM processing between the two cluster units you can also configure virtual clustering to provide load balancing by distributing sessions for different VDOMs to each cluster unit.

[Figure](#) shows an example virtual cluster configuration consisting of two FortiGate-620B units. The virtual cluster has two virtual domains, root and Eng\_vdm.

The root virtual domain includes the port1 and port2 interfaces. The Eng\_vdm virtual domain includes the port5 and port6 interfaces. The port3 and port4 interfaces (not shown in the diagram) are the HA heartbeat interfaces.



FortiGate virtual clustering is limited to a cluster of 2 FortiGate units with multiple VDOMs enabled. If you want to create a cluster of more than 2 FortiGate units operating with multiple VDOMS you could consider other solutions that either do not include multiple VDOMs in one cluster or employ a feature such as standalone session synchronization. See [“TCP session synchronization” on page 271](#).

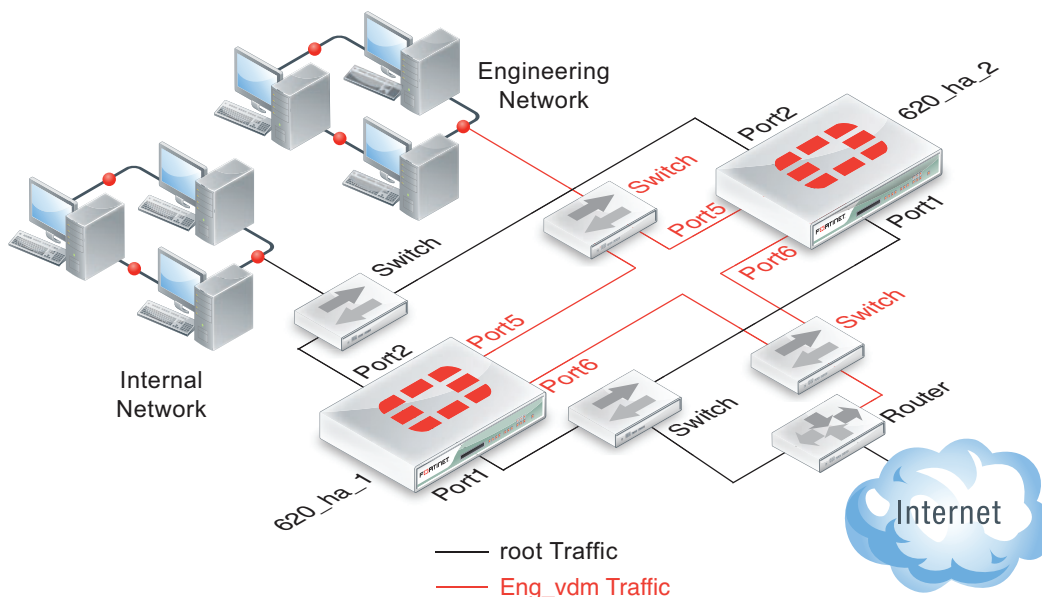
## Virtual clustering and failover protection

Virtual clustering operates on a cluster of two (and only two) FortiGate units with VDOMs enabled. Each VDOM creates a cluster between instances of the VDOMs on the two FortiGate units in the virtual cluster. All traffic to and from the VDOM stays within the VDOM and is processed by the VDOM. One cluster unit is the primary unit for each VDOM and one cluster unit is the subordinate unit for each VDOM. The primary unit processes all traffic for the VDOM. The subordinate unit does not process traffic for the VDOM. If a cluster unit fails, all traffic fails over to the cluster unit that is still operating.

## Virtual clustering and heartbeat interfaces

The HA heartbeat provides the same HA services in a virtual clustering configuration as in a standard HA configuration. One set of HA heartbeat interfaces provides HA heartbeat services for all of the VDOMs in the cluster. You do not have to add a heartbeat interface for each VDOM.

**Figure 12: Example virtual cluster of two FortiGate-620B units**



## Virtual clustering and HA override

For a virtual cluster configuration, override is enabled by default for both virtual clusters when you:

- Enable VDOM partitioning from the web-based manager by moving virtual domains to virtual cluster 2
- Enter `set vcluster2 enable` from the CLI `config system ha` command to enable virtual cluster 2.

Usually you would enable virtual cluster 2 and expect one cluster unit to be the primary unit for virtual cluster 1 and the other cluster unit to be the primary unit for virtual cluster 2. For this distribution to occur override must be enabled for both virtual clusters. Otherwise you will need to restart the cluster to force it to renegotiate.



If override is enabled the cluster may renegotiate too often. You can choose to disable override at any time. If you decide to disable override, for best results, you should disable it for both cluster units.

For more information about HA override see [“HA override” on page 36](#).

## Virtual clustering and load balancing or VDOM partitioning

There are two ways to configure load balancing for virtual clustering. The first is to set the HA mode to active-active. The second is to configure VDOM partitioning. For virtual clustering, setting the HA Mode to active-active has the same result as active-active HA for a cluster without virtual domains. The primary unit receives all sessions and load balances them among the cluster units according to the load balancing schedule. All cluster units process traffic for all virtual domains.

In a VDOM partitioning virtual clustering configuration, the HA mode is set to active-passive. Even though virtual clustering operates in active-passive mode you can configure a form of load balancing by using VDOM partitioning to distribute traffic between both cluster units. To configure VDOM partitioning you set one cluster unit as the primary unit for some virtual domains and you set the other cluster unit as the primary unit for other virtual domains. All traffic for a virtual domain is processed by the primary unit for that virtual domain. You can control the distribution of traffic between the cluster units by adjusting which cluster unit is the primary unit for each virtual domain.

For example, you could have 4 VDOMs, two of which have a high traffic volume and two of which have a low traffic volume. You can configure each cluster unit to be the primary unit for one of the high volume VDOMs and one of the low volume VDOMs. As a result each cluster unit will be processing traffic for a high volume VDOM and a low volume VDOM, resulting in an even distribution of traffic between the cluster units. You can adjust the distribution at any time. For example, if a low volume VDOM becomes a high volume VDOM you can move it from one cluster unit to another until the best balance is achieved.

From the web-based manager you configure VDOM partitioning by setting the HA mode to active-passive and distributing virtual domains between Virtual Cluster 1 and Virtual Cluster 2. You can also configure different device priorities, port monitoring, and remote link failover, for Virtual Cluster 1 and Virtual Cluster 2.

From the CLI you configure VDOM partitioning by setting the HA mode to `a-p`. Then you configure device priority, port monitoring, and remote link failover and specify the VDOMs to include in virtual cluster 1. You do the same for virtual cluster 2 by entering the `config secondary-vcluster` command.

Failover protection does not change. If one cluster unit fails, all sessions are processed by the remaining cluster unit. No traffic interruption occurs for the virtual domains for which the still functioning cluster unit was the primary unit. Traffic may be interrupted temporarily for virtual domains for which the failed unit was the primary unit while processing fails over to the still functioning cluster unit.

If the failed cluster unit restarts and rejoins the virtual cluster, VDOM partitioning load balancing is restored.

## Configuring HA for virtual clustering

If your cluster uses VDOMs, you are configuring virtual clustering. Most virtual cluster HA options are the same as normal HA options. However, virtual clusters include VDOM partitioning options. Other differences between configuration options for regular HA and for virtual clustering HA are described below.

To configure HA options for a cluster with VDOMs enabled:

- Log into the global web-based manager and go to *System > Config > HA*.
- From the CLI, log into the Global Configuration:

The following example shows how to configure active-active virtual clustering:

```

config global
  config system ha
    set mode a-a
    set group-name vexample1.com
    set password vHA_pass_1
  end
end

```

The following example shows how to configure active-passive virtual clustering:

```

config global
  config system ha
    set mode a-p
    set group-name vexample1.com
    set password vHA_pass_1
  end
end

```

The following example shows how to configure VDOM partitioning for virtual clustering. In the example, the FortiGate unit is configured with three VDOMs (domain\_1, domain\_2, and domain\_3) in addition to the root VDOM. The example shows how to set up a basic HA configuration that sets the device priority of virtual cluster 1 to 200. The example also shows how to enable `vcluster2`, how to set the device priority of virtual cluster 2 to 100 and how to add the virtual domains `domain_2` and `domain_3` to virtual cluster 2.

When you enable multiple VDOMs, `vcluster2` is enabled by default. Even so the command to enable `vcluster2` is included in this example in case for some reason it has been disabled. When `vcluster2` is enabled, `override` is also enabled.

The result of this configuration would be that the cluster unit that you are logged into becomes the primary unit for virtual cluster 1. This cluster unit processes all traffic for the root and `domain_1` virtual domains.

```

config global
  config system ha
    set mode a-p
    set group-name vexample1.com
    set password vHA_pass_1
    set priority 200
    set vcluster2 enable
  config secondary-vcluster
    set vdom domain_2 domain_3
    set priority 100
  end
end
end

```

The following example shows how to use the `execute ha manage` command to change the device priorities for virtual cluster 1 and virtual cluster 2 for the other unit in the cluster. The commands set the device priority of virtual cluster 1 to 100 and virtual cluster 2 to 200.

The result of this configuration would be that the other cluster unit becomes the primary unit for virtual cluster 2. This other cluster unit would process all traffic for the `domain_2` and `domain_3` virtual domains.

```

config global
  execute ha manage 1
  config system ha
    set priority 100

```

```
        set vcluster2 enable
        config secondary-vcluster
            set priority 200
        end
    end
end
end
```

## Example: virtual clustering with two VDOMs and VDOM partitioning

This section describes how to configure the example virtual clustering configuration shown in [Figure 13](#). This configuration includes two virtual domains, root and Eng\_vdm and includes VDOM partitioning that sends all root VDOM traffic to 620\_ha\_1 and all Eng\_vdm VDOM traffic to 620\_ha\_2. The traffic from the internal network and the engineering network is distributed between the two FortiGate units in the virtual cluster. If one of the cluster units fails, the remaining unit will process traffic for both VDOMs.

The procedures in this example describe some of many possible sequences of steps for configuring virtual clustering. For simplicity many of these procedures assume that you are starting with new FortiGate units set to the factory default configuration. However, this is not a requirement for a successful HA deployment. FortiGate HA is flexible enough to support a successful configuration from many different starting points.

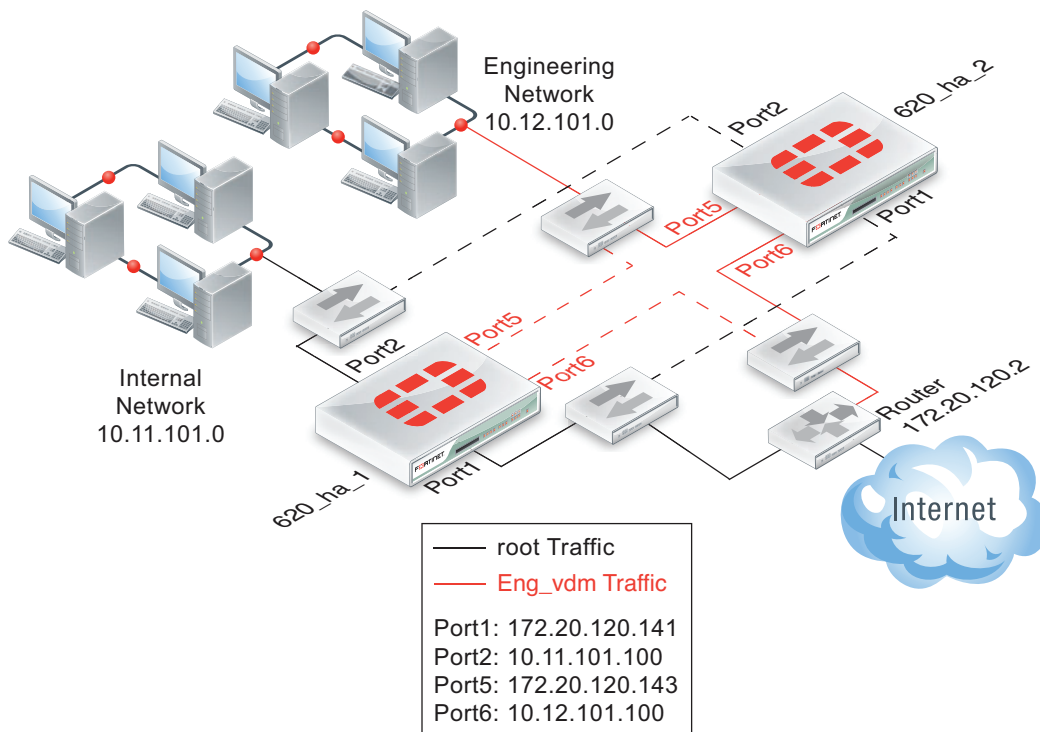
### Example virtual clustering network topology

[Figure 13](#) shows a typical FortiGate-620B HA virtual cluster consisting of two FortiGate-620B units (620\_ha\_1 and 620\_ha\_2) connected to an internal network, an engineering network and the Internet. To simplify the diagram the heartbeat connections are not shown.

The traffic from the internal network is processed by the root VDOM, which includes the port1 and port2 interfaces. The traffic from the engineering network is processed by the Eng\_vdm VDOM, which includes the port5 and port6 interfaces. VDOM partitioning is configured so that all traffic from the internal network is processed by 620\_ha\_1 and all traffic from the engineering network is processed by 620\_ha\_2.

This virtual cluster uses the default FortiGate-620B heartbeat interfaces (port3 and port4).

**Figure 13: Example virtual cluster of two FortiGate-620B units showing VDOM partitioning**



## General configuration steps

The section includes web-based manager and CLI procedures. These procedures assume that the FortiGate-620B units are running the same FortiOS firmware build and are set to the factory default configuration.

### General configuration steps

- 1 Configure the FortiGate units for HA operation.
  - Optionally change each unit's host name.
  - Configure HA.
- 2 Connect the cluster to the network.
- 3 Configure VDOM settings for the cluster:
  - Enable multiple VDOMs.
  - Add the Eng\_vdm VDOM.
  - Add port5 and port6 to the Eng\_vdm.
- 4 Configure VDOM partitioning.
- 5 Confirm that the cluster units are operating as a virtual cluster and add basic configuration settings to the cluster.
  - View cluster status from the web-based manager or CLI.
  - Add a password for the admin administrative account.
  - Change the IP addresses and netmasks of the port1, port2, port5, and port6 interfaces.
  - Add a default routes to each VDOM.

## Configuring virtual clustering with two VDOMs and VDOM partitioning - web-based manager

These procedures assume you are starting with two FortiGate-620B units with factory default settings.

### To configure the FortiGate-620B units for HA operation

- 1 Power on the first FortiGate-620B unit and log into the web-based manager.
- 2 On the *System Information* dashboard widget, beside *Host Name* select *Change*.
- 3 Enter a new Host Name for this FortiGate unit.

<b>New Name</b>	620_ha_1
-----------------	----------

- 4 Select OK.
- 5 Go to *System > Config > HA* and change the following settings.

<b>Mode</b>	Active-Passive
<b>Group Name</b>	vexample2.com
<b>Password</b>	vHA_pass_2

**6** Select OK.

The FortiGate unit negotiates to establish an HA cluster. When you select OK you may temporarily lose connectivity with the FortiGate unit as the HA cluster negotiates and the FGCP changes the MAC address of the FortiGate unit interfaces (see “[Cluster virtual MAC addresses](#)” on page 205). The MAC addresses of the FortiGate-620B interfaces change to the following virtual MAC addresses:

- port1 interface virtual MAC: 00-09-0f-09-00-00
- port10 interface virtual MAC: 00-09-0f-09-00-01
- port11 interface virtual MAC: 00-09-0f-09-00-02
- port12 interface virtual MAC: 00-09-0f-09-00-03
- port13 interface virtual MAC: 00-09-0f-09-00-04
- port14 interface virtual MAC: 00-09-0f-09-00-05
- port15 interface virtual MAC: 00-09-0f-09-00-06
- port16 interface virtual MAC: 00-09-0f-09-00-07
- port17 interface virtual MAC: 00-09-0f-09-00-08
- port18 interface virtual MAC: 00-09-0f-09-00-09
- port19 interface virtual MAC: 00-09-0f-09-00-0a
- port2 interface virtual MAC: 00-09-0f-09-00-0b
- port20 interface virtual MAC: 00-09-0f-09-00-0c
- port3 interface virtual MAC: 00-09-0f-09-00-0d
- port4 interface virtual MAC: 00-09-0f-09-00-0e
- port5 interface virtual MAC: 00-09-0f-09-00-0f
- port6 interface virtual MAC: 00-09-0f-09-00-10
- port7 interface virtual MAC: 00-09-0f-09-00-11
- port8 interface virtual MAC: 00-09-0f-09-00-12
- port9 interface virtual MAC: 00-09-0f-09-00-13

To be able to reconnect sooner, you can update the ARP table of your management PC by deleting the ARP table entry for the FortiGate unit (or just deleting all arp table entries). You may be able to delete the arp table of your management PC from a command prompt using a command similar to `arp -d`.

You can use the `get hardware nic` (or `diagnose hardware deviceinfo nic`) CLI command to view the virtual MAC address of any FortiGate unit interface. For example, use the following command to view the port1 interface virtual MAC address (Current\_HWaddr) and the port1 permanent MAC address (Permanent\_HWaddr):

```
get hardware nic port1
.
.
.
MAC: 00:09:0f:09:00:00
Permanent_HWaddr: 02:09:0f:78:18:c9
.
.
.
```

**7** Power off the first FortiGate unit.



- 8 Repeat these steps for the second FortiGate unit.

Set the second FortiGate unit host name to:

<b>New Name</b>	620_ha_2
-----------------	----------

#### To connect the cluster to the network

- 1 Connect the port1 interfaces of 620\_ha\_1 and 620\_ha\_2 to a switch connected to the Internet.
- 2 Connect the port5 interfaces of 620\_ha\_1 and 620\_ha\_2 to switch connected to the Internet.  
You could use the same switch for the port1 and port5 interfaces.
- 3 Connect the port2 interfaces of 620\_ha\_1 and 620\_ha\_2 to a switch connected to the internal network.
- 4 Connect the port6 interfaces of 620\_ha\_1 and 620\_ha\_2 to a switch connected to the engineering network.
- 5 Connect the port3 interfaces of the cluster units together. You can use a crossover Ethernet cable or regular Ethernet cables and a switch.
- 6 Connect the port4 interfaces of the cluster units together. You can use a crossover Ethernet cable or regular Ethernet cables and a switch.
- 7 Power on the cluster units.

The units start and negotiate to choose the primary unit and the subordinate unit. This negotiation occurs with no user intervention.

When negotiation is complete you can continue.

#### To configure VDOM settings for the cluster

- 1 Log into the web-based manager.
- 2 On the *System Information* dashboard widget, beside *Virtual Domain* select *Enable*.
- 3 Select OK and then log back into the web-based manager.
- 4 Go to *System > VDOM* and select *Create New* to add a new VDOM.

<b>Name</b>	Eng_vdm
-------------	---------

- 5 Go to *System > Network > Interface*.
- 6 Edit the *port5* interface, add it to the Eng\_vdm VDOM and configure other interface settings:

<b>Alias</b>	Engineering_external
<b>Virtual Domain</b>	Eng_vdm
<b>IP/Netmask</b>	172.20.120.143/24

- 7 Select OK.
- 8 Edit the *port6* interface, add it to the Eng\_vdm VDOM and configure other interface settings:

<b>Alias</b>	Engineering_internal
<b>Virtual Domain</b>	Eng_vdm

<b>IP/Netmask</b>	10.120.101.100/24
<b>Administrative Access</b>	HTTPS, PING, SSH

- 9 Select OK.

#### To add a default route to each VDOM

- 1 Go to *System > VDOM* and Enter the root VDOM.
- 2 Go to *Router > Static*.
- 3 Change the default route.

<b>Destination IP/Mask</b>	0.0.0.0/0.0.0.0
<b>Gateway</b>	172.20.120.2
<b>Device</b>	port1
<b>Distance</b>	10

- 4 Select *Global*.
- 5 Go to *System > VDOM* and Enter the Eng\_vdm VDOM.
- 6 Go to *Router > Static*.
- 7 Change the default route.

<b>Destination IP/Mask</b>	0.0.0.0/0.0.0.0
<b>Gateway</b>	172.20.120.2
<b>Device</b>	port5
<b>Distance</b>	10

#### To configure VDOM partitioning

- 1 Go to *System > Config > HA*.  
The cluster members shows two cluster units in Virtual Cluster 1.
- 2 Edit the cluster unit with the *Role* of *MASTER*.
- 3 Change *VDOM partitioning* to move the Eng\_vdm to the *Virtual Cluster 2* list.
- 4 Select OK.
- 5 Change the Virtual Cluster 1 and Virtual Cluster 2 device priorities for each cluster unit to the following:

	Device Priority	
Host Name	Virtual Cluster 1	Virtual Cluster 2
620_ha_1	200	100
620_ha_2	100	200

You can do this by editing the HA configurations of each cluster unit in the cluster members list and changing device priorities.

Since the device priority of Virtual Cluster 1 is highest for 620\_ha\_1 and since the root VDOM is in Virtual Cluster 1, all traffic for the root VDOM is processed by 620\_ha\_1.

Since the device priority of Virtual Cluster 2 is highest for 620\_ha\_2 and since the Eng\_vdm VDOM is in Virtual Cluster 2, all traffic for the Eng\_vdm VDOM is processed by 620\_ha\_2.


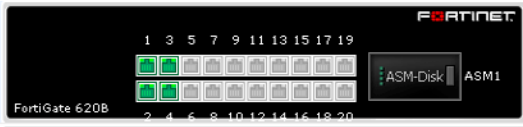


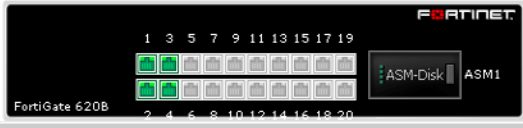







### To view cluster status and verify the VDOM partitioning configuration

- 1 Log into the web-based manager.
- 2 Go to *System > Config > HA*.

The cluster members list should show the following:

- Virtual Cluster 1 contains the root VDOM.
- 620\_ha\_1 is the primary unit (master) for Virtual Cluster 1.
- Virtual Cluster 2 contains the Eng\_vdm VDOM.
- 620\_ha\_2 is the primary unit (master) for Virtual Cluster 2.

**Figure 14: Example virtual clustering cluster members list**

Virtual Cluster 1					<a href="#">View HA Statistics</a>
Virtual Domains: root					
	Cluster Member	Hostname	Role	Priority	
		620_ha_2	MASTER	128	
		620_ha_1	SLAVE	128	
Virtual Cluster 2					
Virtual Domains: Eng_vdm					
	Cluster Member	Hostname	Role	Priority	
		620_ha_2	MASTER	128	
		620_ha_1	SLAVE	128	

**To test the VDOM partitioning configuration**

You can do the following to confirm that traffic for the root VDOM is processed by 620\_ha\_1 and traffic for the Eng\_vdm is processed by 620\_ha\_2.

- 1 Log into the web-based manager by connecting to port2 using IP address 10.11.101.100.

You will log into 610\_ha\_1 because port2 is in the root VDOM and all traffic for this VDOM is processed by 610\_ha\_1. You can confirm that you have logged into 610\_ha\_1 by checking the HTML title displayed by your web browser. The title will include the 610\_ha\_1 host name. Also on the System Information dashboard widget displays the serial number of the 610\_ha\_1 FortiGate unit.

- 2 Log into the web-based manager by connecting to port6 using IP address 10.12.101.100.

You will log into 610\_ha\_2 because port6 is in the Eng\_vdm VDOM and all traffic for this VDOM is processed by 610\_ha\_2.

- 3 Add security policies to the root virtual domain that allows communication from the internal network to the Internet and connect to the Internet from the internal network.
- 4 Log into the web-based manager and go to *Config > System > HA* and select *View HA Statistics*.

The statistics display shows more active sessions, total packets, network utilization, and total bytes for the 620\_ha\_1 unit.

- 5 Add security policies to the Eng\_vdm virtual domain that allow communication from the engineering network to the Internet and connect to the Internet from the engineering network.

- 6 Log into the web-based manager and go to *Config > System > HA* and select *View HA Statistics*.

The statistics display shows more active sessions, total packets, network utilization, and total bytes for the 620\_ha\_2 unit.

**Configuring virtual clustering with two VDOMs and VDOM partitioning - CLI**

These procedures assume you are starting with two FortiGate-620B units with factory default settings.

**To configure the FortiGate-620B units for HA operation**

- 1 Power on the first FortiGate-620B unit and log into the CLI.
- 2 Change the host name for this FortiGate unit:

```
config system global
  set hostname 620_ha_1
end
```

- 3 Configure HA settings.

```
config system ha
  set mode a-p
  set group-name vexample2.com
  set password vHA_pass_2
```

end

The FortiGate unit negotiates to establish an HA cluster. You may temporarily lose connectivity with the FortiGate unit as the HA cluster negotiates and the FGCP changes the MAC address of the FortiGate unit interfaces (see [“Cluster virtual MAC addresses” on page 205](#)). The MAC addresses of the FortiGate-620B interfaces change to the following virtual MAC addresses:

- port1 interface virtual MAC: 00-09-0f-09-00-00
- port10 interface virtual MAC: 00-09-0f-09-00-01
- port11 interface virtual MAC: 00-09-0f-09-00-02
- port12 interface virtual MAC: 00-09-0f-09-00-03
- port13 interface virtual MAC: 00-09-0f-09-00-04
- port14 interface virtual MAC: 00-09-0f-09-00-05
- port15 interface virtual MAC: 00-09-0f-09-00-06
- port16 interface virtual MAC: 00-09-0f-09-00-07
- port17 interface virtual MAC: 00-09-0f-09-00-08
- port18 interface virtual MAC: 00-09-0f-09-00-09
- port19 interface virtual MAC: 00-09-0f-09-00-0a
- port2 interface virtual MAC: 00-09-0f-09-00-0b
- port20 interface virtual MAC: 00-09-0f-09-00-0c
- port3 interface virtual MAC: 00-09-0f-09-00-0d
- port4 interface virtual MAC: 00-09-0f-09-00-0e
- port5 interface virtual MAC: 00-09-0f-09-00-0f
- port6 interface virtual MAC: 00-09-0f-09-00-10
- port7 interface virtual MAC: 00-09-0f-09-00-11
- port8 interface virtual MAC: 00-09-0f-09-00-12
- port9 interface virtual MAC: 00-09-0f-09-00-13

To be able to reconnect sooner, you can update the ARP table of your management PC by deleting the ARP table entry for the FortiGate unit (or just deleting all arp table entries). You may be able to delete the arp table of your management PC from a command prompt using a command similar to `arp -d`.

You can use the `get hardware nic` (or `diagnose hardware deviceinfo nic`) CLI command to view the virtual MAC address of any FortiGate unit interface. For example, use the following command to view the port1 interface virtual MAC address (Current\_HWaddr) and the port1 permanent MAC address (Permanent\_HWaddr):

```
get hardware nic port1
.
.
.
MAC: 00:09:0f:09:00:00
Permanent_HWaddr: 02:09:0f:78:18:c9
.
.
.
```

#### 4 Display the HA configuration (optional).

```
get system ha
```

```

group-id           : 0
group-name         : vexample2.com
mode               : a-p
password           : *
hbdev              : "port3" 50 "port4" 50
session-sync-dev   :
route-ttl          : 10
route-wait         : 0
route-hold         : 10
sync-config        : enable
encryption         : disable
authentication     : disable
hb-interval        : 2
hb-lost-threshold  : 20
helo-holddown      : 20
arps               : 5
arps-interval      : 8
session-pickup     : disable
link-failed-signal : disable
uninterruptable-upgrade: enable
ha-mgmt-status     : disable
ha-eth-type        : 8890
hc-eth-type        : 8891
l2ep-eth-type      : 8893
subsecond          : disable
vcluster2          : disable
vcluster-id        : 1
override           : disable
priority           : 128
monitor            :
pingserver-monitor-interface:
pingserver-failover-threshold: 0
pingserver-flip-timeout: 60
vdom               : "root"

```

**5** Power off the first FortiGate unit.

**6** Repeat these steps for the second FortiGate unit.

Set the other FortiGate unit host name to:

```

config system global
  set hostname 620_ha_2
end

```

### To connect the cluster to the network

- 1** Connect the port1 interfaces of 620\_ha\_1 and 620\_ha\_2 to a switch connected to the Internet.
- 2** Connect the port5 interfaces of 620\_ha\_1 and 620\_ha\_2 to switch connected to the Internet.  
You could use the same switch for port1 and port5.
- 3** Connect the port2 interfaces of 620\_ha\_1 and 620\_ha\_2 to a switch connected to the internal network.
- 4** Connect the port6 interfaces of 620\_ha\_1 and 620\_ha\_2 to a switch connected to the engineering network.

- 5 Connect the port3 interfaces of the cluster units together. You can use a crossover Ethernet cable or regular Ethernet cables and a switch.
- 6 Connect the port4 interfaces of the cluster units together. You can use a crossover Ethernet cable or regular Ethernet cables and a switch.
- 7 Power on the cluster units.  
The units start and negotiate to choose the primary unit and the subordinate unit. This negotiation occurs with no user intervention.  
When negotiation is complete you can continue.

### To configure VDOM settings for the cluster

- 1 Log into the CLI.
- 2 Enter the following command to enable multiple VDOMs for the cluster.

```
config system global
    set vdom-admin enable
end
```
- 3 Log back into the CLI.
- 4 Enter the following command to add the Eng\_vdm VDOM:

```
config vdom
    edit Eng_vdm
end
```
- 5 Edit the port5 interface, add it to the Eng\_vdm VDOM and configure other interface settings:

```
config global
    config system interface
        edit port5
            set vdom Eng_vdm
            set alias Engineering_external
            set ip 172.20.12.143/24
        next
        edit port6
            set vdom Eng_vdm
            set alias Engineering_internal
            set ip 10.120.101.100/24
        end
    end
end
```

### To add a default route to each VDOM

- 1 Enter the following command to add default routes to the root and Eng\_vdm VDOMs.

```
config vdom
    edit root
        config router static
            edit 1
                set dst 0.0.0.0/0.0.0.0
                set gateway 172.20.120.2
                set device port1
            end
        next
    edit Eng_vdm
        config router static
            edit 1
```

```

        set dst 0.0.0.0/0.0.0.0
        set gateway 172.20.120.2
        set device port5
    end
end

```

### To configure VDOM partitioning

- 1 Enter the `get system ha status` command to view cluster unit status:

For example, from the 620\_ha\_2 cluster unit CLI:

```

config global
  get system ha status
  Model: 620
  Mode: a-p
  Group: 0
  Debug: 0
  ses_pickup: disable
  Master:128 620_ha_2          FG600B3908600825 0
  Slave :128 620_ha_1          FG600B3908600705 1
  number of vcluster: 1
  vcluster 1: work 169.254.0.1
  Master:0 FG600B3908600825
  Slave :1 FG600B3908600705

```

This command output shows that VDOM partitioning has not been configured because only virtual cluster 1 is shown. The command output also shows that the 620\_ha\_2 is the primary unit for the cluster and for virtual cluster 1 because this cluster unit has the highest serial number

- 2 Enter the following commands to configure VDOM partitioning:

```

config global
  config system ha
    set vcluster2 enable
    config secondary-vcluster
      set vdom Eng_vdm
    end
  end
end

```

- 3 Enter the `get system ha status` command to view cluster unit status:

For example, from the 620\_ha\_2 cluster unit CLI:

```

config global
  get system ha status
  Model: 620
  Mode: a-p
  Group: 0
  Debug: 0
  ses_pickup: disable
  Master:128 620_ha_2          FG600B3908600825 0
  Slave :128 620_ha_1          FG600B3908600705 1
  number of vcluster: 2
  vcluster 1: work 169.254.0.1
  Master:0 FG600B3908600825
  Slave :1 FG600B3908600705
  vcluster 2: work 169.254.0.1

```



```
Master:0 FG600B3908600825
Slave :1 FG600B3908600705
```

This command output shows VDOM partitioning has been configured because both virtual cluster 1 and virtual cluster 2 are visible. However the configuration is not complete because 620\_ha\_2 is the primary unit for both virtual clusters. The command output shows this because under both vcluster entries the `Master` entry shows FG600B3908600825, which is the serial number of 620\_ha\_2. As a result of this configuration, 620\_ha\_2 processes traffic for both VDOMs and 620\_ha\_1 does not process any traffic.

- 4 Change the Virtual Cluster 1 and Virtual Cluster 2 device priorities for each cluster unit so that 620\_ha\_1 processes virtual cluster 1 traffic and 620\_ha\_2 processes virtual cluster 2 traffic.

Since the root VDOM is in virtual cluster 1 and the Eng\_vdm VDOM is in virtual cluster 2 the result of this configuration will be that 620\_ha\_1 will process all root VDOM traffic and 620\_ha\_2 will process all Eng\_vdm traffic. You make this happen by changing the cluster unit device priorities for each virtual cluster. You could use the following settings:

Host Name	Device Priority	
	Virtual Cluster 1	Virtual Cluster 2
620_ha_1	200	100
620_ha_2	100	200

Since the device priority is not synchronized you can edit the device priorities of each virtual cluster on each FortiGate unit separately. To do this:

- Log into the CLI and note the FortiGate unit you have actually logged into (for example, by checking the host name displayed in the CLI prompt).
- Change the virtual cluster 1 and 2 device priorities for this cluster unit.
- Then use the `execute ha manage` command to log into the other cluster unit CLI and set its virtual cluster 1 and 2 device priorities.

Enter the following commands from the 620\_ha\_1 cluster unit CLI:

```
config global
  config system ha
    set priority 200
    config secondary-vcluster
      set priority 100
    end
  end
end
```

Enter the following commands from the 620\_ha\_2 cluster unit CLI:

```
config global
  config system ha
    set priority 100
    config secondary-vcluster
      set priority 200
    end
  end
end
```

end



The cluster may renegotiate during this step resulting in a temporary loss of connection to the CLI and a temporary service interruption.

Since the device priority of Virtual Cluster 1 is highest for 620\_ha\_1 and since the root VDOM is in Virtual Cluster 1, all traffic for the root VDOM is processed by 620\_ha\_1.

Since the device priority of Virtual Cluster 2 is highest for 620\_ha\_2 and since the Eng\_vdm VDOM is in Virtual Cluster 2, all traffic for the Eng\_vdm VDOM is processed by 620\_ha\_2.

### To verify the VDOM partitioning configuration

- 1 Log into the 620\_ha\_2 cluster unit CLI and enter the following command:

```
config global
get system ha status
Model: 620
Mode: a-p
Group: 0
Debug: 0
ses_pickup: disable
Slave :100 620_ha_2          FG600B3908600825 0
Master:200 620_ha_1          FG600B3908600705 1
number of vcluster: 2
vcluster 1: standby 169.254.0.2
Slave :1 FG600B3908600825
Master:0 FG600B3908600705
vcluster 2: work 169.254.0.1
Master:0 FG600B3908600825
Slave :1 FG600B3908600705
```

The command output shows that 620\_ha\_1 is the primary unit for virtual cluster 1 (because the command output show the Master of virtual cluster 1 is the serial number of 620\_ha\_1) and that 620\_ha\_2 is the primary unit for virtual cluster 2.

If you enter the same command from the 620\_ha\_1 CLI the same information is displayed but in a different order. The command always displays the status of the cluster unit that you are logged into first.

```
config global
get system ha status
Model: 620
Mode: a-p
Group: 0
Debug: 0
ses_pickup: disable
Master:200 620_ha_1          FG600B3908600705 1
Slave :100 620_ha_2          FG600B3908600825 0
number of vcluster: 2
vcluster 1: work 169.254.0.2
Master:0 FG600B3908600705
Slave :1 FG600B3908600825
vcluster 2: standby 169.254.0.1
Slave :1 FG600B3908600705
Master:0 FG600B3908600825
```

### To test the VDOM partitioning configuration

You can do the following to confirm that traffic for the root VDOM is processed by 620\_ha\_1 and traffic for the Eng\_vdm is processed by 620\_ha\_2. These steps assume the cluster is operating correctly.

- 1 Log into the CLI by connecting to port2 using IP address 10.11.101.100.  
You will log into 610\_ha\_1 because port2 is in the root VDOM and all traffic for this VDOM is processed by 610\_ha\_1. You can confirm that you have logged into 610\_ha\_1 by checking the host name in the CLI prompt. Also the `get system status` command displays the status of the 610\_ha\_1 cluster unit.
- 2 Log into the web-based manager or CLI by connecting to port6 using IP address 10.12.101.100.  
You will log into 610\_ha\_2 because port6 is in the Eng\_vdm VDOM and all traffic for this VDOM is processed by 610\_ha\_2.
- 3 Add security policies to the root virtual domain that allow communication from the internal network to the Internet and connect to the Internet from the internal network.
- 4 Log into the web-based manager and go to *Config > System > HA* and select *View HA Statistics*.  
The statistics display shows more active sessions, total packets, network utilization, and total bytes for the 620\_ha\_1 unit.
- 5 Add security policies to the Eng\_vdm virtual domain that allow communication from the engineering network to the Internet and connect to the Internet from the engineering network.
- 6 Log into the web-based manager and go to *Config > System > HA* and select *View HA Statistics*.  
The statistics display shows more active sessions, total packets, network utilization, and total bytes for the 620\_ha\_2 unit.

## Example: inter-VDOM links in a virtual clustering configuration

In a virtual domain configuration you can use inter-VDOM links to route traffic between two virtual domains operating in a single FortiGate unit without using physical interfaces. Adding an inter-VDOM link has the affect of adding two interfaces to the FortiGate unit and routing traffic between the virtual domains using the inter-VDOM link interfaces.

In a virtual clustering configuration inter-VDOM links can only be made between virtual domains that are in the same virtual cluster. So, if you are planning on configuring inter-VDOM links in a virtual clustering configuration, you should make sure the virtual domains that you want to link are in the same virtual cluster.

For example, [Table 2](#) and [Table 3](#) show an example virtual clustering configuration where each virtual cluster contains three virtual domains. In this configuration you can configure inter-VDOM links between root and vdom\_1 and between vdom\_2 and vdom\_3. But, you cannot configure inter-VDOM links between root and vdom\_2 or between vdom\_1 and vdom\_3 (and so on).

Table 2: Virtual Cluster 1 configuration

Virtual Domains	Hostname	
	FortiGate_A	FortiGate_B
root vdom_1	<b>Priority</b> 200	<b>Priority</b> 100
	<b>Role</b> Primary	<b>Role</b> Subordinate

Table 3: Virtual Cluster 2 configuration

Virtual Domains	Hostname	
	FortiGate_A	FortiGate_B
vdom_2 vdom_3	<b>Priority</b> 100	<b>Priority</b> 200
	<b>Role</b> Subordinate	<b>Role</b> Primary

## Configuring inter-VDOM links in a virtual clustering configuration

Configuring inter-VDOM links in a virtual clustering configuration is very similar to configuring inter-VDOM links for a standalone FortiGate unit. The main difference the `config system vdom-link` command includes the `vcluster` keyword. The default setting for `vcluster` is `vcluster1`. So you only have to use the `vcluster` keyword if you are added an inter-VDOM link to virtual cluster 2.

### To add an inter-VDOM link to virtual cluster 1

This procedure describes how to create an inter-VDOM link to virtual cluster 1 that results in a link between the root and vdom\_1 virtual domains.



Inter-VDOM links are also called internal point-to-point interfaces.

- 1 Add an inter-VDOM link called `vc1link`.

```
config global
  config system vdom-link
    edit vc1link
  end
```

Adding the inter-VDOM link also adds two interfaces. In this example, these interfaces are called `vc1link0` and `vc1link1`. These interfaces appear in all CLI and web-based manager interface lists. These interfaces can only be added to virtual domains in virtual cluster 1.

- 2 Bind the `vc1link0` interface to the root virtual domain and bind the `vc1link1` interface to the `vdom_1` virtual domain.

```
config system interface
  edit vc1link0
    set vdom root
  next
  edit vc1link1
```

```
set vdom vdom_1
end
```

### To add an inter-VDOM link to virtual cluster 2

This procedure describes how to create an inter-VDOM link to virtual cluster 2 that results in a link between the vdom\_2 and vdom\_3 virtual domains.

#### 3 Add an inter-VDOM link called vc2link.

```
config global
config system vdom-link
edit vc2link
set vcluster vcluster2
end
```

Adding the inter-VDOM link also adds two interfaces. In this example, these interfaces are called vc2link0 and vc2link1. These interfaces appear in all CLI and web-based manager interface lists. These interfaces can only be added to virtual domains in virtual cluster 2.

#### 4 Bind the vc2link0 interface to the vdom\_2 virtual domain and bind the vc2link1 interface to the vdom\_3 virtual domain.

```
config system interface
edit vc2link0
set vdom vdom_2
next
edit vc2link1
set vdom vdom_3
end
```

## Troubleshooting virtual clustering

Troubleshooting virtual clusters is similar to troubleshooting any cluster (see [“Troubleshooting HA clusters” on page 111](#)). This section describes a few testing and troubleshooting techniques for virtual clustering.

### To test the VDOM partitioning configuration

You can do the following to confirm that traffic for different VDOMs will be distributed among both FortiGate units in the virtual cluster. These steps assume the cluster is otherwise operating correctly.

#### 1 Log into the web-based manager or CLI using the IP addresses of interfaces in each VDOM.

Confirm that you have logged into the FortiGate unit that should be processing traffic for that VDOM by checking the HTML title displayed by your web browser or the CLI prompt. Both of these should include the host name of the cluster unit that you have logged into. Also on the system Dashboard, the System Information widget displays the serial number of the FortiGate unit that you logged into. From the CLI the `get system status` command displays the status of the cluster unit that you logged into.

- 2 To verify that the correct cluster unit is processing traffic for a VDOM:
  - Add security policies to the VDOM that allow communication between the interfaces in the VDOM.
  - Optionally enable traffic logging and other monitoring for that VDOM and these security policies.
  - Start communication sessions that pass traffic through the VDOM.
  - Log into the web-based manager and go to *Config > System > HA* and select *View HA Statistics*. Verify that the statistics display shows more active sessions, total packets, network utilization, and total bytes for the unit that should be processing all traffic for the VDOM.
  - Optionally check traffic logging and the Top Sessions Widget for the FortiGate unit that should be processing traffic for that VDOM to verify that the traffic is being processed by this FortiGate unit.



# Configuring and operating FortiGate full mesh HA

This chapter provides an introduction to full mesh HA and also contains general procedures and configuration examples that describe how to configure FortiGate full mesh HA.

The examples in this chapter include example values only. In most cases you will substitute your own values. The examples in this chapter also do not contain detailed descriptions of configuration parameters.

This chapter contains the following sections:

- [Full mesh HA overview](#)
- [Example: full mesh HA configuration](#)
- [Troubleshooting full mesh HA](#)

## Full mesh HA overview

When two or more FortiGate units are connected to a network in an HA cluster the reliability of the network is improved because the HA cluster replaces a single FortiGate unit as a single point of failure. With a cluster, a single FortiGate unit is replaced by a cluster of two or more FortiGate units.

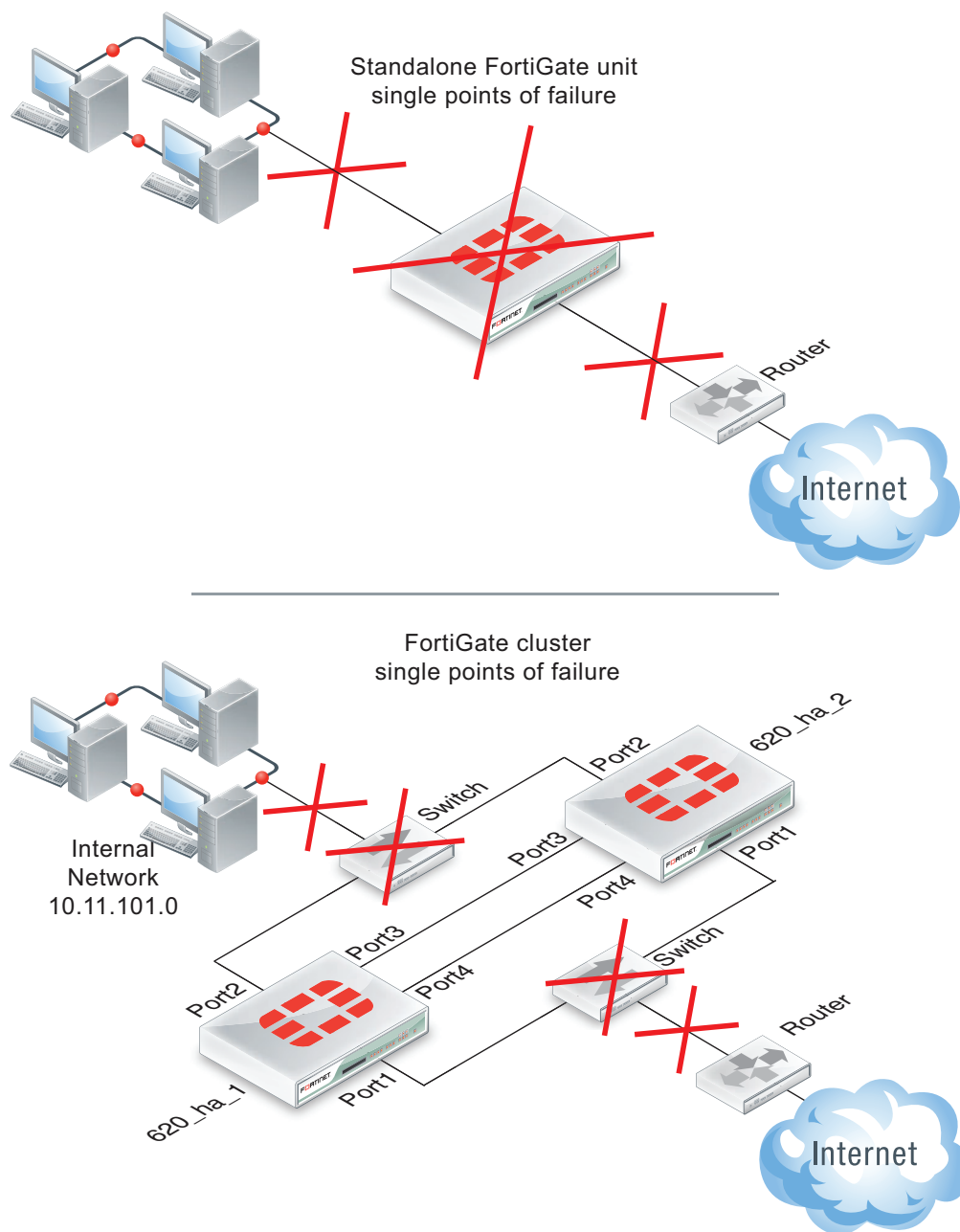
However, even with a cluster, potential single points of failure remain. The interfaces of each cluster unit connect to a single switch and that switch provides a single connection to the network. If the switch fails or if the connection between the switch and the network fails service is interrupted to that network.

The HA cluster does improve the reliability of the network because switches are not as complex components as FortiGate units, so are less likely to fail. However, for even greater reliability, a configuration is required that includes redundant connections between the cluster the networks that it is connected to.

FortiGate models that support 802.3ad Aggregate or Redundant interfaces can be used to create a cluster configuration called full mesh HA. Full mesh HA is a method of reducing the number of single points of failure on a network that includes an HA cluster.

This redundant configuration can be achieved using FortiGate 802.3ad Aggregate or Redundant interfaces and a full mesh HA configuration. In a full mesh HA configuration, you connect an HA cluster consisting of two or more FortiGate units to the network using 802.3ad Aggregate or Redundant interfaces and redundant switches. Each 802.3ad Aggregate or Redundant interface is connected to two switches and both of these switches are connected to the network.

The resulting full mesh configuration, an example is shown in [Figure 15](#), includes redundant connections between all network components. If any single component or any single connection fails, traffic automatically switches to the redundant component and connection and traffic flow resumes.

**Figure 15: Single points of failure in a standalone and HA network configuration**

### Full mesh HA and redundant heartbeat interfaces

A full mesh HA configuration also includes redundant HA heartbeat interfaces. At least two heartbeat interfaces should be selected in the HA configuration and both sets of HA heartbeat interfaces should be connected. The HA heartbeat interfaces do not have to be configured as redundant interfaces because the FGCP handles failover between heartbeat interfaces.



## Full mesh HA, redundant interfaces and 802.3ad aggregate interfaces

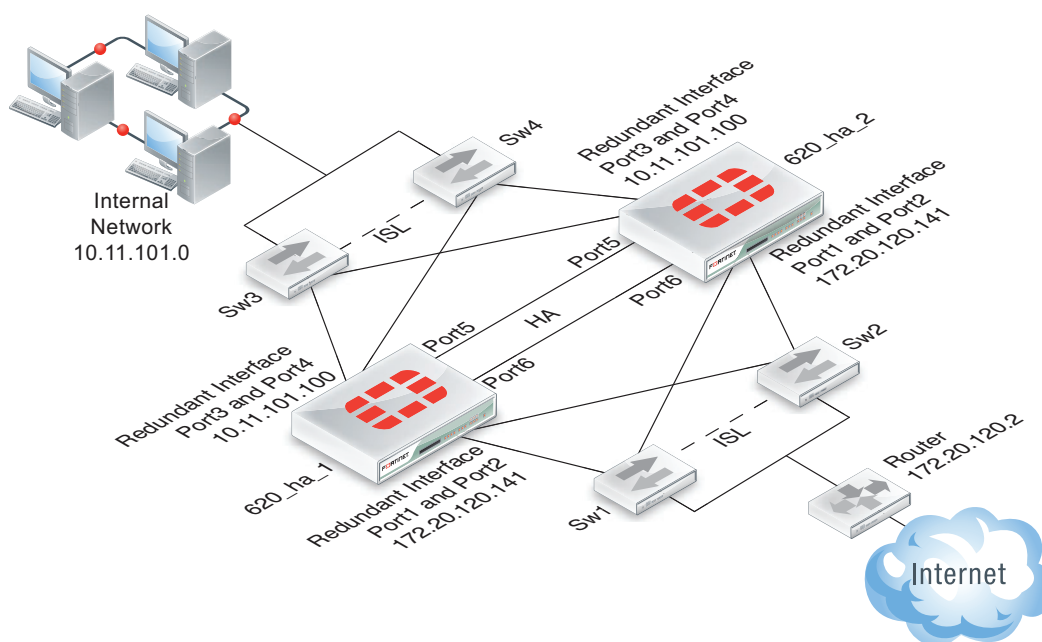
Full mesh HA is supported for both redundant interfaces and 802.3ad aggregate interfaces. In most cases you would simply use redundant interfaces. However, if your switches support 802.3ad aggregate interfaces and split multi-trunking you can use aggregate interfaces in place of redundant interfaces for full mesh HA. One advantage of using aggregate interfaces is that all of the physical interfaces in the aggregate interface can send and receive packets. As a result, using aggregate interfaces may increase the bandwidth capacity of the cluster.

Usually redundant and aggregate interfaces consist of two physical interfaces. However, you can add more than two physical interfaces to a redundant or aggregate interface. Adding more interfaces can increase redundancy protection. Adding more interfaces can also increase bandwidth capacity if you are using 802.3ad aggregate interfaces.

## Example: full mesh HA configuration

Figure 15 shows a full mesh HA configuration with a cluster of two FortiGate-620b units. This section describes the FortiGate configuration settings and network components required for a full mesh HA configuration. This section also contains example steps for setting up this full mesh HA configuration. The procedures in this section describe one of many possible sequences of steps for configuring full mesh HA. As you become more experienced with FortiOS, HA, and full mesh HA you may choose to use a different sequence of configuration steps.

**Figure 16: Full Mesh HA configuration**



For simplicity these procedures assume that you are starting with two new FortiGate units set to the factory default configuration. However, starting from the default configuration is not a requirement for a successful HA deployment. FortiGate HA is flexible enough to support a successful configuration from many different starting points.

These procedures describe how to configure a cluster operating in NAT/Route mode because NAT/Route is the default FortiGate operating mode. However, the steps are the same if the cluster operates in Transparent mode. You can either switch the cluster units to operate in Transparent mode before beginning these procedures, or you can switch the cluster to operate in Transparent mode after HA is configured and the cluster is connected and operating.

## FortiGate-620B full mesh HA configuration

The two FortiGate-620B units (620\_ha\_1 and 620\_ha\_2) can be operating in NAT/Route or Transparent mode. Aside from the standard HA settings, the FortiGate-620B configuration includes the following:

- The port5 and port6 interfaces configured as heartbeat interfaces. A full mesh HA configuration also includes redundant HA heartbeat interfaces.
- The port1 and port2 interfaces added to a redundant interface. Port1 is the active physical interface in this redundant interface. To make the port1 interface the active physical interface it should appear above the port2 interface in the redundant interface configuration.
- The port3 and port4 interfaces added to a redundant interface. Port3 is the active physical interface in this redundant interface. To make the port3 interface the active physical interface it should appear above the port4 interface in the redundant interface configuration.

## Full mesh switch configuration

The following redundant switch configuration is required:

- Two redundant switches (Sw3 and Sw4) connected to the internal network. Establish an interswitch-link (ISL) between them.
- Two redundant switches (Sw1 and Sw2) connected to the Internet. Establish an interswitch-link (ISL) between them.

## Full mesh network connections

Make the following physical network connections for 620\_ha\_1:

- Port1 to Sw1 (active)
- Port2 to Sw2 (inactive)
- Port3 to Sw3 (active)
- Port4 to Sw4 (inactive)

Make the following physical network connections for 620\_ha\_2:

- Port1 to Sw2 (active)
- Port2 to Sw1 (inactive)
- Port3 to Sw4 (active)
- Port4 to Sw3 (inactive)

## How packets travel from the internal network through the full mesh cluster and to the Internet

If the cluster is operating in active-passive mode and 620\_ha\_2 is the primary unit, all packets take the following path from the internal network to the internet:

- 1 From the internal network to Sw4. Sw4 is the active connection to 620\_ha\_2; which is the primary unit. The primary unit receives all packets.

- 2 From Sw4 to the 620\_ha\_2 port3 interface. Active connection between Sw4 and 620\_ha\_2. Port3 is the active member of the redundant interface.
- 3 From 620\_ha\_2 port3 to 620\_ha\_2 port1. Active connection between 620\_ha\_2 and Sw2. Port1 is the active member of the redundant interface.
- 4 From Sw2 to the external router and the Internet.

## Configuring FortiGate-620B units for HA operation - web-based manager

Each FortiGate-620B unit in the cluster must have the same HA configuration.

### To configure the FortiGate-620B units for HA operation

- 1 Connect to the web-based manager of one of the FortiGate-620B units.
- 2 On the *System Information* dashboard widget, beside *Host Name* select *Change*.
- 3 Enter a new Host Name for this FortiGate unit.

<b>New Name</b>	620_ha_1
-----------------	----------

- 4 Go to *System > Config > HA* and change the following settings.

<b>Mode</b>	Active-Active	
<b>Group Name</b>	Rexample1.com	
<b>Password</b>	RHA_pass_1	
<b>Heartbeat Interface</b>		
	<b>Enable</b>	<b>Priority</b>
<b>port5</b>	Select	50
<b>port6</b>	Select	50

**5** Select OK.

The FortiGate unit negotiates to establish an HA cluster. When you select OK you may temporarily lose connectivity with the FortiGate unit as the HA cluster negotiates and the FGCP changes the MAC address of the FortiGate unit interfaces (see “[Cluster virtual MAC addresses](#)” on page 205). The MAC addresses of the FortiGate-620B interfaces change to the following virtual MAC addresses:

- port1 interface virtual MAC: 00-09-0f-09-00-00
- port10 interface virtual MAC: 00-09-0f-09-00-01
- port11 interface virtual MAC: 00-09-0f-09-00-02
- port12 interface virtual MAC: 00-09-0f-09-00-03
- port13 interface virtual MAC: 00-09-0f-09-00-04
- port14 interface virtual MAC: 00-09-0f-09-00-05
- port15 interface virtual MAC: 00-09-0f-09-00-06
- port16 interface virtual MAC: 00-09-0f-09-00-07
- port17 interface virtual MAC: 00-09-0f-09-00-08
- port18 interface virtual MAC: 00-09-0f-09-00-09
- port19 interface virtual MAC: 00-09-0f-09-00-0a
- port2 interface virtual MAC: 00-09-0f-09-00-0b
- port20 interface virtual MAC: 00-09-0f-09-00-0c
- port3 interface virtual MAC: 00-09-0f-09-00-0d
- port4 interface virtual MAC: 00-09-0f-09-00-0e
- port5 interface virtual MAC: 00-09-0f-09-00-0f
- port6 interface virtual MAC: 00-09-0f-09-00-10
- port7 interface virtual MAC: 00-09-0f-09-00-11
- port8 interface virtual MAC: 00-09-0f-09-00-12
- port9 interface virtual MAC: 00-09-0f-09-00-13

To be able to reconnect sooner, you can update the ARP table of your management PC by deleting the ARP table entry for the FortiGate unit (or just deleting all arp table entries). You may be able to delete the arp table of your management PC from a command prompt using a command similar to `arp -d`.

You can use the `get hardware nic` (or `diagnose hardware deviceinfo nic`) CLI command to view the virtual MAC address of any FortiGate unit interface. For example, use the following command to view the port1 interface virtual MAC address (Current\_HWaddr) and the port1 permanent MAC address (Permanent\_HWaddr):

```
get hardware nic port1
.
.
.
MAC: 00:09:0f:09:00:00
Permanent_HWaddr: 02:09:0f:78:18:c9
.
.
.
```

**6** Power off the first FortiGate unit.

- 7 Repeat these steps for the second FortiGate unit.

Set the second FortiGate unit host name to:

<b>New Name</b>	620_ha_2
-----------------	----------

### To connect the cluster to your network

- 1 Make the following physical network connections for 620\_ha\_1:

- Port1 to Sw1 (active)
- Port2 to Sw2 (inactive)
- Port3 to Sw3 (active)
- Port4 to Sw4 (inactive)

- 2 Make the following physical network connections for 620\_ha\_2:

- Port1 to Sw2 (active)
- Port2 to Sw1 (inactive)
- Port3 to Sw4 (active)
- Port4 to Sw3 (inactive)

- 3 Connect Sw3 and Sw4 to the internal network.

- 4 Connect Sw1 and Sw2 to the external router.

- 5 Enable ISL communication between Sw1 and Sw2 and between Sw3 and Sw4.

- 6 Power on the cluster units.

The units start and negotiate to choose the primary unit and the subordinate unit. This negotiation occurs with no user intervention.

When negotiation is complete the cluster is ready to be configured for your network.

### To view cluster status

Use the following steps to view the cluster dashboard and cluster members list to confirm that the cluster units are operating as a cluster.

- 1 View the system dashboard.

The System Information dashboard widget shows the *Cluster Name* (Rexample1.com) and the host names and serial numbers of the *Cluster Members*. The Unit Operation widget shows multiple cluster units.

- 2 Go to *System > Config > HA* to view the cluster members list.

The list shows two cluster units, their host names, their roles in the cluster, and their priorities. You can use this list to confirm that the cluster is operating normally.

### To troubleshoot the cluster configuration

If the cluster members list and the dashboard does not display information for both cluster units the FortiGate units are not functioning as a cluster. See [“Troubleshooting HA clusters” on page 111](#) to troubleshoot the cluster.

### To add basic configuration settings and the redundant interfaces

Use the following steps to add a few basic configuration settings.

- 1 Log into the cluster web-based manager.
- 2 Go to *System > Admin > Administrators*.
- 3 For *admin*, select the *Change Password* icon

- 4 Enter and confirm a new password.
- 5 Select OK.
- 6 Go to *Router > Static* and temporarily delete the default route.  
You cannot add an interface to a redundant interface if any settings (such as the default route) are configured for it.
- 7 Go to *System > Network > Interface* and select *Create New* and configure the redundant interface to connect to the Internet.

<b>Name</b>	Port1_Port2
<b>Type</b>	Redundant
<b>Physical Interface Members</b>	
<b>Selected Interfaces</b>	port1, port2
<b>IP/Netmask</b>	172.20.120.141/24

- 8 Select OK.
- 9 Select *Create New* and configure the redundant interface to connect to the internal network.

<b>Name</b>	Port3_Port4
<b>Type</b>	Redundant
<b>Physical Interface Members</b>	
<b>Selected Interfaces</b>	port3, port4
<b>IP/Netmask</b>	10.11.101.100/24
<b>Administrative Access</b>	HTTPS, PING, SSH

**10** Select OK.

The virtual MAC addresses of the FortiGate-620B interfaces change to the following. Notice that port1 and port2 both have the port1 virtual MAC address and port3 and port4 both have the port3 virtual MAC address:

- port1 interface virtual MAC: 00-09-0f-09-00-00
- port10 interface virtual MAC: 00-09-0f-09-00-01
- port11 interface virtual MAC: 00-09-0f-09-00-02
- port12 interface virtual MAC: 00-09-0f-09-00-03
- port13 interface virtual MAC: 00-09-0f-09-00-04
- port14 interface virtual MAC: 00-09-0f-09-00-05
- port15 interface virtual MAC: 00-09-0f-09-00-06
- port16 interface virtual MAC: 00-09-0f-09-00-07
- port17 interface virtual MAC: 00-09-0f-09-00-08
- port18 interface virtual MAC: 00-09-0f-09-00-09
- port19 interface virtual MAC: 00-09-0f-09-00-0a
- port2 interface virtual MAC: 00-09-0f-09-00-00 (same as port1)
- port20 interface virtual MAC: 00-09-0f-09-00-0c
- port3 interface virtual MAC: 00-09-0f-09-00-0d
- port4 interface virtual MAC: 00-09-0f-09-00-0d (same as port3)
- port5 interface virtual MAC: 00-09-0f-09-00-0f
- port6 interface virtual MAC: 00-09-0f-09-00-10
- port7 interface virtual MAC: 00-09-0f-09-00-11
- port8 interface virtual MAC: 00-09-0f-09-00-12
- port9 interface virtual MAC: 00-09-0f-09-00-13

**11** Go to *Router > Static*.**12** Add the default route.

<b>Destination IP/Mask</b>	0.0.0.0/0.0.0.0
<b>Gateway</b>	172.20.120.2
<b>Device</b>	Port1_Port2
<b>Distance</b>	10

**13** Select OK.**To configure HA port monitoring for the redundant interfaces**

- 1** Go to *System > Config > HA*.
- 2** In the cluster members list, edit the primary unit.
- 3** Configure the following port monitoring for the redundant interfaces:

	Port Monitor
<b>Port1_Port2</b>	Select
<b>Port3_Port4</b>	Select

**4** Select OK.

## Configuring FortiGate-620B units for HA operation - CLI

Each FortiGate-620B unit in the cluster must have the same HA configuration. Use the following procedure to configure the FortiGate-620B units for HA operation.

### To configure the FortiGate-620B units for HA operation

- 1 Connect to the CLI of one of the FortiGate-620B units.
- 2 Enter a new Host Name for this FortiGate unit.

```
config system global
  set hostname 620_ha_1
end
```

- 3 Configure HA settings.

```
config system ha
  set mode a-a
  set group-name Rexample1.com
  set password RHA_pass_1
  set hbdev port5 50 port6 50
```



end

The FortiGate unit negotiates to establish an HA cluster. When you select OK you may temporarily lose connectivity with the FortiGate unit as the HA cluster negotiates and the FGCP changes the MAC address of the FortiGate unit interfaces (see [“Cluster virtual MAC addresses” on page 205](#)). The MAC addresses of the FortiGate-620B interfaces change to the following virtual MAC addresses:

- port1 interface virtual MAC: 00-09-0f-09-00-00
- port10 interface virtual MAC: 00-09-0f-09-00-01
- port11 interface virtual MAC: 00-09-0f-09-00-02
- port12 interface virtual MAC: 00-09-0f-09-00-03
- port13 interface virtual MAC: 00-09-0f-09-00-04
- port14 interface virtual MAC: 00-09-0f-09-00-05
- port15 interface virtual MAC: 00-09-0f-09-00-06
- port16 interface virtual MAC: 00-09-0f-09-00-07
- port17 interface virtual MAC: 00-09-0f-09-00-08
- port18 interface virtual MAC: 00-09-0f-09-00-09
- port19 interface virtual MAC: 00-09-0f-09-00-0a
- port2 interface virtual MAC: 00-09-0f-09-00-0b
- port20 interface virtual MAC: 00-09-0f-09-00-0c
- port3 interface virtual MAC: 00-09-0f-09-00-0d
- port4 interface virtual MAC: 00-09-0f-09-00-0e
- port5 interface virtual MAC: 00-09-0f-09-00-0f
- port6 interface virtual MAC: 00-09-0f-09-00-10
- port7 interface virtual MAC: 00-09-0f-09-00-11
- port8 interface virtual MAC: 00-09-0f-09-00-12
- port9 interface virtual MAC: 00-09-0f-09-00-13

To be able to reconnect sooner, you can update the ARP table of your management PC by deleting the ARP table entry for the FortiGate unit (or just deleting all arp table entries). You may be able to delete the arp table of your management PC from a command prompt using a command similar to `arp -d`.

You can use the `get hardware nic` (or `diagnose hardware deviceinfo nic`) CLI command to view the virtual MAC address of any FortiGate unit interface. For example, use the following command to view the port1 interface virtual MAC address (Current\_HWaddr) and the port1 permanent MAC address (Permanent\_HWaddr):

```
get hardware nic port1
.
.
.
MAC: 00:09:0f:09:00:00
Permanent_HWaddr: 02:09:0f:78:18:c9
.
.
.
```

#### 4 Power off the first FortiGate unit.

- 5 Repeat these steps for the second FortiGate unit.

Set the other FortiGate unit host name to:

```
config system global
  set hostname 620_ha_2
end
```

### To connect the cluster to your network

- 1 Make the following physical network connections for 620\_ha\_1:

- Port1 to Sw1 (active)
- Port2 to Sw2 (inactive)
- Port3 to Sw3 (active)
- Port4 to Sw4 (inactive)

- 2 Make the following physical network connections for 620\_ha\_2:

- Port1 to Sw2 (active)
- Port2 to Sw1 (inactive)
- Port3 to Sw4 (active)
- Port4 to Sw3 (inactive)

- 3 Connect Sw3 and Sw4 to the internal network.

- 4 Connect Sw1 and Sw2 to the external router.

- 5 Enable ISL communication between Sw1 and Sw2 and between Sw3 and Sw4.

- 6 Power on the cluster units.

The units start and negotiate to choose the primary unit and the subordinate unit. This negotiation occurs with no user intervention.

When negotiation is complete the cluster is ready to be configured for your network.

### To view cluster status

Use the following steps to view cluster status from the CLI.

- 1 Log into the CLI.

- 2 Enter `get system status` to verify the HA status of the cluster unit that you logged into.

If the command output includes `Current HA mode: a-a, master`, the cluster units are operating as a cluster and you have connected to the primary unit.

If the command output includes `Current HA mode: a-a, backup`, you have connected to a subordinate unit.

If the command output includes `Current HA mode: standalone` the cluster unit is not operating in HA mode.

- 3 Enter the following command to confirm the HA configuration of the cluster:

```
get system ha status
Model: 620
Mode: a-a
Group: 0
Debug: 0
ses_pickup: disable
Master:128 620_ha_2          FG600B3908600825 0
Slave :128 620_ha_1          FG600B3908600705 1
number of vcluster: 1
```

```
vcluster 1: work 169.254.0.1
Master:0 FG600B3908600825
Slave :1 FG600B3908600705
```

The command output shows both cluster units, their host names, their roles in the cluster, and their priorities. You can use this command to confirm that the cluster is operating normally. For example, if the command shows only one cluster unit then the other unit has left the cluster for some reason.

- 4 Use the `execute ha manage` command to connect to the other cluster unit's CLI and use these commands to verify cluster status.

### To troubleshoot the cluster configuration

If the cluster members list and the dashboard does not display information for both cluster units the FortiGate units are not functioning as a cluster. See [“Troubleshooting HA clusters” on page 111](#) to troubleshoot the cluster.

### To add basic configuration settings and the redundant interfaces

Use the following steps to add a few basic configuration settings. Some steps use the CLI and some the web-based manager.

- 1 Log into the cluster CLI.
- 2 Add a password for the admin administrative account.

```
config system admin
  edit admin
    set password <password_str>
  end
```

- 3 Temporarily delete the default route.

You cannot add an interface to a redundant interface if any settings (such as the default route) are configured for it.

```
config router static
  delete 1
end
```

- 4 Go to *System > Network > Interface* and select *Create New* to add the redundant interface to connect to the Internet.
- 5 Add the redundant interface to connect to the Internet.

```
config sysetem interface
  edit Port1_Port2
    set type redundant
    set member port1 port2
  end
```

- 6 Add the redundant interface to connect to the internal network.

```
config sysetem interface
  edit Port3_Port4
    set type redundant
    set member port3 port4
```

```
end
```

The virtual MAC addresses of the FortiGate-620B interfaces change to the following. Note that port1 and port2 both have the port1 virtual MAC address and port3 and port4 both have the port3 virtual MAC address:

- port1 interface virtual MAC: 00-09-0f-09-00-00
- port10 interface virtual MAC: 00-09-0f-09-00-01
- port11 interface virtual MAC: 00-09-0f-09-00-02
- port12 interface virtual MAC: 00-09-0f-09-00-03
- port13 interface virtual MAC: 00-09-0f-09-00-04
- port14 interface virtual MAC: 00-09-0f-09-00-05
- port15 interface virtual MAC: 00-09-0f-09-00-06
- port16 interface virtual MAC: 00-09-0f-09-00-07
- port17 interface virtual MAC: 00-09-0f-09-00-08
- port18 interface virtual MAC: 00-09-0f-09-00-09
- port19 interface virtual MAC: 00-09-0f-09-00-0a
- port2 interface virtual MAC: 00-09-0f-09-00-00 (same as port1)
- port20 interface virtual MAC: 00-09-0f-09-00-0c
- port3 interface virtual MAC: 00-09-0f-09-00-0d
- port4 interface virtual MAC: 00-09-0f-09-00-0d (same as port3)
- port5 interface virtual MAC: 00-09-0f-09-00-0f
- port6 interface virtual MAC: 00-09-0f-09-00-10
- port7 interface virtual MAC: 00-09-0f-09-00-11
- port8 interface virtual MAC: 00-09-0f-09-00-12
- port9 interface virtual MAC: 00-09-0f-09-00-13

7 Go to *Router* > *Static*.

8 Add the default route.

```
config router static
  edit 1
    set dst 0.0.0.0 0.0.0.0
    set gateway 172.20.120.2
    set device Port1_Port2
  end
```

### To configure HA port monitoring for the redundant interfaces

1 Enter the following command to configure port monitoring for the redundant interfaces:

```
config system ha
  set monitor Port1_Port2 Port3_Port4
end
```

## Troubleshooting full mesh HA

Troubleshooting full mesh HA clusters is similar to troubleshooting any cluster (see [“Troubleshooting HA clusters” on page 111](#) or [“Troubleshooting virtual clustering” on page 137](#)). The configuration and operation of a full mesh HA cluster is very similar to the configuration and operation of a standard cluster. The only differences relate to the configuration, connection, and operation of the redundant interfaces and redundant switches.

- Make sure the redundant interfaces and switches are connected correctly. With so many connections it is possible to make mistakes or for cables to become disconnected.
- Confirm that the configuration of the cluster unit 802.3ad Aggregate or Redundant interfaces is correct according to the configuration procedures in this chapter.
- In some configurations with some switch hardware, MAC-learning delays on the inter-switch links on the surrounding topologies may occur. The delays occur if the gratuitous ARP packets sent by the cluster after a failover are delayed by the switches before being sent across the inter-switch link. If this happens the surrounding topologies may be delayed in recognizing the failover and will keep sending packets to the MAC address of the failed primary unit resulting in lost traffic. Resolving this problem may require changing the configuration of the switch or replacing them with switch hardware that does not delay the gratuitous ARP packets.





# Operating a cluster

With some exceptions, you can operate a cluster in much the same way as you operate a standalone FortiGate unit. This chapter describes those exceptions and also the similarities involved in operating a cluster instead of a standalone FortiGate unit.

This chapter contains the following sections:

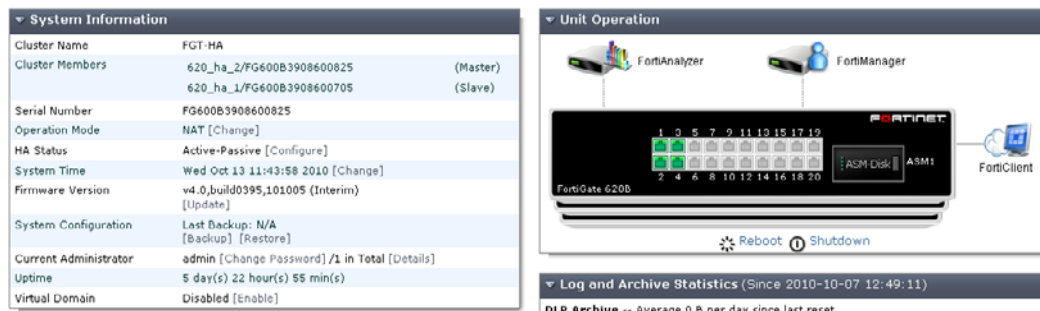
- [Operating a cluster](#)
- [Operating a virtual cluster](#)
- [Managing individual cluster units using a reserved management interface](#)
- [The primary unit acts as a router for subordinate unit management traffic](#)
- [Clusters and FortiGuard services](#)
- [Clusters and logging](#)
- [Clusters and SNMP](#)
- [Clusters and file quarantine](#)
- [Cluster members list](#)
- [Virtual cluster members list](#)
- [Viewing HA statistics](#)
- [Changing the HA configuration of an operating cluster](#)
- [Changing the HA configuration of an operating virtual cluster](#)
- [Changing the subordinate unit host name and device priority](#)
- [Upgrading cluster firmware](#)
- [Downgrading cluster firmware](#)
- [Backing up and restoring the cluster configuration](#)
- [Monitoring cluster units for failover](#)
- [Viewing cluster status from the CLI](#)
- [Disconnecting a cluster unit from a cluster](#)
- [Adding a disconnected FortiGate unit back to its cluster](#)

## Operating a cluster

The configurations of all of the FortiGate units in a cluster are synchronized so that the cluster units can simulate a single FortiGate unit. Because of this synchronization, you manage the HA cluster instead of managing the individual cluster units. You manage the cluster by connecting to the web-based manager using any cluster interface configured for HTTPS or HTTP administrative access. You can also manage the cluster by connecting to the CLI using any cluster interface configured for SSH or telnet administrative access.

The cluster web-based manager dashboard displays the cluster name, the host name and serial number of each cluster member, and also shows the role of each unit in the cluster. The roles can be master (primary unit) and slave (subordinate units). The dashboard also displays a cluster unit front panel illustration.

**Figure 17: Example cluster web-based manager dashboard**



You can also go to *System > Config > HA* to view the cluster members list. This includes status information for each cluster unit. You can also use the cluster members list for a number of cluster management functions including changing the HA configuration of an operating cluster, changing the host name and device priority of a subordinate unit, and disconnecting a cluster unit from a cluster. See [“Cluster members list” on page 175](#).

You can use log messages to view information about the status of the cluster. See [“Viewing and managing log messages for individual cluster units” on page 164](#). You can use SNMP to manage the cluster by configuring a cluster interface for SNMP administrative access. Using an SNMP manager you can get cluster configuration information and receive traps.

You can configure a reserved management interface to manage individual cluster units. You can use this interface to access the web-based manager or CLI and to configure SNMP management for individual cluster units. See [“Managing individual cluster units using a reserved management interface” on page 157](#).

You can manage individual cluster units by using SSH, telnet, or the CLI console on the web-based manager dashboard to connect to the CLI of the cluster. From the CLI you can use the `execute ha manage` command to connect to the CLI of any unit in the cluster.

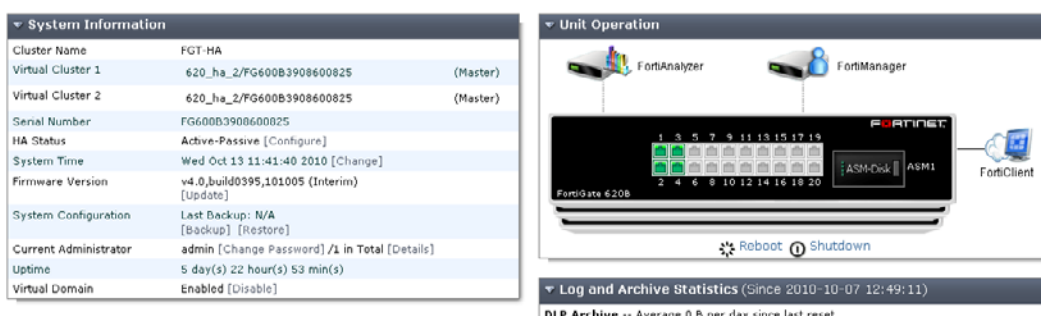
You can also manage individual cluster units by using a null-modem cable to connect to any cluster unit CLI. From there you can use the `execute ha manage` command to connect to the CLI of each unit in the cluster.

## Operating a virtual cluster

Managing a virtual cluster is very similar to managing a cluster that does not contain multiple virtual domains. Most of the information in this chapter applies to managing both kinds of clusters. This section describes what is different when managing a virtual cluster.

If virtual domains are enabled, the cluster web-based manager dashboard displays the cluster name and the role of each cluster unit in virtual cluster 1 and virtual cluster 2.



**Figure 18: Example virtual clustering web-based manager dashboard**

The configuration and maintenance options that you have when you connect to a virtual cluster web-based manager or CLI depend on the virtual domain that you connect to and the administrator account that you use to connect.

If you connect to a cluster as the administrator of a virtual domain, you connect directly to the virtual domain. Since HA virtual clustering is a global configuration, virtual domain administrators cannot see HA configuration options. However, virtual domain administrators see the host name of the cluster unit that they are connecting to on the web browser title bar or CLI prompt. This host name is the host name of the primary unit for the virtual domain. Also, when viewing log messages by going to *Log & Report > Log Access* virtual domain administrator can select to view log messages for either of the cluster units.

If you connect to a virtual cluster as the admin administrator you connect to the global web-based manager or CLI. Even so, you are connecting to an interface and to the virtual domain that the interface has been added to. The virtual domain that you connect to does not make a difference for most configuration and maintenance operations. However, there are a few exceptions. You connect to the FortiGate unit that functions as the primary unit for the virtual domain. So the host name displayed on the web browser title bar and on the CLI is the host name of this primary unit.

## Managing individual cluster units using a reserved management interface

You can provide direct management access to all cluster units by reserving a management interface as part of the HA configuration. Once this management interface is reserved, you can configure a different IP address, administrative access and other interface settings for this interface for each cluster unit. Then by connecting this interface of each cluster unit to your network you can manage each cluster unit separately from a different IP address. Configuration changes to the reserved management interface are not synchronized to other cluster units.

The reserved management interface provides direct management access to each cluster unit and gives each cluster unit a different identity on your network. This simplifies using external services, such as SNMP, to separately monitor and manage each cluster unit.



The reserved management interface is not assigned an HA virtual MAC address like other cluster interfaces. Instead the reserved management interface retains the permanent hardware address of the physical interface unless you change it using the `config system interface` command.

The reserved management interface and IP address should not be used for managing a cluster using FortiManager. To correctly manage a FortiGate HA cluster with FortiManager use the IP address of one of the cluster unit interfaces. If you use a reserved management interface, FortiManager will assume it is connected to a single FortiGate unit instead of a cluster.

If you enable SNMP administrative access for the reserved management interface you can use SNMP to monitor each cluster unit using the reserved management interface IP address. To monitor each cluster unit using SNMP, just add the IP address of each cluster unit's reserved management interface to the SNMP server configuration. You must also enable direct management of cluster members in the cluster SNMP configuration.

If you enable HTTPS or HTTP administrative access for the reserved management interfaces you can connect to the web-based manager of each cluster unit. Any configuration changes made to any of the cluster units is automatically synchronized to all cluster units. From the subordinate units the web-based manager has the same features as the primary unit except that unit-specific information is displayed for the subordinate unit, for example:

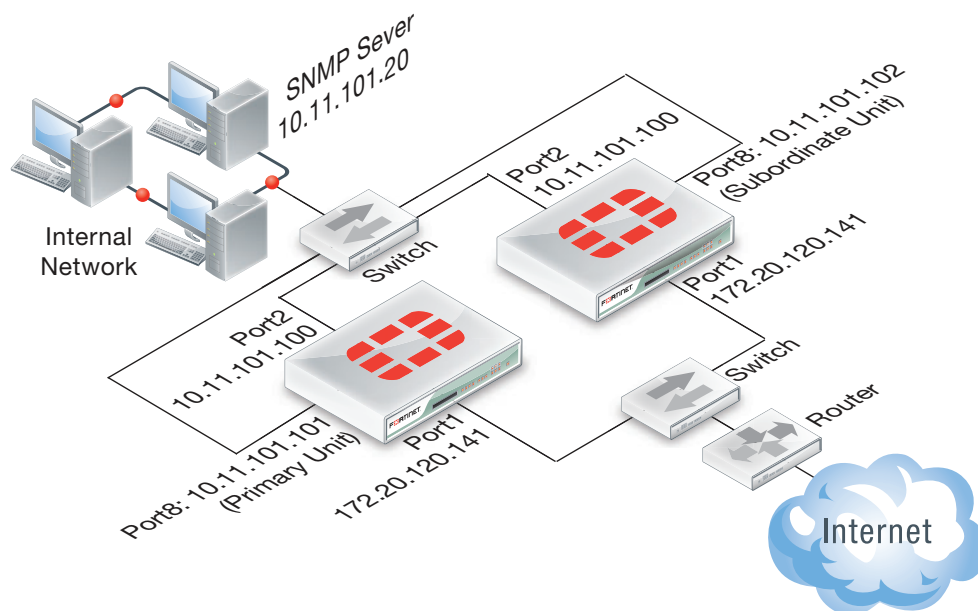
- The *Dashboard System Information* widget displays the subordinate unit serial number but also displays the same information about the cluster as the primary unit
- On the Cluster members list (go to *System > Config > HA*) you can change the HA configuration of the subordinate unit that you are logged into. For the primary unit and other subordinate units you can change only the host name and device priority.
- Log Access displays the logs of the subordinate that you are logged into first. You use the HA Cluster list to view the log messages of other cluster units including the primary unit.

If you enable SSH or TELNET administrative access for the reserved management interfaces you can connect to the CLI of each cluster unit. The CLI prompt contains the host name of the cluster unit that you have connected to. Any configuration changes made to any of the cluster units is automatically synchronized to all cluster units. You can also use the `execute ha manage` command to connect to other cluster unit CLIs.

The reserved management interface is available in NAT/Route and in Transparent mode. It is also available if the cluster is operating with multiple VDOMs. In Transparent mode you cannot normally add an IP address to an interface. However, you can add an IP address to the reserved management interface.

## Configuring the reserved management interface and SNMP remote management of individual cluster units

This example describes how to configure SNMP remote management of individual cluster units using the HA reserved management interface. The configuration consists of two FortiGate-620B units already operating as a cluster. In the example, the port8 interface of each cluster unit is connected to the internal network using the switch and configured as the reserved management interface.

**Figure 19: SNMP remote management of individual cluster units****To configure the reserved management interface - web-based manager**

- 1 Go to *System > Config > HA*.
- 2 Edit the primary unit.
- 3 Select *Reserve Management Port for Cluster Member* and select port8.
- 4 Select OK.

**To configure the reserved management interface - CLI**

From the CLI you can also configure a default route that is only used by the reserved management interface.

- 1 Log into the CLI of any cluster unit.
- 2 Enter the following command to enable the reserved management interface, set port8 as the reserved interface, and add a default route of 10.11.101.100 for the reserved management interface.

```
config system ha
    set ha-mgmt-status enable
    set ha-mgmt-interface port8
    set ha-mgmt-interface-gateway 10.11.101.100
end
```

The reserved management interface default route is not synchronized to other cluster units.

**To change the primary unit reserved management interface configuration - web-based manager**

You can change the IP address of the primary unit reserved management interface from the primary unit web-based manager. Configuration changes to the reserved management interface are not synchronized to other cluster units.

- 1 From a PC on the internal network, browse to `http://10.11.101.100` and log into the cluster web-based manager.

This logs you into the primary unit web-based manager.

You can identify the primary unit from its serial number or host name that appears on the System Information dashboard widget.

- 2 Go to *System > Network > Interface* and edit the port8 interface as follows:

<b>Alias</b>	primary_reserved
<b>IP/Netmask</b>	10.11.101.101/24
<b>Administrative Access</b>	Ping, SSH, HTTPS, SNMP

- 3 Select OK.

You can now log into the primary unit web-based manager by browsing to `https://10.11.101.101`. You can also log into this primary unit CLI by using an SSH client to connect to 10.11.101.101.

**To change subordinate unit reserved management interface configuration - CLI**

At this point you cannot connect to the subordinate unit reserved management interface because it does not have an IP address. Instead, this procedure describes connecting to the primary unit CLI and using the `execute ha manage` command to connect to subordinate unit CLI to change the port8 interface. You can also use a serial connection to the cluster unit CLI. Configuration changes to the reserved management interface are not synchronized to other cluster units.

- 1 Connect to the primary unit CLI and use the `execute ha manage` command to connect to a subordinate unit CLI.

You can identify the subordinate unit from its serial number or host name. The host name appears in the CLI prompt.

- 2 Enter the following command to change the port8 IP address to 10.11.101.102 and set management access to HTTPS, ping, SSH, and SNMP.

```
config system interface
  edit port8
    set ip 10.11.101.102/24
    set allowaccess https ping ssh snmp
end
```

You can now log into the subordinate unit web-based manager by browsing to `https://10.11.101.102`. You can also log into this subordinate unit CLI by using an SSH client to connect to 10.11.101.102.

### To configure the cluster for SNMP management using the reserved management interfaces - CLI

This procedure describes how to configure the cluster to allow the SNMP server to get status information from the primary unit and the subordinate unit. The SNMP configuration is synchronized to all cluster units. To support using the reserved management interfaces, you must add at least one HA direct management host to an SNMP community. If your SNMP configuration includes SNMP users with user names and passwords you must also enable HA direct management for SNMP users.

- 1 Enter the following command to add an SNMP community called `Community` and add a host to the community for the reserved management interface of each cluster unit. The host includes the IP address of the SNMP server (10.11.101.20).

```
config system snmp community
  edit 1
    set name Community
  config hosts
    edit 1
      set ha-direct enable
      set ip 10.11.101.20
    end
  end
```

- 2 Enter the following command to add an SNMP user for the reserved management interface.

```
config system snmp user
  edit 1
    set ha-direct enable
    set notify-hosts 10.11.101.20
  end
```

Configure other settings as required.

### To get CPU, memory, and network usage of each cluster unit using the reserved management IP addresses

From the command line of an SNMP manager, you can use the following SNMP commands to get CPU, memory and network usage information for each cluster unit. In the examples, the community name is `Community`. The commands use the MIB field names and OIDs listed in [Table 5 on page 172](#).

Enter the following commands to get CPU, memory and network usage information for the primary unit with reserved management IP address 10.11.101.101 using the MIB fields:

```
snmpget -v2c -c Community 10.11.101.101 fgHaStatsCpuUsage
snmpget -v2c -c Community 10.11.101.101 fgHaStatsMemUsage
snmpget -v2c -c Community 10.11.101.101 fgHaStatsNetUsage
```

Enter the following commands to get CPU, memory and network usage information for the primary unit with reserved management IP address 10.11.101.101 using the OIDs:

```
snmpget -v2c -c Community 10.11.101.101
1.3.6.1.4.1.12356.101.13.2.1.1.3.1
snmpget -v2c -c Community 10.11.101.101
1.3.6.1.4.1.12356.101.13.2.1.1.4.1
snmpget -v2c -c Community 10.11.101.101
1.3.6.1.4.1.12356.101.13.2.1.1.5.1
```

Enter the following commands to get CPU, memory and network usage information for the subordinate unit with reserved management IP address 10.11.101.102 using the MIB fields:

```
snmpget -v2c -c Community 10.11.101.102 fgHaStatsCpuUsage
snmpget -v2c -c Community 10.11.101.102 fgHaStatsMemUsage
snmpget -v2c -c Community 10.11.101.102 fgHaStatsNetUsage
```

Enter the following commands to get CPU, memory and network usage information for the subordinate unit with reserved management IP address 10.11.101.102 using the OIDs:

```
snmpget -v2c -c Community 10.11.101.102
1.3.6.1.4.1.12356.101.13.2.1.1.3.1
snmpget -v2c -c Community 10.11.101.102
1.3.6.1.4.1.12356.101.13.2.1.1.4.1
snmpget -v2c -c Community 10.11.101.102
1.3.6.1.4.1.12356.101.13.2.1.1.5.1
```

## The primary unit acts as a router for subordinate unit management traffic

HA uses routing and inter-VDOM links to route subordinate unit management traffic through the primary unit to the network. Similar to a standalone FortiGate unit, subordinate units may generate their own management traffic, including:

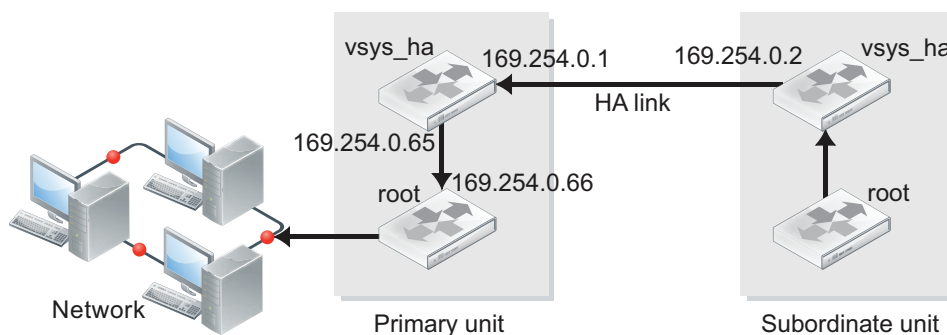
- DNS queries.
- FortiGuard Web Filtering rating requests.
- Log messages to be sent to a FortiAnalyzer unit, to a syslog server, or to the FortiGuard Analysis and Management Service.
- Log file uploads to a FortiAnalyzer unit.
- Quarantine file uploads to a FortiAnalyzer unit.
- SNMP traps.
- Communication with remote authentication servers (RADIUS, LDAP, TACACS+ and so on)

Subordinate units send this management traffic over the HA heartbeat link to the primary unit. The primary unit forwards the management traffic to its destination. The primary unit also routes replies back to the subordinate unit in the same way.

HA uses a hidden VDOM called `vsys_ha` for HA operations. The `vsys_ha` VDOM includes the HA heartbeat interfaces, and all communication over the HA heartbeat link goes through the `vsys_ha` VDOM. To provide communication from a subordinate unit to the network, HA adds hidden inter-VDOM links between the primary unit management VDOM and the primary unit `vsys_ha` VDOM. By default, root is the management VDOM.

Management traffic from the subordinate unit originates in the subordinate unit `vsys_ha` VDOM. The `vsys_ha` VDOM routes the management traffic over the HA heartbeat link to the primary unit `vsys_ha` VDOM. This management traffic is then routed to the primary unit management VDOM and from there out onto the network.

DNS queries and FortiGuard Web Filtering and Email Filter requests are still handled by the HA proxy so the primary unit and subordinate units share the same DNS query cache and the same FortiGuard Web Filtering and Email Filter cache. In a virtual clustering configuration, the cluster unit that is the primary unit for the management virtual domain maintains the FortiGuard Web Filtering, Email Filtering, and DNS query cache.

**Figure 20: Subordinate unit management traffic path**

### Cluster communication with RADIUS and LDAP servers

In an active-passive cluster, only the primary unit processes traffic, so the primary unit communicates with RADIUS or LDAP servers. In a cluster that is operating in active-active mode, subordinate units send RADIUS and LDAP requests to the primary unit over the HA heartbeat link and the primary unit routes them to their destination. The primary unit relays the responses back to the subordinate unit.

## Clusters and FortiGuard services

This section describes how various FortiGate HA clustering configurations communicate with the FDN.

In an operating cluster, the primary unit communicates directly with the FortiGuard Distribution Network (FDN). Subordinate units also communicate directly with the FDN but as described in [“The primary unit acts as a router for subordinate unit management traffic” on page 162](#), all communication between subordinate units and the FDN is routed through the primary unit.

You must register and licence all of the units in a cluster for all required FortiGuard services, both because all cluster units communicate with the FDN and because any cluster unit could potentially become the primary unit.

### FortiGuard and active-passive clusters

For an active-passive cluster, only the primary unit processes traffic. Even so, all cluster units communicate with the FDN. Only the primary unit sends FortiGuard Web Filtering and Antispam requests to the FDN. All cluster units receive FortiGuard Antivirus, IPS, and application control updates from the FDN.

In an active-passive cluster the FortiGuard Web Filter and Email Filter caches are located on the primary unit in the same way as for a standalone FortiGate unit. The caches are not shared among cluster units so after a failover the new primary unit must build up new caches.

In an active-passive cluster all cluster units also communicate with the FortiGuard Analysis and Management Service (FAMS).

### FortiGuard and active-active clusters

For an active-active cluster, both the primary unit and the subordinate units process traffic. Communication between the cluster units and the FDN is the same as for active-passive clusters with the following exception.



Because the subordinate units process traffic, they may also be making FortiGuard Web Filtering and Email Filter requests. The primary unit receives all such requests from the subordinate units and relays them to the FDN and then relays the FDN responses back to the subordinate units. The FortiGuard Web Filtering and Email Filtering URL caches are maintained on the primary unit. The primary unit caches are used for primary and subordinate unit requests.

## FortiGuard and virtual clustering

For a virtual clustering configuration the management virtual domain of each cluster unit communicates with the FDN. The cluster unit that is the primary unit for the management virtual domain maintains the FortiGuard Web Filtering and Email Filtering caches. All FortiGuard Web Filtering and Email Filtering requests are proxied by the management VDOM of the cluster unit that is the primary unit for the management virtual domain.

## Clusters and logging

This section describes the log messages that provide information about how HA is functioning, how to view and manage logs for each unit in a cluster, and provides some example log messages that are recorded during specific cluster events.

You configure logging for a cluster in the same way as you configuring logging for a standalone FortiGate unit. Log configuration changes made to the cluster are synchronized to all cluster units.

All cluster units record log messages separately to the individual cluster unit's log disk, to the cluster unit's system memory, or both. You can view and manage log messages for each cluster unit from the cluster web-based manager Log Access page.

When remote logging is configured, all cluster units send log messages to remote FortiAnalyzer units or other remote servers as configured. HA uses routing and inter-VDOM links to route subordinate unit log traffic through the primary unit to the network. See [“The primary unit acts as a router for subordinate unit management traffic” on page 162](#).

When you configure a FortiAnalyzer unit to receive log messages from a FortiGate cluster, you should add a cluster to the FortiAnalyzer unit configuration so that the FortiAnalyzer unit can receive log messages from all cluster units.

## Viewing and managing log messages for individual cluster units

This section describes how to view and manage log messages for an individual cluster unit.

### To view HA cluster log messages

- 1 Log into the cluster web-based manager.
- 2 Go to *Log&Report > Log Access* and select Memory or Disk.  
For each log display, the *HA Cluster* list displays the serial number of the cluster unit for which log messages are displayed. The serial numbers are displayed in order in the list.
- 3 Set *HA Cluster* to the serial number of one of the cluster units to display log messages for that unit.

You can view logs saved to memory or logs saved to the hard disk for the cluster unit.



## About HA event log messages

HA event log messages always include the host name and serial number of the cluster unit that recorded the message. HA event log messages also include the HA state of the unit and also indicate when a cluster unit switches (or moves) from one HA state to another. Cluster units can operate in the HA states listed in [Table 4](#):

**Table 4: HA states**

<b>Hello</b>	A FortiGate unit configured for HA operation has started up and is looking for other FortiGate units with which to form a cluster.
<b>Work</b>	In an active-passive cluster a cluster unit is operating as the primary unit. In an active-active cluster unit is operating as the primary unit or a subordinate unit.
<b>Standby</b>	In an active-passive cluster the cluster unit is operating as a subordinate unit.

HA log Event log messages also indicate the virtual cluster that the cluster unit is operating in as well as the member number of the unit in the cluster. If virtual domains are not enabled, all clusters unit are always operating in virtual cluster 1. If virtual domains are enabled, a cluster unit may be operating in virtual cluster 1 or virtual cluster 2. The member number indicates the position of the cluster unit in the cluster members list. Member 0 is the primary unit. Member 1 is the first subordinate unit, member 2 is the second subordinate unit, and so on.

The following log message indicates that the cluster unit with host name 5005\_ha\_2 and serial number FG5A253E06500088 has become the primary unit because it is operating in the work state as member 0.

```
2010-01-13 13:45:32 log_id=0105037892 type=event subtype=ha pri=notice
vd="root" msg="Virtual cluster's member state moved" vcluster=1
vcluster_state=work vcluster_member=0 hostname=5005_ha_2
sn=FG5A253E06500088
```

The following log message indicates that the cluster unit with host name 5005\_ha\_1 and serial number FG5A253E06500088 has become the first subordinate unit in an active-passive cluster because it is operating in the standby state as member 1.

```
2010-01-13 14:28:39 log_id=0105037892 type=event subtype=ha pri=notice
vd="root" msg="Virtual cluster's member state moved" vcluster=1
vcluster_state=standby vcluster_member=1 hostname=5005_ha_2
sn=FG5A253E06500088
```

The following log message indicates that the cluster unit with host name 5005\_ha\_1 and serial number FG5A253E07600124 has become the first subordinate unit in an active-active cluster because it is operating in the work state as member 1.

```
2010-01-13 14:23:58 log_id=0105037892 type=event subtype=ha pri=notice
vd="root" msg="Virtual cluster's member state moved" vcluster=1
vcluster_state=work vcluster_member=1 hostname=5005_ha_1
sn=FG5A253E07600124
```

The following log message indicates that the FortiGate unit was disconnected from a cluster. The message shows that the cluster unit was disconnected over the telnet link between cluster units and the HA mode was changed from active-active to standalone.

```
2010-01-13 13:45:09 log_id=0104032140 type=event subtype=admin vd=root
pri=notice user="FGT_ha_admin" ui=telnet(169.254.0.2) old=A-A new=standalone
msg="User FGT_ha_admin changed HA mode from A-A to standalone"
```

## HA log messages

See the [FortiGate Log Message Reference](#) for a listing of and descriptions of the HA log messages.

## Example log messages

This section displays some log message sequences when specific cluster events occur.

### Unit changing to HA mode and becoming the primary unit

- 1 2010-01-14 13:24:56 log\_id=0104032140 type=event subtype=admin vd=root pri=notice user="admin" ui=GUI(10.21.101.100) old=standalone new=A-P msg="User admin changed HA mode from standalone to A-P"

The administrator changed the HA mode to active-passive.

- 2 2010-01-14 13:25:09 log\_id=0105037899 type=event subtype=ha pri=notice vd="root" msg="HA device(interface) peerinfo" ha\_role=slave devintfname=port4

The the cluster unit received heartbeat packets at the port4 interface.

- 3 2010-01-14 13:25:09 log\_id=0105037894 type=event subtype=ha pri=notice vd="root" msg="Virtual cluster detected member join" vcluster=1 ha\_group=0

The the cluster unit detected another unit that it could form a cluster with.

- 4 2010-01-14 13:25:11 log\_id=0105037892 type=event subtype=ha pri=notice vd="root" msg="Virtual cluster's member state moved" vcluster=1 vcluster\_state=work vcluster\_member=0 hostname=5005\_ha\_1 sn=FG5A253E07600124

The the cluster unit's state changed to work, meaning that the cluster unit became the primary unit.

### Unit changing to HA mode and becoming a subordinate unit

- 1 2010-01-13 14:57:04 log\_id=0104032140 type=event subtype=admin vd=root pri=notice user="admin" ui=GUI(10.21.101.100) old=standalone new=A-P msg="User admin changed HA mode from standalone to A-P"

The administrator changed the HA mode to active-passive.

- 2 2010-01-13 14:57:07 log\_id=0105037899 type=event subtype=ha pri=notice vd="root" msg="HA device(interface) peerinfo" ha\_role=slave devintfname=port4

The the cluster unit received heartbeat packets at the port4 interface.

- 3 2010-01-13 14:57:07 log\_id=0105037894 type=event subtype=ha pri=notice vd="root" msg="Virtual cluster detected member join" vcluster=1 ha\_group=0

The the cluster unit detected another unit that it could form a cluster with.

- 4 2010-01-13 14:57:09 log\_id=0105037892 type=event subtype=ha pri=notice vd="root" msg="Virtual cluster's member state moved" vcluster=1 vcluster\_state=standby vcluster\_member=1 hostname=5005\_ha\_1 sn=FG5A253E07600124

The the cluster unit's state changed to standby, meaning that the cluster unit became a subordinate unit.

## Primary unit fails (and is removed from cluster)

These messages are recorded by a subordinate unit (which becomes the primary unit).

- 1 2010-01-13 14:49:44 log\_id=0105037901 type=event subtype=ha pri=critical  
vd="root" msg="Heartbeat device(interface) down" ha\_role=slave  
hbdn\_reason=neighbor info lost devintfname=port4

The subordinate unit loses communication with the primary unit.

- 2 2010-01-13 14:50:00 log\_id=0105037893 type=event subtype=ha pri=notice  
vd="root" msg="Virtual cluster detected member dead" vcluster=1 ha\_group=0

The subordinate unit determines that the primary unit is no longer operating.

- 3 2010-01-13 14:50:02 log\_id=0105037892 type=event subtype=ha pri=notice  
vd="root" msg="Virtual cluster's member state moved" vcluster=1  
vcluster\_state=work vcluster\_member=0 hostname=5005\_ha\_2  
sn=FG5A253E06500088

The subordinate unit negotiates to form a cluster and then begins to operate as the primary unit.

## Subordinate unit fails (and is removed from cluster)

These messages are recorded by the primary unit.

- 1 2010-01-14 13:01:03 log\_id=0105037901 type=event subtype=ha pri=critical  
vd="root" msg="Heartbeat device(interface) down" ha\_role=master  
hbdn\_reason=neighbor info lost devintfname=port4

The primary unit loses contact with the subordinate unit.

- 2 2010-01-14 13:01:19 log\_id=0105037893 type=event subtype=ha pri=notice  
vd="root" msg="Virtual cluster detected member dead" vcluster=1 ha\_group=0

The primary unit determines that the subordinate unit is no longer operating.

- 3 2010-01-14 13:01:21 log\_id=0105037892 type=event subtype=ha pri=notice  
vd="root" msg="Virtual cluster's member state moved" vcluster=1  
vcluster\_state=work vcluster\_member=0 hostname=5005\_ha\_1  
sn=FG5A253E07600124

The primary unit negotiates to form a cluster and then continues to operate as the primary unit.

## New unit added to cluster

These messages are recorded by the primary unit.

- 1 2010-01-13 14:57:07 log\_id=0105037899 type=event subtype=ha pri=notice  
vd="root" msg="HA device(interface) peerinfo" ha\_role=master devintfname=port4

The cluster unit received heartbeat packets at the port4 interface.

- 2 2010-01-13 14:57:07 log\_id=0105037894 type=event subtype=ha pri=notice  
vd="root" msg="Virtual cluster detected member join" vcluster=1 ha\_group=0

The cluster unit detected another unit that it could form a cluster with.

- 3 2010-01-13 14:57:09 log\_id=0105037892 type=event subtype=ha pri=notice  
vd="root" msg="Virtual cluster's member state moved" vcluster=1  
vcluster\_state=work vcluster\_member=0 hostname=5005\_ha\_2  
sn=FG5A253E06500088

The primary unit negotiates to form a cluster with the new cluster unit and then continues to operate as the primary unit.

## Unit removed from cluster

These log messages appear on the unit that was removed from the cluster.:

- 1 2010-01-13 14:49:37 log\_id=0104032121 type=event subtype=admin vd=root pri=notice user="admin" ui=GUI(10.21.101.100) intf="port1" field=access old="https+ping+ssh" new="https+ping+ssh+snmp+http+telnet" msg="User admin changed the access setting of interface port1 from GUI(10.21.101.100)"
- 2 2010-01-13 14:49:37 log\_id=0104032121 type=event subtype=admin vd=root pri=notice user="admin" ui=GUI(10.21.101.100) intf="port1" field=ip old=10.21.101.102:255.255.255.0 new=10.21.101.103:255.255.255.0 msg="User admin changed the ip setting of interface port1 from GUI(10.21.101.100)"
- 3 2010-01-13 14:49:37 log\_id=0104032140 type=event subtype=admin vd=root pri=notice user="admin" ui=GUI(10.21.101.100) old=A-P new=standalone msg="User admin changed HA mode from A-P to standalone"

## Link failure of a monitored interface

These log messages, recorded by the primary unit, show the monitored port1 interface failed or was disconnected and the primary unit becoming a subordinate unit:

- 1 2010-01-14 16:59:39 log\_id=0100020099 type=event subtype=system vd=root pri=information action=interface-stat-change status=DOWN msg="Link monitor: Interface port1 was turned down"
- 2 2010-01-14 16:59:39 log\_id=0105037898 type=event subtype=ha pri=warning vd="root" msg="HA device(interface) fail" ha\_role=master devintfname=port1
- 3 2010-01-14 16:59:41 log\_id=0105037892 type=event subtype=ha pri=notice vd="root" msg="Virtual cluster's member state moved" vcluster=1 vcluster\_state=standby vcluster\_member=1 hostname=620\_ha\_1 sn=FG600B3908600705

## Link failure of a monitored interface fixed

These log messages show the monitored port1 being reconnected and the cluster unit becoming the primary unit.

- 1 2010-01-14 16:59:58 log\_id=0100020099 type=event subtype=system vd=root pri=information action=interface-stat-change status=UP msg="Link monitor: Interface port1 was turned up"
- 2 2010-01-14 16:59:58 log\_id=0105037897 type=event subtype=ha pri=notice vd="root" msg="HA device(interface) ready" ha\_role=slave devintfname=port1
- 3 2010-01-14 17:00:00 log\_id=0105037892 type=event subtype=ha pri=notice vd="root" msg="Virtual cluster's member state moved" vcluster=1 vcluster\_state=work vcluster\_member=0 hostname=620\_ha\_1 sn=FG600B3908600705

## Configuration changes synchronized from primary unit to subordinate unit

The following event log message is written by the primary unit when the admin administrator adds security policy with ID=3 by connecting to the web-based manager from a management PC with IP address 172.20.120.14 using HTTPS or HTTP:

```
2009-11-13 09:11:45 log_id=0104032126 type=event subtype=admin vd=root
pri=notice user="admin" ui=GUI(172.20.120.14) seq=1 sintf="external"
dintf="internal" saddr="all" daddr="all" act=accept nat=no iptype=ipv4
schd="always" svr="ANY" log=no idbased=no msg="User admin added IPv4 firewall
policy 3 from GUI(172.20.120.11)"
```

When incremental synchronization makes the same change to a subordinate unit the subordinate unit writes the following log message:

```
2009-11-13 09:11:45 log_id=0104032126 type=event subtype=admin vd=root
pri=notice user="admin" ui=ha_daemon seq=1 sintf="external" dintf="internal"
saddr="all" daddr="all" act=accept nat=no iptype=ipv4 schd="always" svr="ANY"
log=no idbased=no msg="User admin added IPv4 firewall policy 3 from
GUI(172.20.120.11)"
```

Notice that the two messages are identical (including the log IDs) except that on the subordinate unit the ui (user interface) is ha\_daemon. ha\_daemon is the name of the user interface used by the HA synchronization process to make incremental synchronization configuration changes.

### Configuration changes synchronized from subordinate unit to primary unit

The following event log message is written by a subordinate unit after the admin administrator logs into the subordinate unit CLI using the `execute ha manage` command and adds security policy 6.

```
2009-11-13 09:14:45 log_id=0104032126 type=event subtype=admin vd=root
pri=notice user="admin" ui=telnet(169.254.0.1) seq=6 sintf="external"
dintf="internal" saddr="all" daddr="all" act=accept nat=no iptype=ipv4
schd="always" svr="ANY" log=no idbased=no msg="User admin added IPv4 firewall
policy 6 from telnet(169.254.0.1)"
```

Notice the user interface is telnet(169.254.0.1). 169.254.0.1 is the IP address of the HA heartbeat interface of the primary unit. The log message shows that the `execute ha manage` command sets up a telnet session from the primary unit to the subordinate unit over the HA heartbeat link. Note that the IP address could be 169.254.0.2 if the cluster renegotiated.

When incremental synchronization makes the same change to the primary unit, the primary unit writes the following log message:

```
2009-11-13 09:14:45 log_id=0104032126 type=event subtype=admin vd=root
pri=notice user="admin" ui=ha_daemon seq=6 sintf="external" dintf="internal"
saddr="all" daddr="all" act=accept nat=no iptype=ipv4 schd="always" svr="ANY"
log=no idbased=no msg="User admin added IPv4 firewall policy 6 from ha_daemon"
```

Notice again that the messages are identical (including the log ID) except for the user interface.

### Fortigate HA message "HA master heartbeat interface <intf\_name> lost neighbor information"

The following HA log messages may be recorded by an operating cluster:

```
2009-02-16 11:06:34 device_id=FG2001111111 log_id=0105035001 type=event
subtype=ha pri=critical vd=root msg="HA slave heartbeat interface internal lost
neighbor information"

2009-02-16 11:06:40 device_id=FG2001111111 log_id=0105035001 type=event
subtype=ha pri=notice vd=root msg="Virtual cluster 1 of group 0 detected new joined
HA member"

2009-02-16 11:06:40 device_id=FG2001111111 log_id=0105035001 type=event
subtype=ha pri=notice vd=root msg="HA master heartbeat interface internal get peer
information"
```

These log messages indicate that the cluster units could not connect to each other over the HA heartbeat link for the period of time that is given by `hb-interval` x `hb-lost-threshold`, which is 1.2 seconds with the default values.

### To diagnose this problem

- 1 Check all heartbeat interface connections including cables and switches to make sure they are connected and operating normally.

- 2 Use the following commands to display the status of the heartbeat interfaces.

```
get hardware nic <heartbeat_interface_name>
diagnose hardware deviceinfo nic <heartbeat_interface_name>
```

The status information may indicate the interface status and link status and also indicate if a large number of errors have been detected.

- 3 If the log message only appear during peak traffic times, increase the tolerance for missed HA heartbeat packets by using the following commands to increase the lost heartbeat threshold and heartbeat interval:

```
config system ha
    set hb-lost-threshold 12
    set hb-interval 4
end
```

These settings multiply by 4 the loss detection interval. You can use higher values as well.

- 4 Optionally disable session-pickup to reduce the processing load on the heartbeat interfaces.
- 5 Instead of disabling session-pickup you can enable `session-pickup-delay` to reduce the number of sessions that are synchronized. With this option enabled only sessions that are active for more than 30 seconds are synchronized.

It may be useful to monitor CPU and memory usage to check for low memory and high CPU usage. You can configure event logging to monitor CPU and memory usage. You can also enable the CPU over usage and memory low SNMP events.

Once this monitoring is in place, try and determine if there have been any changes in the network or an increase of traffic recently that could be the cause. Check to see if the problem happens frequently and if so what the pattern is.

To monitor the CPU of the cluster units and troubleshoot further, use the following procedure and commands:

```
get system performance status
get sys performance top 2
diagnose sys top 2
```

These commands repeated at frequent intervals will show the activity of the CPU and the number of sessions.

Search the [Fortinet Knowledge Base](https://docs.fortinet.com) for articles about monitoring CPU and Memory usage.

If the problem persists, gather the following information (a console connection might be necessary if connectivity is lost) and provide it to Technical Support when opening a ticket:

- Debug log from the web-based manager: *System > Maintenance > Advanced > debug log*
- CLI command output:  
`diag sys top 2` (keep it running for 20 seconds)

```
get sys perf status (repeat this command multiple times to get good samples)
get sys ha status
diag sys ha status
diag sys ha dump all
diag sys ha dump 2
diag sys ha dump 3
diag netlink dev list
diag hardware dev nic <Heartbeat port Name>
execute log filter category event
execute log display
```

## Clusters and SNMP

You can use SNMP to manage a cluster by configuring a cluster interface for SNMP administrative access. Using an SNMP manager you can get cluster configuration and status information and receive traps.

You configure SNMP for a cluster in the same way as configuring SNMP for a standalone FortiGate unit. SNMP configuration changes made to the cluster are shared by all cluster units.

Each cluster unit sends its own traps and SNMP manager systems can use SNMP get commands to query each cluster unit separately. To set SNMP get queries to each cluster unit you must create a special get command that includes the serial number of the cluster unit.

Alternatively you can use the HA reserved management interface feature to give each cluster unit a different management IP address. Then you can create an SNMP get command for each cluster unit that just includes the management IP address and does not have to include the serial number. See [“Managing individual cluster units using a reserved management interface” on page 157](#).

For a list of HA MIB fields and OIDs, see the [System Administration](#) chapter of the FortiOS Handbook.

### SNMP get command syntax for the primary unit

Normally, to get configuration and status information for a standalone FortiGate unit or for a primary unit, an SNMP manager would use an SNMP get commands to get the information in a MIB field. The SNMP get command syntax would be similar to the following:

```
snmpget -v2c -c <community_name> <address_ipv4> {<OID> |
    <MIB_field>}
```

where:

<community\_name> is an SNMP community name added to the FortiGate configuration. You can add more than one community name to a FortiGate SNMP configuration. The most commonly used community name is `public`.

<address\_ipv4> is the IP address of the FortiGate interface that the SNMP manager connects to.

{<OID> | <MIB\_field>} is the object identifier (OID) for the MIB field or the MIB field name itself. The HA MIB fields and OIDs are listed in [Table 5](#).



**Table 5: SNMP field names and OIDs**

MIB field	OID	Description
fgHaSystemMode	.1.3.6.1.4.1.12356.101.13.1.1.0	HA mode (standalone, a-a, or a-p)
fgHaGroupId	.1.3.6.1.4.1.12356.101.13.1.2.0	The HA priority of the cluster unit. Default 128.
fgHaPriority	.1.3.6.1.4.1.12356.101.13.1.3.0	The HA priority of the cluster unit. Default 128.
fgHaOverride	.1.3.6.1.4.1.12356.101.13.1.4.0	Whether HA override is disabled or enabled for the cluster unit.
fgHaAutoSync	.1.3.6.1.4.1.12356.101.13.1.5.0	Whether automatic HA synchronization is disabled or enabled.
fgHaSchedule	.1.3.6.1.4.1.12356.101.13.1.6.0	The HA load balancing schedule. Set to none unless operating in a-p mode.
fgHaGroupName	.1.3.6.1.4.1.12356.101.13.1.7.0	The HA group name.
fgHaStatsIndex	.1.3.6.1.4.1.12356.101.13.2.1.1.1	The cluster index of the cluster unit. 1 for the primary unit, 2 to x for the subordinate units.
fgHaStatsSerial	.1.3.6.1.4.1.12356.101.13.2.1.1.2.1	The serial number of the cluster unit.
fgHaStatsCpuUsage	.1.3.6.1.4.1.12356.101.13.2.1.1.3.1	The cluster unit's current CPU usage.
fgHaStatsMemUsage	.1.3.6.1.4.1.12356.101.13.2.1.1.4.1	The cluster unit's current Memory usage.
fgHaStatsNetUsage	.1.3.6.1.4.1.12356.101.13.2.1.1.5.1	The cluster unit's current Network bandwidth usage.
fgHaStatsSesCount	.1.3.6.1.4.1.12356.101.13.2.1.1.6.1	The cluster unit's current session count.
fgHaStatsPktCount	.1.3.6.1.4.1.12356.101.13.2.1.1.7.1	The cluster unit's current packet count.
fgHaStatsByteCount	.1.3.6.1.4.1.12356.101.13.2.1.1.8.1	The cluster unit's current byte count.
fgHaStatsIdsCount	.1.3.6.1.4.1.12356.101.13.2.1.1.9.1	The number of attacks reported by the IPS for the cluster unit.
fgHaStatsAvCount	.1.3.6.1.4.1.12356.101.13.2.1.1.10.1	The number of viruses reported by the antivirus system for the cluster unit.
fgHaStatsHostname	.1.3.6.1.4.1.12356.101.13.2.1.1.11.1	The hostname of the cluster unit.



### To get the HA priority for the primary unit

The following SNMP get command gets the HA priority for the primary unit. The community name is `public`. The IP address of the cluster interface configured for SNMP management access is 10.10.10.1. The HA priority MIB field is `fgHaPriority` and the OID for this MIB field is 1.3.6.1.4.1.12356.101.13.1.3.0. The first command uses the MIB field name and the second uses the OID:

```
snmpget -v2c -c public 10.10.10.1 fgHaPriority
snmpget -v2c -c public 10.10.10.1 1.3.6.1.4.1.12356.101.13.1.3.0
```

### SNMP get command syntax for any cluster unit

To get configuration status information for a specific cluster unit (for the primary unit or for any subordinate unit), the SNMP manager must add the serial number of the cluster unit to the SNMP get command after the community name. The community name and the serial number are separated with a dash. The syntax for this SNMP get command would be:

```
snmpget -v2c -c <community_name>-<fgt_serial> <address_ipv4>
{<OID> | <MIB_field>}
```

where:

`<community_name>` is an SNMP community name added to the FortiGate configuration. You can add more than one community name to a FortiGate SNMP configuration. All units in the cluster have the same community name. The most commonly used community name is `public`.

`<fgt_serial>` is the serial number of any cluster unit. For example, FGT4002803033172. You can specify the serial number of any cluster unit, including the primary unit, to get information for that unit.

`<address_ipv4>` is the IP address of the FortiGate interface that the SNMP manager connects to.

`{<OID> | <MIB_field>}` is the object identifier (OID) for the MIB field or the MIB field name itself. To find OIDs and MIB field names see [System Administration](#) chapter of the FortiOS Handbook.

If the serial number matches the serial number of a subordinate unit, the SNMP get request is sent over the HA heartbeat link to the subordinate unit. After processing the request, the subordinate unit sends the reply back over the HA heartbeat link back to the primary unit. The primary unit then forwards the response back to the SNMP manager.

If the serial number matches the serial number of the primary unit, the SNMP get request is processed by the primary unit. You can actually add a serial number to the community name of any SNMP get request. But normally you only need to do this for getting information from a subordinate unit.

### To get the CPU usage for a subordinate unit

The following SNMP get command gets the CPU usage for a subordinate unit in a FortiGate-5001SX cluster. The subordinate unit has serial number FG50012205400050. The community name is `public`. The IP address of the FortiGate interface is 10.10.10.1. The HA status table MIB field is `fgHaStatsCpuUsage` and the OID for this MIB field is 1.3.6.1.4.1.12356.101.13.2.1.1.3.1. The first command uses the MIB field name and the second uses the OID for this table:

```
snmpget -v2c -c public-FG50012205400050 10.10.10.1
fgHaStatsCpuUsage
snmpget -v2c -c public-FG50012205400050 10.10.10.1
1.3.6.1.4.1.12356.101.13.2.1.1.3.1
```

FortiGate SNMP recognizes the community name with syntax `<community_name>-<fgt_serial>`. When the primary unit receives an SNMP get request that includes the community name followed by serial number, the FGCP extracts the serial number from the request. Then the primary unit redirects the SNMP get request to the cluster unit with that serial number. If the serial number matches the serial number of the primary unit, the SNMP get is processed by the primary unit.

## Getting serial numbers of cluster units

The following SNMP get commands use the MIB field name `fgHaStatsSerial.<index>` to get the serial number of each cluster unit. Where `<index>` is the cluster unit's cluster index and 1 is the cluster index of the primary unit, 2 is the cluster index of the first subordinate unit, and 3 is the cluster index of the second subordinate unit.

The OID for this MIB field is `1.3.6.1.4.1.12356.101.13.2.1.1.2.1`. The community name is `public`. The IP address of the FortiGate interface is `10.10.10.1`.

The first command uses the MIB field name and the second uses the OID for this table and gets the serial number of the primary unit:

```
snmpget -v2c -c public 10.10.10.1 fgHaStatsSerial.1
snmpget -v2c -c public 10.10.10.1
1.3.6.1.4.1.12356.101.13.2.1.1.2.1
```

The second command uses the MIB field name and the second uses the OID for this table and gets the serial number of the first subordinate unit:

```
snmpget -v2c -c public 10.10.10.1 fgHaStatsSerial.2
snmpget -v2c -c public 10.10.10.1 1.3.6.1.4.1.12356.101.13.2.2.2
```

## SNMP get command syntax - reserved management interface enabled

To get configuration and status information for any cluster unit where you have enabled the HA reserved management interface feature and assigned IP addresses to the management interface of each cluster unit, an SNMP manager would use the following get command syntax:

```
snmpget -v2c -c <community_name> <mgt_address_ipv4> {<OID> |
<MIB_field>}
```

where:

`<community_name>` is an SNMP community name added to the FortiGate configuration. You can add more than one community names to a FortiGate SNMP configuration. The most commonly used community name is `public`.

`<mgt_address_ipv4>` is the IP address of the FortiGate HA reserved management interface that the SNMP manager connects to.

`{<OID> | <MIB_field>}` is the object identifier (OID) for the MIB field or the MIB field name itself. To find OIDs and MIB field names see your FortiGate unit's online help.

See [“To get CPU, memory, and network usage of each cluster unit using the reserved management IP addresses” on page 161](#).

## Clusters and file quarantine

You can configure file quarantine for a cluster in the same way as configuring file quarantine for a standalone FortiGate unit. Quarantine configuration changes made to the cluster are shared by all cluster units.

In an active-active cluster, both the primary unit and the subordinate units accept antivirus sessions and may quarantine files. In an active-passive cluster, only the primary unit quarantines files. Multiple cluster units in an active-passive cluster may have quarantined files if different cluster units have been the primary unit.

All cluster units quarantine files separately to their own hard disk. You can go to *Log&Report > Archive Access > Quarantine* to view and manage the quarantine file list for each cluster unit.

All cluster units can also quarantine files to a FortiAnalyzer unit. When you configure a FortiAnalyzer unit to receive quarantine files from a cluster, you should add each cluster unit to the FortiAnalyzer device configuration so that the FortiAnalyzer unit can receive quarantine files from all cluster units.

## Cluster members list

Display the cluster members list to view the status of the FortiGate units in an operating cluster. To display the cluster members list, go to *System > Config > HA*.

From the cluster members list you can also:

- View HA statistics (see [“Viewing HA statistics” on page 177](#)).
- View and optionally change the HA configuration of the operating cluster (see [“Changing the HA configuration of an operating cluster” on page 179](#)).
- View and optionally change the host name and device priority of a subordinate unit (see [“Changing the subordinate unit host name and device priority” on page 179](#)).
- Disconnect a cluster unit from a cluster (see [“Disconnecting a cluster unit from a cluster” on page 192](#)).
- Download the Debug log for any cluster unit. You can send this debug log file to Fortinet Technical Support to help diagnose problems with the cluster or with individual cluster units.

**Figure 21: Example cluster members list**

Cluster Member	Hostname	Role	Priority	Actions
FortiGate 620B 1 3 5 7 9 11 13 15 17 19 port12 IP/Netmask:0.0.0.0/0 Link: Down Speed: 0 Mbps Sent:0 Received:0	620_ha_2	MASTER	128	View HA Statistics, Disconnect from Cluster, Download Debug Log, Edit
FortiGate 620B 1 3 5 7 9 11 13 15 17 19 port12 IP/Netmask:0.0.0.0/0 Link: Down Speed: 0 Mbps Sent:0 Received:0	620_ha_1	SLAVE	128	Disconnect from Cluster, Download Debug Log, Edit

<b>View HA Statistics</b>	Display the serial number, status, and monitor information for each cluster unit. See <a href="#">“Viewing HA statistics” on page 177</a> .
<b>Up and down arrows</b>	Change the order in which cluster members are listed. The operation of the cluster or of the units in the cluster are not affected. All that changes is the order in which cluster units are displayed on the cluster members list.

<b>Cluster member</b>	Illustrations of the front panels of the cluster units. If the network jack for an interface is shaded green, the interface is connected. Pause the mouse pointer over each illustration to view the cluster unit host name, serial number, and how long the unit has been operating (up time). <sup>a</sup> The list of monitored interfaces is also displayed.
<b>Hostname</b>	<p>The host name of the FortiGate unit. The default host name of the FortiGate unit is the FortiGate unit serial number.</p> <ul style="list-style-type: none"> <li>To change the primary unit host name, go to the system dashboard and select Change beside the current host name in the System Information widget.</li> <li>To change a subordinate unit host name, from the cluster members list select the edit icon for a subordinate unit.</li> </ul>
<b>Role</b>	<p>The status or role of the cluster unit in the cluster.</p> <ul style="list-style-type: none"> <li>Role is MASTER for the primary (or master) unit</li> <li>Role is SLAVE for all subordinate (or backup) cluster units</li> </ul>
<b>Priority</b>	<p>The device priority of the cluster unit. Each cluster unit can have a different device priority. During HA negotiation, the unit with the highest device priority becomes the primary unit.</p> <p>The device priority range is 0 to 255. The default device priority is 128.</p>
<b>Disconnect from cluster</b>	Disconnect the cluster unit from the cluster. See <a href="#">“Disconnecting a cluster unit from a cluster” on page 192</a> .
<b>Edit</b>	<p>Select Edit to change a cluster unit HA configuration.</p> <ul style="list-style-type: none"> <li>For a primary unit, select Edit to change the cluster HA configuration. You can also change the device priority of the primary unit.</li> <li>For a primary unit in a virtual cluster, select Edit to change the virtual cluster HA configuration. You can also change the virtual cluster 1 and virtual cluster 2 device priority of this cluster unit.</li> <li>For a subordinate unit, select Edit to change the subordinate unit host name and device priority. See <a href="#">“Changing the subordinate unit host name and device priority” on page 179</a>.</li> <li>For a subordinate unit in a virtual cluster, select Edit to change the subordinate unit host name. In addition you can change the device priority for the subordinate unit for the selected virtual cluster.</li> </ul>
<b>Download debug log</b>	Download an encrypted debug log to a file. You can send this debug log file to Fortinet Technical Support to help diagnose problems with the cluster or with individual cluster units.

<sup>a</sup>In this case, with the mouse position showing a pop-up, it would be worth showing the mouse cursor itself in the screen capture.

## Virtual cluster members list

If virtual domains are enabled, you can display the cluster members list to view the status of the operating virtual clusters. The virtual cluster members list shows the status of both virtual clusters including the virtual domains added to each virtual cluster.

To display the virtual cluster members list for an operating cluster log in as the admin administrator, select *Global Configuration* and go to *System > Config > HA*.

**Figure 22: Example FortiGate-5001SX virtual cluster members list**

Up and Down Arrows

Download Debug Log

Edit

Disconnect from Cluster

Virtual Cluster 1  
Virtual Domains: Test, root

Cluster Member	Hostname	Role	Priority
620_ha_2	620_ha_2	MASTER	120
620_ha_1	620_ha_1	SLAVE	120

Virtual Cluster 2  
Virtual Domains: Test1, Test2

Cluster Member	Hostname	Role	Priority
620_ha_2	620_ha_2	MASTER	120
620_ha_1	620_ha_1	SLAVE	120

The fields and functions of the virtual cluster members list are the same as the fields and functions described in “[Cluster members list](#)” on page 175 with the following exceptions.

- When you select the edit icon for a primary unit in a virtual cluster, you can change the virtual cluster 1 and virtual cluster 2 device priority of this cluster unit and you can edit the VDOM partitioning configuration of the cluster.
- When you select the edit icon for a subordinate unit in a virtual cluster, you can change the device priority for the subordinate unit for the selected virtual cluster.

Also, the HA cluster members list changes depending on the cluster unit. For the virtual cluster described in the “[Example: virtual clustering with two VDOMs and VDOM partitioning](#)” on page 121 if you connect to port5 using you are connecting to 620b\_ha\_2 (620b\_ha\_2 is displayed on the web browser title bar or in the CLI prompt).

If you connect to port1 you are connecting to 620b\_ha\_1 (620b\_ha\_2 is displayed on the web browser title bar or in the CLI prompt).

## Viewing HA statistics

From the cluster members list you can select View HA statistics to display the serial number, status, and monitor information for each cluster unit. To view HA statistics, go to *System > Config > HA* and select View HA Statistics.

Figure 23: Example HA statistics (active-passive cluster)

Refresh every <span>none</span> <a href="#">Back to HA monitor &gt;&gt;</a>						
Unit	Status	Up Time	Monitor			
620_ha_2 FG600B3908600825	✓	5 days	CPU Usage	Active Sessions	Total Packets	Virus Detected
		22 hours		42	74875	0
		57 minutes	Memory Usage	Network Utilization	Total Bytes	Intrusion Detected
		17 seconds		30 Kbps	26981277	0
620_ha_1 FG600B3908600705	✓	5 days	CPU Usage	Active Sessions	Total Packets	Virus Detected
		22 hours		21	12115	0
		48 minutes	Memory Usage	Network Utilization	Total Bytes	Intrusion Detected
		58 seconds		19 Kbps	930358	0

<b>Refresh every</b>	Select to control how often the web-based manager updates the HA statistics display.
<b>Back to HA monitor</b>	Close the HA statistics list and return to the cluster members list.
<b>Serial No.</b>	Use the serial number ID to identify each FortiGate unit in the cluster. The cluster ID matches the FortiGate unit serial number.
<b>Status</b>	Indicates the status of each cluster unit. A green check mark indicates that the cluster unit is operating normally. A red X indicates that the cluster unit cannot communicate with the primary unit.
<b>Up Time</b>	The time in days, hours, minutes, and seconds since the cluster unit was last started.
<b>Monitor</b>	Displays system status information for each cluster unit.
<b>CPU Usage</b>	The current CPU status of each cluster unit. The web-based manager displays CPU usage for core processes only. CPU usage for management processes (for example, for HTTPS connections to the web-based manager) is excluded.
<b>Memory Usage</b>	The current memory status of each cluster unit. The web-based manager displays memory usage for core processes only. Memory usage for management processes (for example, for HTTPS connections to the web-based manager) is excluded.
<b>Active Sessions</b>	The number of communications sessions being processed by the cluster unit.
<b>Total Packets</b>	The number of packets that have been processed by the cluster unit since it last started up.
<b>Virus Detected</b>	The number of viruses detected by the cluster unit.
<b>Network Utilization</b>	The total network bandwidth being used by all of the cluster unit interfaces.
<b>Total Bytes</b>	The number of bytes that have been processed by the cluster unit since it last started up.
<b>Intrusion Detected</b>	The number of intrusions or attacks detected by Intrusion Protection running on the cluster unit.

## Changing the HA configuration of an operating cluster

To change the configuration settings of an operating cluster, go to *System > Config > HA* to display the cluster members list. Select Edit for the master (or primary) unit in the cluster members list to display the HA configuration page for the cluster.

You can use the HA configuration page to check and fine tune the configuration of the cluster after the cluster is up and running. For example, if you connect or disconnect cluster interfaces you may want to change the Port Monitor configuration.

Any changes you make on this page, with the exception of changes to the device priority, are first made to the primary unit configuration and then synchronized to the subordinate units. Changing the device priority only affects the primary unit.

## Changing the HA configuration of an operating virtual cluster

To change the configuration settings of the primary unit in a functioning cluster with virtual domains enabled, log in as the admin administrator, select Global Configuration and go to *System > Config > HA* to display the cluster members list. Select Edit for the master (or primary) unit in virtual cluster 1 or virtual cluster 2 to display the HA configuration page for the virtual cluster.

You can use the virtual cluster HA configuration page to check and fine tune the configuration of both virtual clusters after the cluster is up and running. For example, you may want to change the Port Monitor configuration for virtual cluster 1 and virtual cluster 2 so that each virtual cluster monitors its own interfaces.

You can also use this configuration page to move virtual domains between virtual cluster 1 and virtual cluster 2. Usually you would distribute virtual domains between the two virtual clusters to balance the amount of traffic being processed by each virtual cluster.

Any changes you make on this page, with the exception of changes to the device priorities, are first made to the primary unit configuration and then synchronized to the subordinate unit.

You can also adjust device priorities to configure the role of this cluster unit in the virtual cluster. For example, to distribute traffic to both cluster units in the virtual cluster configuration, you would want one cluster unit to be the primary unit for virtual cluster 1 and the other cluster unit to be the primary unit for virtual cluster 2. You can create this configuration by setting the device priorities. The cluster unit with the highest device priority in virtual cluster 1 becomes the primary unit for virtual cluster 1. The cluster unit with the highest device priority in virtual cluster 2 becomes the primary unit in virtual cluster 2.

## Changing the subordinate unit host name and device priority

To change the host name and device priority of a subordinate unit in an operating cluster, go to *System > Config > HA* to display the cluster members list. Select Edit for any slave (subordinate) unit in the cluster members list.

To change the host name and device priority of a subordinate unit in an operating cluster with virtual domains enabled, log in as the admin administrator, select Global Configuration and go to *System > Config > HA* to display the cluster members list. Select Edit for any slave (subordinate) unit in the cluster members list.

You can change the host name (Peer) and device priority (Priority) of this subordinate unit. These changes only affect the configuration of the subordinate unit.



The device priority is not synchronized among cluster members. In a functioning cluster you can change device priority to change the priority of any unit in the cluster. The next time the cluster negotiates, the cluster unit with the highest device priority becomes the primary unit.

The device priority range is 0 to 255. The default device priority is 128.

## Upgrading cluster firmware

You can upgrade the FortiOS firmware running on an HA cluster in the same manner as upgrading the firmware running on a standalone FortiGate unit. During a normal firmware upgrade, the cluster upgrades the primary unit and all subordinate units to run the new firmware image. The firmware upgrade takes place without interrupting communication through the cluster.



Upgrading cluster firmware to a new major release (for example upgrading from 3.0 MRx to 4.0 MRx) is supported for clusters. Make sure you are taking an upgrade path described in the release notes. Even so you should back up your configuration and only perform such a firmware upgrade during a maintenance window.

To upgrade the firmware without interrupting communication through the cluster, the cluster goes through a series of steps that involve first upgrading the firmware running on the subordinate units, then making one of the subordinate units the primary unit, and finally upgrading the firmware on the former primary unit. These steps are transparent to the user and the network, but depending upon your HA configuration may result in the cluster selecting a new primary unit.

The following sequence describes in detail the steps the cluster goes through during a firmware upgrade and how different HA configuration settings may affect the outcome.

- 1 The administrator uploads a new firmware image from the web-based manager or CLI.
- 2 If the cluster is operating in active-active mode load balancing is turned off.
- 3 The cluster upgrades the firmware running on all of the subordinate units.
- 4 Once the subordinate units have been upgraded, a new primary unit is selected.  
This primary unit will be running the new upgraded firmware.
- 5 The cluster now upgrades the firmware of the former primary unit.

If the age of the new primary unit is more than 300 seconds (5 minutes) greater than the age of all other cluster units, the new primary unit continues to operate as the primary unit.

This is the intended behavior but does not usually occur because the age difference of the cluster units is usually less than the cluster age difference margin of 300 seconds. So instead, the cluster negotiates again to select a primary unit as described in [“Primary unit selection” on page 28](#).

You can keep the cluster from negotiating again by reducing the cluster age difference margin using the `ha-uptime-diff-margin` option. However, you should be cautious when reducing the age or other problems may occur. For information about the cluster age difference margin, see [“Cluster age difference margin \(grace period\)” on page 31](#)). For more information about changing the cluster age margin, see [“Changing the cluster age difference margin” on page 31](#).

- 6 If the cluster is operating in active-active mode, load balancing is turned back on.



## Changing how the cluster processes firmware upgrades

By default cluster firmware upgrades proceed as uninterruptable upgrades that do not interrupt traffic flow. If required, you can use the following CLI command to change how the cluster handles firmware upgrades. You might want to change this setting if you are finding uninterruptable upgrades take too much time.

```
config system ha
    set uninterruptable-upgrade disable
end
```

`uninterruptable-upgrade` is enabled by default. If you disable `uninterruptable-upgrade` the cluster still upgrades the firmware on all cluster units, but all cluster units are upgraded at once; which takes less time but interrupts communication through the cluster.

## Synchronizing the firmware build running on a new cluster unit

If the firmware build running on a FortiGate unit that you add to a cluster is older than the cluster firmware build, you may be able to use the following steps to synchronize the firmware running on the new cluster unit.

This procedure describes re-installing the same firmware build on a cluster to force the cluster to upgrade all cluster units to the same firmware build.

Due to firmware upgrade and synchronization issues, in some cases this procedure may not work. In all cases it will work to install the same firmware build on the new unit as the one that the cluster is running before adding the new unit to the cluster.

### To synchronize the firmware build running on a new cluster unit

- 1 Obtain a firmware image that is the same as build already running on the cluster.
- 2 Connect to the cluster using the web-based manager.
- 3 Go to *System > Dashboard > Status*.
- 4 Select *Update* beside *Firmware Version*.

You can also install a newer firmware build.

- 5 Select OK.

After the firmware image is uploaded to the cluster, the primary unit upgrades all cluster units to this firmware build.

## Downgrading cluster firmware

For various reasons you may need to downgrade the firmware that a cluster is running. You can use the information in this section to downgrade the firmware version running on a cluster.

In most cases you can downgrade the firmware on an operating cluster using the same steps as for a firmware upgrade. A warning message appears during the downgrade but the downgrade usually works and after the downgrade the cluster continues operating normally with the older firmware image.

Downgrading between some firmware versions, especially if features have changed between the two versions, may not always work without the requirement to fix configuration issues after the downgrade.

Only perform firmware downgrades during maintenance windows and make sure you back up your cluster configuration before the downgrade.

If the firmware downgrade that you are planning may not work without configuration loss or other problems, you can use the following downgrade procedure to make sure your configuration is not lost after the downgrade.

### To downgrade cluster firmware

This example shows how to downgrade the cluster shown in [Figure 5 on page 50](#). The cluster consists of two cluster units (620\_ha\_1 and 620\_ha\_2). The port1 and port2 interfaces are connected networks and the port3 and port4 interfaces are connected together for the HA heartbeat.

This example, describes separating each unit from the cluster and downgrading the firmware for the standalone FortiGate units. There are several ways you could disconnect units from the cluster. This example describes using the disconnect from cluster function described in [“Disconnecting a cluster unit from a cluster” on page 192](#).

- 1 Go to *System > Maintenance > Backup & Restore* and backup the cluster configuration.  
From the CLI use `execute backup config`.
- 2 Go to *System > Config > HA* and for 620\_ha\_1 select *Disconnect from cluster* icon.
- 3 Select the port2 interface and enter an IP address and netmask of 10.11.101.101/24 and select OK.

From the CLI you can enter the following command (FG600B3908600705 is the serial number of the cluster unit) to be able to manage the standalone FortiGate unit by connecting to the port2 interface with IP address and netmask 10.11.101.101/24.

```
execute ha disconnect FG600B3908600705 port2 10.11.101.101/24
```

After 620\_ha\_1 is disconnected, 620\_ha\_2 continues processing traffic.

- 4 Connect to the 620\_ha\_1 web-based manager or CLI using IP address 10.11.101.101/24 and follow normal procedures to downgrade standalone FortiGate unit firmware.
- 5 When the downgrade is complete confirm that the configuration of 620\_ha\_1 is correct.
- 6 Set the HA mode of 620\_ha\_2 to Standalone and follow normal procedures to downgrade standalone FortiGate unit firmware.  
Network communication will be interrupted for a short time during the downgrade.
- 7 When the downgrade is complete confirm that the configuration of 620\_ha\_2 is correct.
- 8 Set the HA mode of 620\_ha\_2 to Active-Passive or the required HA mode.
- 9 Set the HA mode of 620\_ha\_1 to the same mode as 620\_ha\_2.

If you have not otherwise changed the HA settings of the cluster units and if the firmware downgrades have not affected the configurations the units should negotiate and form cluster running the downgraded firmware.

## Backing up and restoring the cluster configuration

You can backup the configuration of the primary unit by logging into the web-based manager or CLI and following normal configuration backup procedures.

The following configuration settings are not synchronized to all cluster units:

- HA override and priority

- The interface configuration of the HA reserved management interface (`config system interface`)
- The HA reserved management interface default route (`ha-mgmt-interface-gateway`)
- The FortiGate unit host name.

To backup these configuration settings for each cluster unit you must log into each cluster unit and backup its configuration.

If you need to restore the configuration of the cluster including the configuration settings that are not synchronized you should first restore the configuration of the primary unit and then restore the configuration of each cluster unit. Alternatively you could log into each cluster unit and manually add the configuration settings that were not restored.

## Monitoring cluster units for failover

If the primary unit in the cluster fails, the units in the cluster renegotiate to select a new primary unit. Failure of the primary unit results in the following:

- If SNMP is enabled, the new primary unit sends HA trap messages. The messages indicate a cluster status change, HA heartbeat failure, and HA member down. For more info about HA and SNMP, see [“Clusters and SNMP” on page 171](#).
- If event logging is enabled and HA activity event is selected, the new primary unit records log messages that show that the unit has become the primary unit. See [“Example log messages” on page 166](#) for some example message sequences when a failover occurs.
- If alert email is configured to send email for HA activity events, the new primary unit sends an alert email containing the log message recorded by the event log.
- The cluster contains fewer FortiGate units. The failed primary unit no longer appears on the Cluster Members list.
- The host name and serial number of the primary unit changes. You can see these changes when you log into the web-based manager or CLI.
- The cluster info displayed on the dashboard, cluster members list or from the `get system ha status` command changes.

If a subordinate unit fails, the cluster continues to function normally. Failure of a subordinate unit results in the following:

- If event logging is enabled and HA activity event is selected, the primary unit records log messages that show that a subordinate has been removed from the cluster. See [“Example log messages” on page 166](#) for some example message sequences.
- If alert email is configured to send email for HA activity events, the new primary unit sends an alert email containing the log message recorded by the event log.
- The cluster contains fewer FortiGate units. The failed unit no longer appears on the Cluster Members list.

## Viewing cluster status from the CLI

Use the `get system ha status` command to display information about an HA cluster. The command displays general HA configuration settings. The command also displays information about how the cluster unit that you have logged into is operating in the cluster.

Usually you would log into the primary unit CLI using SSH or telnet. In this case the `get system ha status` command displays information about the primary unit first, and also displays the HA state of the primary unit (the primary unit operates in the work state). However, if you log into the primary unit and then use the `execute ha manage` command to log into a subordinate unit, (or if you use a console connection to log into a subordinate unit) the `get system status` command displays information about this subordinate unit first, and also displays the HA state of this subordinate unit. The state of a subordinate unit is work for an active-active cluster and standby for an active-passive cluster.

For a virtual cluster configuration, the `get system ha status` command displays information about how the cluster unit that you have logged into is operating in virtual cluster 1 and virtual cluster 2. For example, if you connect to the cluster unit that is the primary unit for virtual cluster 1 and the subordinate unit for virtual cluster 2, the output of the `get system ha status` command shows virtual cluster 1 in the work state and virtual cluster 2 in the standby state. The `get system ha status` command also displays additional information about virtual cluster 1 and virtual cluster 2.

The command display includes the following fields.

Fields	Description
<code>Model</code>	The FortiGate model number.
<code>Mode</code>	The HA mode of the cluster: a-a or a-p.
<code>Group</code>	The group ID of the cluster.
<code>Debug</code>	The debug status of the cluster.
<code>ses_pickup</code>	The status of session pickup: enable or disable.
<code>load balance</code>	The status of the <code>load-balance-all</code> keyword: enable or disable. Relevant to active-active clusters only.
<code>schedule</code>	The active-active load balancing schedule. Relevant to active-active clusters only.
<code>Master</code> <code>Slave</code>	<p><code>Master</code> displays the device priority, host name, serial number, and cluster index of the primary (or master) unit.</p> <p><code>Slave</code> displays the device priority, host name, serial number, and cluster index of the subordinate (or slave, or backup) unit or units.</p> <p>The list of cluster units changes depending on how you log into the CLI. Usually you would use SSH or telnet to log into the primary unit CLI. In this case the primary unit would be at the top the list followed by the other cluster units.</p> <p>If you use <code>execute ha manage</code> or a console connection to log into a subordinate unit CLI, and then enter <code>get system ha status</code> the subordinate unit that you have logged into appears at the top of the list of cluster units.</p>
<code>number of vcluster</code>	The number of virtual clusters. If virtual domains are not enabled, the cluster has one virtual cluster. If virtual domains are enabled the cluster has two virtual clusters.

Fields	Description
<code>vcluster 1</code> Master Slave	<p>The HA state (hello, work, or standby) and HA heartbeat IP address of the cluster unit that you have logged into in virtual cluster 1. If virtual domains are not enabled, <code>vcluster 1</code> displays information for the cluster. If virtual domains are enabled, <code>vcluster 1</code> displays information for virtual cluster 1. The HA heartbeat IP address is 169.254.0.2 if you are logged into the primary unit of virtual cluster 1 and 169.254.0.1 if you are logged into a subordinate unit of virtual cluster 1.</p> <p><code>vcluster 1</code> also lists the primary unit (master) and subordinate units (slave) in virtual cluster 1. The list includes the cluster index and serial number of each cluster unit in virtual cluster 1. The cluster unit that you have logged into is at the top of the list.</p> <p>If virtual domains are not enabled and you connect to the primary unit CLI, the HA state of the cluster unit in virtual cluster 1 is <code>work</code>. The display lists the cluster units starting with the primary unit.</p> <p>If virtual domains are not enabled and you connect to a subordinate unit CLI, the HA state of the cluster unit in virtual cluster 1 is <code>standby</code>. The display lists the cluster units starting with the subordinate unit that you have logged into.</p> <p>If virtual domains are enabled and you connect to the virtual cluster 1 primary unit CLI, the HA state of the cluster unit in virtual cluster 1 is <code>work</code>. The display lists the cluster units starting with the virtual cluster 1 primary unit.</p> <p>If virtual domains are enabled and you connect to the virtual cluster 1 subordinate unit CLI, the HA state of the cluster unit in virtual cluster 1 is <code>standby</code>. The display lists the cluster units starting with the subordinate unit that you are logged into.</p>
<code>vcluster 2</code> Master Slave	<p><code>vcluster 2</code> only appears if virtual domains are enabled. <code>vcluster 2</code> displays the HA state (hello, work, or standby) and HA heartbeat IP address of the cluster unit that you have logged into in virtual cluster 2. The HA heartbeat IP address is 169.254.0.2 if you are logged into the primary unit of virtual cluster 2 and 169.254.0.1 if you are logged into a subordinate unit of virtual cluster 2.</p> <p><code>vcluster 2</code> also lists the primary unit (master) and subordinate units (slave) in virtual cluster 2. The list includes the cluster index and serial number of each cluster unit in virtual cluster 2. The cluster unit that you have logged into is at the top of the list.</p> <p>If you connect to the virtual cluster 2 primary unit CLI, the HA state of the cluster unit in virtual cluster 2 is <code>work</code>. The display lists the cluster units starting with the virtual cluster 2 primary unit.</p> <p>If you connect to the virtual cluster 2 subordinate unit CLI, the HA state of the cluster unit in virtual cluster 2 is <code>standby</code>. The display lists the cluster units starting with the subordinate unit that you are logged into.</p>

## Examples

The following example shows `get system ha status` output for a cluster of two FortiGate-5001SX units operating in active-active mode. The cluster group ID, session pickup, load balance all, and the load balancing schedule are all set to the default values. The device priority of the primary unit is also set to the default value. The device priority of the subordinate unit has been reduced to 100. The host name of the primary unit is 5001\_Slot\_4. The host name of the subordinate unit is 5001\_Slot\_3.

The command output was produced by connecting to the primary unit CLI (host name 5001\_Slot\_4).

```
Model: 5000
Mode: a-a
Group: 0
Debug: 0
ses_pickup: disable
load_balance: disable
schedule: round robin
Master:128 5001_Slot_4      FG50012204400045 1
Slave :100 5001_Slot_3     FG50012205400050 0
number of vcluster: 1
vcluster 1: work 169.254.0.2
Master:0 FG50012204400045
Slave :1 FG50012205400050
```

The following command output was produced by using `execute HA manage 0` to log into the subordinate unit CLI of the cluster shown in the previous example. The host name of the subordinate unit is 5001\_Slot\_3.

```
Model: 5000
Mode: a-a
Group: 0
Debug: 0
ses_pickup: disable
load_balance: disable
schedule: round robin
Slave :100 5001_Slot_3     FG50012205400050 0
Master:128 5001_Slot_4     FG50012204400045 1
number of vcluster: 1
vcluster 1: work 169.254.0.2
Slave :1 FG50012205400050
Master:0 FG50012204400045
```

The following example shows `get system ha status` output for a cluster of three FortiGate-5001 units operating in active-passive mode. The cluster group ID is set to 20 and session pickup is enabled. Load balance all and the load balancing schedule are set to the default value. The device priority of the primary unit is set to 200. The device priorities of the subordinate units are set to 128 and 100. The host name of the primary unit is 5001\_Slot\_5. The host names of the subordinate units are 5001\_Slot\_3 and 5001\_Slot\_4.

```
Model: 5000
Mode: a-p
Group: 20
Debug: 0
ses_pickup: enable
load_balance: disable
schedule: round robin
```

```

Master:200 5001_Slot_5      FG50012206400112 0
Slave :100 5001_Slot_3      FG50012205400050 1
Slave :128 5001_Slot_4      FG50012204400045 2
number of vcluster: 1
vcluster 1: work 169.254.0.1
Master:0 FG50012206400112
Slave :1 FG50012204400045
Slave :2 FG50012205400050

```

The following example shows `get system ha status` output for a cluster of two FortiGate-5001 units with virtual clustering enabled. This command output was produced by logging into the primary unit for virtual cluster 1 (hostname: 5001\_Slot\_4, serial number FG50012204400045).

The virtual clustering output shows that the cluster unit with host name 5001\_Slot\_4 and serial number FG50012204400045 is operating as the primary unit for virtual cluster 1 and the subordinate unit for virtual cluster 2.

For virtual cluster 1 the cluster unit that you have logged into is operating in the work state and the serial number of the primary unit for virtual cluster 1 is FG50012204400045. For virtual cluster 2 the cluster unit that you have logged into is operating in the standby state and the serial number of the primary unit for virtual cluster 2 is FG50012205400050.

```

Model: 5000
Mode: a-p
Group: 20
Debug: 0
ses_pickup: enable
load_balance: disable
schedule: round robin
Master:128 5001_Slot_4      FG50012204400045 1
Slave :100 5001_Slot_3      FG50012205400050 0
number of vcluster: 2
vcluster 1: work 169.254.0.2
Master:0 FG50012204400045
Slave :1 FG50012205400050
vcluster 2: standby 169.254.0.1
Slave :1 FG50012204400045
Master:0 FG50012205400050

```

The following example shows `get system ha status` output for the same cluster as shown in the previous example after using `execute ha manage 0` to log into the primary unit for virtual cluster 2 (hostname: 5001\_Slot\_3, serial number FG50012205400050).

```

Model: 5000
Mode: a-p
Group: 20
Debug: 0
ses_pickup: enable
load_balance: disable
schedule: round robin
Slave :100 5001_Slot_3      FG50012205400050 0
Master:128 5001_Slot_4      FG50012204400045 1
number of vcluster: 2
vcluster 1: standby 169.254.0.2
Slave :1 FG50012205400050
Master:0 FG50012204400045

```



```
vcluster 2: work 169.254.0.1
Master:0 FG50012205400050
Slave :1 FG50012204400045
```

The following example shows `get system ha status` output for a virtual cluster configuration where the cluster unit with hostname: 5001\_Slot\_4 and serial number FG50012204400045 is the primary unit for both virtual clusters. This command output is produced by logging into cluster unit with host name 5001\_Slot\_4 and serial number FG50012204400045.

```
Model: 5000
Mode: a-p
Group: 20
Debug: 0
ses_pickup: enable
load_balance: disable
schedule: round robin
Master:128 5001_Slot_4      FG50012204400045 1
Slave :100 5001_Slot_3     FG50012205400050 0
number of vcluster: 2
vcluster 1: work 169.254.0.2
Master:0 FG50012204400045
Slave :1 FG50012205400050
vcluster 2: work 169.254.0.2
Master:0 FG50012204400045
Slave :1 FG50012205400050
```

## About the HA cluster index and the execute ha manage command

When a cluster starts up, the FortiGate Cluster Protocol (FGCP) assigns a cluster index and a HA heartbeat IP address to each cluster unit based on the serial number of the cluster unit. The FGCP selects the cluster unit with the highest serial number to become the primary unit. The FGCP assigns a cluster index of 0 and an HA heartbeat IP address of 169.254.0.1 to this unit. The FGCP assigns a cluster index of 1 and an HA heartbeat IP address of 169.254.0.2 to the cluster unit with the second highest serial number. If the cluster contains more units, the cluster unit with the third highest serial number is assigned a cluster index of 2 and an HA heartbeat IP address of 169.254.0.3, and so on. You can display the cluster index assigned to each cluster unit using the `get system ha status` command. Also when you use the `execute ha manage` command you select a cluster unit to log into by entering its cluster index.

The cluster index and HA heartbeat IP address only change if a unit leaves the cluster or if a new unit joins the cluster. When one of these events happens, the FGCP resets the cluster index and HA heartbeat IP address of each cluster unit according to serial number in the same way as when the cluster first starts up.

Each cluster unit keeps its assigned cluster index and HA heartbeat IP address even as the units take on different roles in the cluster. After the initial cluster index and HA heartbeat IP addresses are set according to serial number, the FGCP checks other primary unit selection criteria such as device priority and monitored interfaces. Checking these criteria could result in selecting a cluster unit without the highest serial number to operate as the primary unit.

Even if the cluster unit without the highest serial number now becomes the primary unit, the cluster indexes and HA heartbeat IP addresses assigned to the individual cluster units do not change. Instead the FGCP assigns a second cluster index, which could be called the operating cluster index, to reflect this role change. The operating cluster index is 0 for the primary unit and 1 and higher for the other units in the cluster. By default both



sets of cluster indexes are the same. But if primary unit selection selects the cluster unit that does not have the highest serial number to be the primary unit then this cluster unit is assigned an operating cluster index of 0. The operating cluster index is used by the FGCP only. You can display the operating cluster index assigned to each cluster unit using the `get system ha status` command. There are no CLI commands that reference the operating cluster index.



Even though there are two cluster indexes there is only one HA heartbeat IP address and the HA heartbeat address is not affected by a change in the operating cluster index.

## Using the `execute ha manage` command

When you use the CLI command `execute ha manage <index_integer>` to connect to the CLI of another cluster unit, the `<index_integer>` that you enter is the cluster index of the unit that you want to connect to.

## Using `get system ha status` to display cluster indexes

You can display the cluster index assigned to each cluster unit using the CLI command `get system ha status`. The following example shows the information displayed by the `get system ha status` command for a cluster consisting of two FortiGate-5001SX units operating in active-passive HA mode with virtual domains not enabled and without virtual clustering.

```
get system ha status
Model: 5000
Mode: a-p
Group: 0
Debug: 0
ses_pickup: disable
Master:128 5001_slot_7 FG50012205400050 0
Slave :128 5001_slot_11 FG50012204400045 1
number of vcluster: 1
vcluster 1: work 169.254.0.1
Master:0 FG50012205400050
Slave :1 FG50012204400045
```

In this example, the cluster unit with serial number FG50012205400050 has the highest serial number and so has a cluster index of 0 and the cluster unit with serial number FG50012204400045 has a cluster index of 1. From the CLI of the primary (or master) unit of this cluster you can connect to the CLI of the subordinate (or slave) unit using the following command:

```
execute ha manage 1
```

This works because the cluster unit with serial number FG50012204400045 has a cluster index of 1.

The `get system ha status` command output shows two similar lists of indexes and serial numbers. The listing on the sixth and seventh lines of the command output are the cluster indexes assigned according to cluster unit serial number. These are the cluster indexes that you enter when using the `execute ha manage` command. The cluster indexes shown in the last two lines of the command output are the operating cluster indexes that reflect how the cluster units are actually operating in the cluster. In this example both sets of cluster indexes are the same.

The last three lines of the command output display the status of vcluster 1. In a cluster consisting of two cluster units operating without virtual domains enabled all clustering actually takes place in virtual cluster 1. HA is designed to work this way to support virtual clustering. If this cluster was operating with virtual domains enabled, adding virtual cluster 2 is similar to adding a new copy of virtual cluster 1. Virtual cluster 2 is visible in the `get system ha status` command output when you add virtual domains to virtual cluster 2.

The HA heartbeat IP address displayed on line 8 is the HA heartbeat IP address of the cluster unit that is actually operating as the primary unit. For a default configuration this IP address will always be 169.254.0.1 because the cluster unit with the highest serial number will be the primary unit. This IP address changes if the operating primary unit is not the primary unit with the highest serial number.

### Example: actual and operating cluster indexes do not match

This example shows `get system ha status` command output for same cluster of two FortiGate-5001SX units. However, in this example the device priority of the cluster unit with the serial number FG50012204400045 is increased to 200. As a result the cluster unit with the lowest serial number becomes the primary unit. This means the actual and operating cluster indexes of the cluster units do not match.

```
get system ha status
Model: 5000
Mode: a-p
Group: 0
Debug: 0
ses_pickup: disable
Master:128 5001_slot_7 FG50012205400050 0
Slave :200 5001_slot_11 FG50012204400045 1
number of vcluster: 1
vcluster 1: work 169.254.0.2
Master:1 FG50012205400050
Slave :0 FG50012204400045
```

The actual cluster indexes have not changed but the operating cluster indexes have. Also, the HA heartbeat IP address displayed for vcluster 1 has changed to 169.254.0.2.

### Virtual clustering example output

The `get system ha status` command output is the same if a cluster is operating with virtual clustering turned on but with all virtual domains in virtual cluster 1. The following `get system ha status` command output example shows the same cluster operating as a virtual cluster with virtual domains in virtual cluster 1 and added to virtual cluster 2. In this example the cluster unit with serial number FG50012204400045 is the primary unit for virtual cluster 1 and the cluster unit with serial number FG50012205400050 is the primary unit for virtual cluster 2.

```
get system ha status
Model: 5000
Mode: a-p
Group: 0
Debug: 0
ses_pickup: disable
Master:128 5001_slot_7 FG50012205400050 0
Slave :200 5001_slot_11 FG50012204400045 1
number of vcluster: 2
vcluster 1: work 169.254.0.2
```

```
Master:1 FG50012205400050
Slave :0 FG50012204400045
vcluster 2: standby 169.254.0.1
Master:0 FG50012205400050
Slave :1 FG50012204400045
```

This example shows three sets of indexes. The indexes in lines six and seven are still used by the `execute ha manage` command. The indexes on lines ten and eleven are for the primary and subordinate units in virtual cluster 1 and the indexes on the last two lines are for virtual cluster 2.

## Managing individual cluster units

The following procedure describes how to use SSH to log into the primary unit CLI and from there to use the `execute ha manage` command to connect to the CLI of any other unit in the cluster. The procedure is very similar if you use telnet, or the web-based manager dashboard CLI console.

You can use the `execute ha manage` command from the CLI of any cluster unit to log into the CLI of another the cluster unit. Usually you would use this command from the CLI of the primary unit to log into the CLI of a subordinate unit. However, if you have logged into a subordinate unit CLI, you can use this command to log into the primary unit CLI, or the CLI of another subordinate unit.

Using SSH or telnet or the web-based manager dashboard CLI console you can only log into the primary unit CLI. Using a direct console connection you can log into any cluster unit. In both cases you can use `execute ha manage` to connect to the CLI of other cluster units.



You log into the subordinate unit using the FGT\_ha\_admin administrator account. This built-in administrator account gives you read and write permission on the subordinate unit. Normally this built-in administrative account is not visible, however FGT\_ha\_admin does appear in event log messages.

- 1 Use SSH to connect to the cluster and log into the primary unit CLI.  
Connect to any cluster interface configured for SSH administrative access to log into the cluster.
- 2 Enter the following command followed by a space and type a question mark (?):  
`execute ha manage`  
The CLI displays a list of all the subordinate units in the cluster. Each cluster unit is numbered, starting at 1. The information displayed for each cluster unit includes the unit serial number and the host name of the unit.
- 3 Complete the command with the number of the subordinate unit to log into. For example, to log into subordinate unit 1, enter the following command:  
`execute ha manage 1`  
Press Enter to connect to and log into the CLI of the selected subordinate unit. If this subordinate unit has a different host name, the CLI prompt changes to this host name. You can use CLI commands to manage this subordinate unit. If you make changes to the configuration of any cluster unit (primary or subordinate unit) these changes are synchronized to all cluster units.
- 4 You can now use the `execute ha manage` command to connect to any other cluster unit (including the primary unit). You can also use the `exit` command to return to the primary unit CLI.

## Disconnecting a cluster unit from a cluster

Use the following procedures to disconnect a cluster unit from a functioning cluster without disrupting the operation of the cluster. You can disconnect a cluster unit if you need to use the disconnected FortiGate unit for another purpose, such as to act as a standalone firewall.

You can use the following procedures for a standard cluster and for a virtual clustering configuration. To use the following procedures from a virtual cluster you must be logged in as the admin administrator and you must have selected Global Configuration.

When you disconnect a cluster unit you must assign an IP address and netmask to one of the interfaces of the disconnected unit. You can disconnect any unit from the cluster even the primary unit. After the unit is disconnected, the cluster responds as if the disconnected unit has failed. The cluster may renegotiate and may select a new primary unit.

When the cluster unit is disconnected the HA mode is changed to standalone. In addition, all interface IP addresses of the disconnected unit are set to 0.0.0.0 except for the interface that you configure.

Otherwise the configuration of the disconnected unit is not changed. The HA configuration of the disconnected unit is not changed either (except to change the HA mode to Standalone).

### To disconnect a cluster unit from a cluster - web-based manager

- 1 Go to *System > Config > HA* to view the cluster members list.
- 2 Select the Disconnect from cluster icon for the cluster unit to disconnect from the cluster.
- 3 Select the interface that you want to configure. You also specify the IP address and netmask for this interface. When the FortiGate unit is disconnected, all management access options are enabled for this interface.
- 4 Specify an IP address and netmask for the interface. You can use this IP address to connect to the interface to configure the disconnected FortiGate unit.
- 5 Select OK.

The FortiGate unit is disconnected from the cluster and the cluster may renegotiate and select a new primary unit. The selected interface of the disconnected unit is configured with the specified IP address and netmask.

### To disconnect a cluster unit from a cluster - CLI

- 1 Enter the following command to disconnect a cluster unit with serial number FGT5002803033050. The internal interface of the disconnected unit is set to IP address 1.1.1.1 and netmask 255.255.255.0.

```
execute ha disconnect FGT5002803033050 internal 1.1.1.1
255.255.255.0
```

## Adding a disconnected FortiGate unit back to its cluster

If you disconnect a FortiGate unit from a cluster, you can re-connect the disconnected FortiGate unit to the cluster by setting the HA mode of the disconnected unit to match the HA mode of the cluster. Usually the disconnected unit rejoins the cluster as a subordinate unit and the cluster automatically synchronizes its configuration.



You do not have to change the HA password on the disconnected unit unless the HA password has been changed after the unit was disconnected. Disconnecting a unit from a cluster does not change the HA password.



You should make sure that the device priority of the disconnected unit is lower than the device priority of the current primary unit. You should also make sure that the HA `override` CLI option is not enabled on the disconnected unit. Otherwise, when the disconnected unit joins the cluster, the cluster will renegotiate and the disconnected unit may become the primary unit. If this happens, the configuration of the disconnected unit is synchronized to all other cluster units. This configuration change might disrupt the operation of the cluster.

The following procedure assumes that the disconnected FortiGate unit is correctly physically connected to your network and to the cluster but is not running in HA mode and not part of the cluster.

Before you start this procedure you should note the device priority of the primary unit.

### To add a disconnected FortiGate unit back to its cluster - web-based manager

- 1 Log into the disconnected FortiGate unit.  
If virtual domains are enabled, log in as the admin administrator and select Global Configuration.
- 2 Go to *System > Config > HA*.
- 3 Change Mode to match the mode of the cluster.
- 4 If required, change the group name and password to match the cluster.
- 5 Set the Device Priority lower than the device priority of the primary unit.
- 6 Select OK.

The disconnected FortiGate unit joins the cluster.

### To add a disconnected FortiGate unit back to its cluster - CLI

- 1 Log into the CLI of the FortiGate unit to be added back to the cluster.
- 2 Enter the following command to access the global configuration and add the FortiGate unit back to a cluster operating in active-passive mode and set the device priority to 50 (a low number) so that this unit will not become the primary unit:

```
config global
  config system ha
    set mode a-p
    set priority 50
  end
end
```

You may have to also change the group name, group id and password. However if you have not changed these for the cluster or the FortiGate unit after it was disconnected from the cluster you should not have to adjust them now.





# HA and failover protection

In FortiGate active-passive HA, the FortiGate Clustering Protocol (FGCP) provides failover protection. This means that an active-passive cluster can provide FortiGate services even when one of the cluster units encounters a problem that would result in complete loss of connectivity for a stand-alone FortiGate unit. This failover protection provides a backup mechanism that can be used to reduce the risk of unexpected downtime, especially in a mission-critical environment.

The FGCP supports three kinds of failover protection. Device failover automatically replaces a failed device and restarts traffic flow with minimal impact on the network. Link failover maintains traffic flow if a link fails. Session failover resumes communication sessions with minimal loss of data if a device or link failover occurs.

This chapter describes how FGCP failover protection works and provides detailed NAT/Route and Transparent mode packet flow descriptions.

This chapter contains the following sections:

- [About active-passive failover](#)
- [About active-active failover](#)
- [Device failover](#)
- [HA heartbeat and communication between cluster units](#)
- [Cluster virtual MAC addresses](#)
- [Synchronizing the configuration](#)
- [Synchronizing routing table updates](#)
- [Synchronizing IPsec VPN SAs](#)
- [Link failover](#)
- [Subsecond failover](#)
- [Remote link failover](#)
- [Session failover \(session pick-up\)](#)
- [WAN optimization and HA](#)
- [Failover and attached network equipment](#)
- [Monitoring cluster units for failover](#)
- [NAT/Route mode active-passive cluster packet flow](#)
- [Transparent mode active-passive cluster packet flow](#)
- [Failover performance](#)

## About active-passive failover

To achieve failover protection in an active-passive cluster, one of the cluster units functions as the primary unit, while the rest of the cluster units are subordinate units, operating in an active stand-by mode. The cluster IP addresses and HA virtual MAC addresses are associated with the cluster interfaces of the primary unit. All traffic directed at the cluster is actually sent to and processed by the primary unit.

While the cluster is functioning, the primary unit functions as the FortiGate network security device for the networks that it is connected to. In addition, the primary unit and subordinate units use the HA heartbeat to keep in constant communication. The subordinate units report their status to the cluster unit and receive and store connection and state table updates.

## Device failure

If the primary unit encounters a problem that is severe enough to cause it to fail, the remaining cluster units negotiate to select a new primary unit. This occurs because all of the subordinate units are constantly waiting to negotiate to become primary units. Only the heartbeat packets sent by the primary unit keep the subordinate units from becoming primary units. Each received heartbeat packet resets negotiation timers in the subordinate units. If this timer is allowed to run out because the subordinate units do not receive heartbeat packets from the primary unit, the subordinate units assume that the primary unit has failed, and negotiate to become primary units themselves.

Using the same FCGP negotiation process that occurs when the cluster starts up, after they determine that the primary unit has failed, the subordinate units negotiate amongst themselves to select a new primary unit. The subordinate unit that wins the negotiation becomes the new primary unit with the same MAC and IP addresses as the former primary unit. The new primary unit then sends gratuitous ARP packets out all of its interfaces to inform attached switches to send traffic to the new primary unit. Sessions then resume with the new primary unit.

## Link failure

If a primary unit interface fails or is disconnected while a cluster is operation, a link failure occurs. When a link failure occurs the cluster units negotiate to select a new primary unit. Since the primary unit has not stopped operating, it participates in the negotiation. The link failure means that a new primary unit must be selected and the cluster unit with the link failure joins the cluster as a subordinate unit.

Just as for a device failover, the new primary unit sends gratuitous arp packets out all of its interfaces to inform attached switches to send traffic to it. Sessions then resume with the new primary unit.

If a subordinate unit experiences a device failure its status in the cluster does not change. However, in future negotiations a cluster unit with a link failure is unlikely to become the primary unit.

## Session failover

If you enable session failover (also called session pickup) for the cluster, during cluster operation the primary unit informs the subordinate units of changes to the primary unit connection and state tables, keeping the subordinate units up-to-date with the traffic currently being processed by the cluster.

After a failover the new primary unit recognizes open sessions that were being handled by the cluster. The sessions continue to be processed by the new primary unit and are handled according to their last known state.

If you leave session pickup disabled, the cluster does not keep track of sessions and after a failover, active sessions have to be restarted or resumed.



## Primary unit recovery

If a primary unit recovers after a device or link failure, it will operate as a subordinate unit, unless the `override` CLI keyword is enabled and its device priority is set higher than the unit priority of other cluster units (see [“HA override” on page 36](#)).

## About active-active failover

HA failover in a cluster running in active-active mode is similar to active-passive failover described above. Active-active subordinate units are constantly waiting to negotiate to become primary units and, if session failover is enabled, continuously receive connection state information from the primary unit. If the primary unit fails, or one of the primary unit interfaces fails, the cluster units use the same mechanisms to detect the failure and to negotiate to select a new primary unit. If session failover is enabled, the new primary unit also maintains communication sessions through the cluster using the shared connection state table.

Active-active HA load balances sessions among all cluster units. For session failover, the cluster must maintain all of these sessions. To load balance sessions, the functioning cluster uses a load balancing schedule to distribute sessions to all cluster units. The shared connection state table tracks the communication sessions being processed by all cluster units (not just the primary unit). After a failover, the new primary unit uses the load balancing schedule to re-distribute all of the communication sessions recorded in the shared connection state table among all of the remaining cluster units. The connections continue to be processed by the cluster, but possibly by a different cluster unit, and are handled according to their last known state.

## Device failover

The FGCP provides transparent device failover. Device failover is a basic requirement of any highly available system. Device failover means that if a device fails, a replacement device automatically takes the place of the failed device and continues operating in the same manner as the failed device.

In the case of FortiOS HA, the device is the primary unit. If the primary unit fails, device failover ensures that one of the subordinate units in the cluster automatically takes the place of the primary unit and can continue processing network traffic in the same way as the failed primary unit.



Device failover does not maintain communication sessions. After a device failover, communication sessions have to be restarted. To maintain communication sessions, you must enable session failover. See [“Session failover \(session pick-up\)” on page 233](#).

FortiGate HA device failover is supported by the HA heartbeat, virtual MAC addresses, configuration synchronization, route synchronization and IPsec VPN SA synchronization.

The HA heartbeat makes sure that the subordinate units detect a primary unit failure. If the primary unit fails to respond on time to HA heartbeat packets the subordinate units assume that the primary unit has failed and negotiate to select a new primary unit.

The new primary unit takes the place of the failed primary unit and continues functioning in the same way as the failed primary unit. For the new primary unit to continue functioning like the failed primary unit, the new primary unit must be able to reconnect to network devices and the new primary unit must have the same configuration as the failed primary unit.

FortiGate HA uses virtual MAC addresses to reconnect the new primary unit to network devices. The FGCP causes the new primary unit interfaces to acquire the same virtual MAC addresses as the failed primary unit. As a result, the new primary unit has the same network identity as the failed primary unit.

The new primary unit interfaces have different physical connections than the failed primary unit. Both the failed and the new primary unit interfaces are connected to the same switches, but the new primary unit interfaces are connected to different ports on these switches. To make sure that the switches send packets to the new primary unit, the new primary unit interfaces send gratuitous ARP packets to the connected switches. These gratuitous ARP packets notify the switches that the primary unit MAC and IP addresses are on different switch ports and cause the switches to send packets to the ports connected to the new primary unit. In this way, the new primary unit continues to receive packets that would otherwise have been sent to the failed primary unit.

Configuration synchronization means that the new primary unit always has the same configuration as the failed primary unit. As a result the new primary unit operates in exactly the same way as the failed primary unit. If configuration synchronization were not available the new primary unit may not process network traffic in the same way as the failed primary unit.

Route synchronization synchronizes the primary unit routing table to all subordinate units so that after a failover the new primary unit does not have to form a completely new routing table. IPsec VPN SA synchronization synchronizes IPsec VPN security associations (SAs) and other IPsec session data so that after a failover the new primary unit can resume IPsec tunnels without having to establish new SAs.

## HA heartbeat and communication between cluster units

The HA heartbeat keeps cluster units communicating with each other. The heartbeat consists of hello packets that are sent at regular intervals by the heartbeat interface of all cluster units. These hello packets describe the state of the cluster unit and are used by other cluster units to keep all cluster units synchronized.

HA heartbeat packets are non-TCP packets that use Ethertype values 0x8890, 0x8891, and 0x8890. The default time interval between HA heartbeats is 200 ms. The FGCP uses link-local IP4 addresses in the 169.254.0.x range for HA heartbeat interface IP addresses.

For best results, isolate the heartbeat devices from your user networks by connecting the heartbeat devices to a separate switch that is not connected to any network. If the cluster consists of two FortiGate units you can connect the heartbeat device interfaces directly using a crossover cable. Heartbeat packets contain sensitive information about the cluster configuration. Heartbeat packets may also use a considerable amount of network bandwidth. For these reasons, it is preferable to isolate heartbeat packets from your user networks.

On startup, a FortiGate unit configured for HA operation broadcasts HA heartbeat hello packets from its HA heartbeat interface to find other FortiGate units configured to operate in HA mode. If two or more FortiGate units operating in HA mode connect with each other, they compare HA configurations (HA mode, HA password, and HA group ID). If the HA configurations match, the units negotiate to form a cluster.

While the cluster is operating, the HA heartbeat confirms that all cluster units are functioning normally. The heartbeat also reports the state of all cluster units, including the communication sessions that they are processing.

## Heartbeat interfaces

A heartbeat interface is an Ethernet network interface in a cluster that is used by the FGCP for HA heartbeat communications between cluster units.

To change the HA heartbeat configuration go to *System > Config > HA* and select the *FortiGate interfaces to use as HA heartbeat interfaces*.

From the CLI enter the following command to make port4 and port5 HA heartbeat interfaces and give both interfaces a heartbeat priority of 150:

```
config system ha
  set hbdev port4 150 port5 150
end
```

The following example shows how to change the default heartbeat interface configuration so that the port4 and port1 interfaces can be used for HA heartbeat communication and to give the port4 interface the highest heartbeat priority so that port4 is the preferred HA heartbeat interface.

```
config system ha
  set hbdev port4 100 port1 50
end
```

By default, for most FortiGate models two interfaces are configured to be heartbeat interfaces. You can change the heartbeat interface configuration as required. For example you can select additional or different heartbeat interfaces. You can also select only one heartbeat interface.

In addition to selecting the heartbeat interfaces, you also set the *Priority* for each heartbeat interface. In all cases, the heartbeat interface with the highest priority is used for all HA heartbeat communication. If the interface fails or becomes disconnected, the selected heartbeat interface that has the next highest priority handles all heartbeat communication.

If more than one heartbeat interface has the same priority, the heartbeat interface with the highest priority that is also highest in the heartbeat interface list is used for all HA heartbeat communication. If this interface fails or becomes disconnected, the selected heartbeat interface with the highest priority that is next highest in the list handles all heartbeat communication.

The default heartbeat interface configuration sets the priority of two heartbeat interfaces to 50. You can accept the default heartbeat interface configuration if one or both of the default heartbeat interfaces are connected. You can select different heartbeat interfaces, select more heartbeat interfaces and change heartbeat priorities according to your requirements.

For the HA cluster to function correctly, you must select at least one heartbeat interface and this interface of all of the cluster units must be connected together. If heartbeat communication is interrupted and cannot failover to a second heartbeat interface, the cluster units will not be able to communicate with each other and more than one cluster unit may become a primary unit. As a result the cluster stops functioning normally because multiple devices on the network may be operating as primary units with the same IP and MAC addresses creating a kind of split brain scenario.

The heartbeat interface priority range is 0 to 512. The default priority when you select a new heartbeat interface is 0. The higher the number the higher the priority.

In most cases you can maintain the default heartbeat interface configuration as long as you can connect the heartbeat interfaces together. Configuring HA heartbeat interfaces is the same for virtual clustering and for standard HA clustering.

You can enable heartbeat communications for physical interfaces, but not for VLAN subinterfaces, IPsec VPN interfaces, redundant interfaces, or for 802.3ad aggregate interfaces. You cannot select these types of interfaces in the heartbeat interface list.

Selecting more heartbeat interfaces increases reliability. If a heartbeat interface fails or is disconnected, the HA heartbeat fails over to the next heartbeat interface.

You can select up to 8 heartbeat interfaces. This limit only applies to FortiGate units with more than 8 physical interfaces.

HA heartbeat traffic can use a considerable amount of network bandwidth. If possible, enable HA heartbeat traffic on interfaces used only for HA heartbeat traffic or on interfaces connected to less busy networks.

## Connecting HA heartbeat interfaces

For most FortiGate models if you do not change the heartbeat interface configuration, you can isolate the default heartbeat interfaces of all of the cluster units by connecting them all to the same switch. Use one switch per heartbeat interface. If the cluster consists of two units you can connect the heartbeat interfaces together using crossover cables. For an example of how to connect heartbeat interfaces, see [“Connecting a FortiGate HA cluster” on page 24](#).

HA heartbeat and data traffic are supported on the same cluster interface. In NAT/Route mode, if you decide to use heartbeat interfaces for processing network traffic or for a management connection, you can assign the interface any IP address. This IP address does not affect HA heartbeat traffic.

In Transparent mode, you can connect the heartbeat interface to your network and enable management access. You would then establish a management connection to the interface using the Transparent mode management IP address. This configuration does not affect HA heartbeat traffic.

## Heartbeat interfaces and FortiGate switch interfaces

You can configure a FortiGate interface that contains an internal switch as an HA heartbeat interface. However this configuration is not recommended for two reasons:

- For security reasons and to save network bandwidth you should keep HA heartbeat traffic off of your internal network, and internal switch interfaces are usually intended to be connected to your internal network.
- Heartbeat packets may be lost if the switch interface is processing high volumes of traffic. Losing heartbeat packets may lead to unnecessary and repeated failovers.

## Heartbeat packets and heartbeat interface selection

HA heartbeat hello packets are constantly sent by all of the enabled heartbeat interfaces. Using these hello packets, each cluster unit confirms that the other cluster units are still operating. The FGCP selects one of the heartbeat interfaces to be used for communication between the cluster units. The FGCP selects the heartbeat interface for heartbeat communication based on the linkfail states of the heartbeat interfaces, on the priority of the heartbeat interfaces, and on the interface index.

The FGCP checks the linkfail state of all heartbeat interfaces to determine which ones are connected. The FGCP selects one of these connected heartbeat interfaces to be the one used for heartbeat communication. The FGCP selects the connected heartbeat interface with the highest priority for heartbeat communication.

If more than one connected heartbeat interface has the highest priority the FGCP selects the heartbeat interface with the lowest interface index. The web-based manager lists the FortiGate unit interfaces in alphabetical order. This order corresponds to the interface index order with lowest index at the top and highest at the bottom. If more than one heartbeat interface has the highest priority, the FGCP selects the interface that is highest in the heartbeat interface list (or first in alphabetical order) for heartbeat communication.

If the interface that is processing heartbeat traffic fails or becomes disconnected, the FGCP uses the same criteria to select another heartbeat interface for heartbeat communication. If the original heartbeat interface is fixed or reconnected, the FGCP again selects this interface for heartbeat communication.

The HA heartbeat communicates cluster session information, synchronizes the cluster configuration, synchronizes the cluster routing table, and reports individual cluster member status. The HA heartbeat constantly communicates HA status information to make sure that the cluster is operating properly.

## Interface index and display order

The web-based manager and CLI display interface names in alphanumeric order. For example, the sort order for a FortiGate unit with 10 interfaces (named port1 through port10) places port10 at the bottom of the list:

- port1
- port2 through 9
- port10

However, interfaces are indexed in hash map order, rather than purely by alphabetic order or purely by interface number value comparisons. As a result, the list is sorted primarily alphabetical by interface name (for example, base1 is before port1), then secondarily by index numbers:

- port1
- port10
- port2 through port9

## HA heartbeat interface IP addresses

The FGCP uses link-local IP4 addresses ([RFC 3927](#)) in the 169.254.0.x range for HA heartbeat interface IP addresses and for inter-VDOM link interface IP addresses. When a cluster initially starts up, the primary unit heartbeat interface IP address is 169.254.0.1. Subordinate units are assigned heartbeat interface IP addresses in the range 169.254.0.2 to 169.254.0.63. HA inter-VDOM link interfaces on the primary unit are assigned IP addresses 169.254.0.65 and 169.254.0.66.

The ninth line of the following CLI command output shows the HA heartbeat interface IP address of the primary unit.

```
get system ha status
Model: 620
Mode: a-p
Group: 0
Debug: 0
ses_pickup: disable
Master:150 head_office_upper FG600B3908600825 1
Slave :150 head_office_lower FG600B3908600705 0
number of vcluster: 1
vcluster 1: work 169.254.0.1
```

```
Master:0 FG600B3908600825
Slave :1 FG600B3908600705
```

You can also use the `execute traceroute` command from the subordinate unit CLI to display HA heartbeat IP addresses and the HA inter-VDOM link IP addresses. For example, use `execute ha manage 1` to connect to the subordinate unit CLI and then enter the following command to trace the route to an IP address on your network:

```
execute traceroute 172.20.20.10
traceroute to 172.20.20.10 (172.20.20.10), 32 hops max, 72 byte packets
 1  169.254.0.1  0 ms  0 ms  0 ms
 2  169.254.0.66 0 ms  0 ms  0 ms
 3  172.20.20.10 0 ms  0 ms  0 ms
```

Both HA heartbeat and data traffic are supported on the same FortiGate interface. All heartbeat communication takes place on a separate VDOM called `vsys_ha`. Heartbeat traffic uses a virtual interface called `port_ha` in the `vsys_ha` VDOM. Data and heartbeat traffic use the same physical interface, but they're logically separated into separate VDOMs.

## Heartbeat packet Ethertypes

Normal IP packets are 802.3 packets that have an Ethernet type (Ethertype) field value of 0x0800. Ethertype values other than 0x0800 are understood as level2 frames rather than IP packets.

By default, HA heartbeat packets use the following Ethertypes:

- HA heartbeat packets for NAT/Route mode clusters use Ethertype 0x8890. These packets are used by cluster units to find other cluster units and to verify the status of other cluster units while the cluster is operating. You can change the Ethertype of these packets using the `ha-eth-type` option of the `config system ha` command.
- HA heartbeat packets for Transparent mode clusters use Ethertype 0x8891. These packets are used by cluster units to find other cluster units and to verify the status of other cluster units while the cluster is operating. You can change the Ethertype of these packets using the `hc-eth-type` option of the `config system ha` command.
- HA telnet sessions between cluster units over HA heartbeat links use Ethertype 0x8893. The telnet sessions are used to synchronize the cluster configurations. Telnet sessions are also used when an administrator uses the `execute ha manage` command to connect from one cluster unit CLI to another. You can change the Ethertype of these packets using the `l2ep-eth-type` option of the `config system ha` command.

Because heartbeat packets are recognized as level2 frames, the switches and routers on your heartbeat network that connect to heartbeat interfaces must be configured to allow them. If level2 frames are dropped by these network devices, heartbeat traffic will not be allowed between the cluster units.

Some third-party network equipment may use packets with these Ethertypes for other purposes. For example, Cisco N5K/Nexus switches use Ethertype 0x8890 for some functions. When one of these switches receives Ethertype 0x8890 packets from an attached cluster unit, the switch generates CRC errors and the packets are not forwarded. As a result, FortiGate units connected with these switches cannot form a cluster.

In some cases, if the heartbeat interfaces are connected and configured so regular traffic flows but heartbeat traffic is not forwarded, you can change the configuration of the switch that connects the HA heartbeat interfaces to allow level2 frames with Ethertypes 0x8890, 0x8893, and 0x8891 to pass.

Alternatively, you can use the following CLI options to change the Ethertypes of the HA heartbeat packets:

```
config system ha
    set ha-eth-type <ha_ethertype_4-digit_hex>
    set hc-eth-type <hc_ethertype_4-digit_hex>
    set l2ep-eth-type <l2ep_ethertype_4-digit_hex>
end
```

For example, use the following command to change the Ethertype of the HA heartbeat packets from 0x8890 to 0x8895 and to change the Ethertype of HA Telnet session packets from 0x8891 to 0x889f:

```
config system ha
    set ha-eth-type 8895
    set l2ep-eth-type 889f
end
```

## Modifying heartbeat timing

In an HA cluster, if a cluster unit CPU becomes very busy, the cluster unit may not be able to send heartbeat packets on time. If heartbeat packets are not sent on time other units in the cluster may think that the cluster unit has failed and the cluster will experience a failover.

A cluster unit CPU may become very busy if the cluster is subject to a syn flood attack, if network traffic is very heavy, or for other similar reasons. You can use the following CLI commands to configure how the cluster times HA heartbeat packets:

```
config system ha
    set hb-interval <interval_integer>
    set hb-lost-threshold <threshold_integer>
    set helo-holddown <holddown_integer>
end
```

## Changing the lost heartbeat threshold

The lost heartbeat threshold is the number of consecutive heartbeat packets that are not received from another cluster unit before assuming that the cluster unit has failed. The default value is 6, meaning that if the 6 heartbeat packets are not received from a cluster unit then that cluster unit is considered to have failed. The range is 1 to 60 packets.

If the primary unit does not receive a heartbeat packet from a subordinate unit before the heartbeat threshold expires, the primary unit assumes that the subordinate unit has failed.

If a subordinate unit does not receive a heartbeat packet from the primary unit before the heartbeat threshold expires, the subordinate unit assumes that the primary unit has failed. The subordinate unit then begins negotiating to become the new primary unit.

The lower the `hb-lost-threshold` the faster a cluster responds when a unit fails. However, sometimes heartbeat packets may not be sent because a cluster unit is very busy. This can lead to a false positive failure detection. To reduce these false positives you can increase the `hb-lost-threshold`.

Use the following CLI command to increase the lost heartbeat threshold to 12:

```
config system ha
```



```
set hb-lost-threshold 12
end
```

## Changing the heartbeat interval

The heartbeat interval is the time between sending HA heartbeat packets. The heartbeat interval range is 1 to 20 (100\*ms). The heartbeat interval default is 2 (200 ms).

A heartbeat interval of 2 means the time between heartbeat packets is 200 ms. Changing the heartbeat interval to 5 changes the time between heartbeat packets to 500 ms (5 \* 100ms = 500ms).

The HA heartbeat packets consume more bandwidth if the heartbeat interval is short. But if the heartbeat interval is very long, the cluster is not as sensitive to topology and other network changes.

Use the following CLI command to increase the heartbeat interval to 10:

```
config system ha
set hb-interval 10
end
```

The heartbeat interval combines with the lost heartbeat threshold to set how long a cluster unit waits before assuming that another cluster unit has failed and is no longer sending heartbeat packets. By default, if a cluster unit does not receive a heartbeat packet from a cluster unit for 6 \* 200 = 1200 milliseconds or 1.2 seconds the cluster unit assumes that the other cluster unit has failed.

You can increase both the heartbeat interval and the lost heartbeat threshold to reduce false positives. For example, increasing the heartbeat interval to 20 and the lost heartbeat threshold to 30 means a failure will be assumed if no heartbeat packets are received after 30 \* 2000 milliseconds = 60,000 milliseconds, or 60 seconds.

Use the following CLI command to increase the heartbeat interval to 20 and the lost heartbeat threshold to 30:

```
config system ha
set hb-lost-threshold 20
set hb-interval 30
end
```

## Changing the time to wait in the hello state

The hello state hold-down time is the number of seconds that a cluster unit waits before changing from hello state to work state. After a failure or when starting up, cluster units operate in the hello state to send and receive heartbeat packets so that all the cluster units can find each other and form a cluster. A cluster unit should change from the hello state to work state after it finds all of the other FortiGate units to form a cluster with. If for some reason all cluster units cannot find each other during the hello state then some cluster units may be joining the cluster after it has formed. This can cause disruptions to the cluster and affect how it operates.

One reason for a delay in all of the cluster units joining the cluster could be the cluster units are located at different sites or if for some other reason communication is delayed between the heartbeat interfaces.

If cluster units are joining your cluster after it has started up or if it takes a while for units to join the cluster you can increase the time that the cluster units wait in the hello state. The hello state hold-down time range is 5 to 300 seconds. The hello state hold-down time default is 20 seconds.

Use the following CLI command to increase the time to wait in the hello state to 1 minute (60 seconds):



```
config system ha
    set hello-holddown 60
end
```

## Enabling or disabling HA heartbeat encryption and authentication

You can enable HA heartbeat encryption and authentication to encrypt and authenticate HA heartbeat packets. HA heartbeat packets should be encrypted and authenticated if the cluster interfaces that send HA heartbeat packets are also connected to your networks.

If HA heartbeat packets are not encrypted the cluster password and changes to the cluster configuration could be exposed and an attacker may be able to sniff HA packets to get cluster information. Enabling HA heartbeat message authentication prevents an attacker from creating false HA heartbeat messages. False HA heartbeat messages could affect the stability of the cluster.

HA heartbeat encryption and authentication are disabled by default. Enabling HA encryption and authentication could reduce cluster performance. Use the following CLI command to enable HA heartbeat encryption and authentication.

```
config system ha
    set authentication enable
    set encryption enable
end
```

HA authentication and encryption uses AES-128 for encryption and SHA1 for authentication.

## Cluster virtual MAC addresses

When a cluster is operating, the FGCP assigns virtual MAC addresses to each primary unit interface. HA uses virtual MAC addresses so that if a failover occurs, the new primary unit interfaces will have the same virtual MAC addresses and IP addresses as the failed primary unit. As a result, most network equipment would identify the new primary unit as the exact same device as the failed primary unit.

If the MAC addresses changed after a failover, the network would take longer to recover because all attached network devices would have to learn the new MAC addresses before they could communicate with the cluster.

If a cluster is operating in NAT/Route mode, the FGCP assigns a different virtual MAC address to each primary unit interface. VLAN subinterfaces are assigned the same virtual MAC address as the physical interface that the VLAN subinterface is added to. Redundant interfaces or 802.3ad aggregate interfaces are assigned the virtual MAC address of the first interface in the redundant or aggregate list.

If a cluster is operating in Transparent mode, the FGCP assigns a virtual MAC address for the primary unit management IP address. Since you can connect to the management IP address from any interface, all of the FortiGate interfaces appear to have the same virtual MAC address.



A MAC address conflict can occur if two clusters are operating on the same network. See [“Diagnosing packet loss with two FortiGate HA clusters in the same broadcast domain” on page 210](#) for more information.



Subordinate unit MAC addresses do not change. You can verify this by connecting to the subordinate unit CLI and using the `get hardware interface nic` command to display the MAC addresses of each FortiGate interface.

When the new primary unit is selected after a failover, the primary unit sends gratuitous ARP packets to update the devices connected to the cluster interfaces (usually layer-2 switches) with the virtual MAC address. Gratuitous ARP packets configure connected network devices to associate the cluster virtual MAC addresses and cluster IP address with primary unit physical interfaces and with the layer-2 switch physical interfaces. This is sometimes called using gratuitous ARP packets (sometimes called GARP packets) to train the network. The gratuitous ARP packets sent from the primary unit are intended to make sure that the layer-2 switch forwarding databases (FDBs) are updated as quickly as possible.

Sending gratuitous ARP packets is not required for routers and hosts on the network because the new primary unit will have the same MAC and IP addresses as the failed primary unit. However, since the new primary unit interfaces are connected to different switch interfaces than the failed primary unit, many network switches will update their FDBs more quickly after a failover if the new primary unit sends gratuitous ARP packets.

## Changing how the primary unit sends gratuitous ARP packets after a failover

When a failover occurs it is important that the devices connected to the primary unit update their FDBs as quickly as possible to reestablish traffic forwarding.

Depending on your network configuration, you may be able to change the number of gratuitous ARP packets and the time interval between ARP packets to reduce the cluster failover time.

You cannot disable sending gratuitous ARP packets, but you can use the following command to change the number of packets that are sent. For example, enter the following command to send 20 gratuitous ARP packets:

```
config system ha
    set arps 20
end
```

You can use this command to configure the primary unit to send from 1 to 60 ARP packets. Usually you would not change the default setting of 5. In some cases, however, you might want to reduce the number of gratuitous ARP packets. For example, if your cluster has a large number of VLAN interfaces and virtual domains and because gratuitous ARP packets are broadcast, sending a higher number gratuitous ARP packets may generate a lot of network traffic. As long as the cluster still fails over successfully, you could reduce the number of gratuitous ARP packets that are sent to reduce the amount of traffic produced after a failover.

If failover is taking longer than expected, you may be able to reduce the failover time by increasing the number of gratuitous ARP packets sent.

You can also use the following command to change the time interval in seconds between gratuitous ARP packets. For example, enter the following command to change the time between ARP packets to 3 seconds:

```
config system ha
    set arps-interval 3
end
```

The time interval can be in the range of 1 to 20 seconds. The default is 8 seconds between gratuitous ARP packets. Normally you would not need to change the time interval. However, you could decrease the time to be able send more packets in less time if your cluster takes a long time to failover.

There may also be a number of reasons to set the interval higher. For example, if your cluster has a large number of VLAN interfaces and virtual domains and because gratuitous ARP packets are broadcast, sending gratuitous ARP packets may generate a lot of network traffic. As long as the cluster still fails over successfully you could increase the interval to reduce the amount of traffic produced after a failover.

For more information about gratuitous ARP packets see [RFC 826](#) and [RFC 3927](#).

## How the virtual MAC address is determined

The virtual MAC address is determined based on following formula:

00-09-0f-09-<group-id\_hex>-<vcluster\_integer><idx>

where

<group-id\_hex> is the HA Group ID for the cluster converted to hexadecimal. [Table 6](#) lists the virtual MAC address set for each group ID.

**Table 6: HA group ID in integer and hexadecimal format**

Integer Group ID	Hexadecimal Group ID
0	00
1	01
2	02
3	03
4	04
...	...
10	0a
11	0b
...	...
63	3f

<vcluster\_integer> is 0 for virtual cluster 1 and 2 for virtual cluster 2. If virtual domains are not enabled, HA sets the virtual cluster to 1 and by default all interfaces are in the root virtual domain. Including virtual cluster and virtual domain factors in the virtual MAC address formula means that the same formula can be used whether or not virtual domains and virtual clustering is enabled.

<idx> is the index number of the interface. Interfaces are numbered from 0 to x (where x is the number of interfaces). Interfaces are numbered according to their has map order. See “[Interface index and display order](#)” on page 201. The first interface has an index of 0. The second interface in the list has an index of 1 and so on.



Only the <idx> part of the virtual MAC address is different for each interface. The <vcluster\_integer> would be different for different interfaces if multiple VDOMs have been added.

## Example virtual MAC addresses

An HA cluster with HA group ID unchanged (default=0) and virtual domains not enabled would have the following virtual MAC addresses for interfaces port1 to port12:

- port1 virtual MAC: 00-09-0f-09-00-00
- port10 virtual MAC: 00-09-0f-09-00-01
- port2 virtual MAC: 00-09-0f-09-00-02
- port3 virtual MAC: 00-09-0f-09-00-03
- port4 virtual MAC: 00-09-0f-09-00-04
- port5 virtual MAC: 00-09-0f-09-00-05
- port6 virtual MAC: 00-09-0f-09-00-06
- port7 virtual MAC: 00-09-0f-09-00-07
- port8 virtual MAC: 00-09-0f-09-00-08
- port9 virtual MAC: 00-09-0f-09-00-09
- port11 virtual MAC: 00-09-0f-09-00-0a
- port12 virtual MAC: 00-09-0f-09-00-0b

If the group ID is changed to 34 these virtual MAC addresses change to:

- port1 virtual MAC: 00-09-0f-09-22-00
- port10 virtual MAC: 00-09-0f-09-22-01
- port2 virtual MAC: 00-09-0f-09-22-02
- port3 virtual MAC: 00-09-0f-09-22-03
- port4 virtual MAC: 00-09-0f-09-22-04
- port5 virtual MAC: 00-09-0f-09-22-05
- port6 virtual MAC: 00-09-0f-09-22-06
- port7 virtual MAC: 00-09-0f-09-22-07
- port8 virtual MAC: 00-09-0f-09-22-08
- port9 virtual MAC: 00-09-0f-09-22-09
- port11 virtual MAC: 00-09-0f-09-22-0a
- port12 virtual MAC: 00-09-0f-09-22-0b

A cluster with virtual domains enabled where the HA group ID has been changed to 23, port5 and port 6 are in the root virtual domain (which is in virtual cluster1), and port7 and port8 are in the vdom\_1 virtual domain (which is in virtual cluster 2) would have the following virtual MAC addresses:

port5 interface virtual MAC: 00-09-0f-09-23-05  
port6 interface virtual MAC: 00-09-0f-09-23-06  
port7 interface virtual MAC: 00-09-0f-09-23-27  
port8 interface virtual MAC: 00-09-0f-09-23-28

## Displaying the virtual MAC address

Every FortiGate unit physical interface has two MAC addresses: the current hardware address and the permanent hardware address. The permanent hardware address cannot be changed, it is the actual MAC address of the interface hardware. The current hardware address can be changed. The current hardware address is the address seen by the network. For a FortiGate unit not operating in HA, you can use the following command to change the current hardware address of the port1 interface:

```
config system interface
  edit port1
    set macaddr <mac_address>
  end
end
```

For an operating cluster, the current hardware address of each cluster unit interface is changed to the HA virtual MAC address by the FGCP. The `macaddr` option is not available for a functioning cluster. You cannot change an interface MAC address and you cannot view MAC addresses from the `system interface` CLI command.

You can use the `get hardware nic <interface_name_str>` command to display both MAC addresses for any FortiGate interface. This command displays hardware information for the specified interface. Depending on their hardware configuration, this command may display different information for different interfaces. You can use this command to display the current hardware address as `Current_HWaddr` and the permanent hardware address as `Permanent_HWaddr`. For some interfaces the current hardware address is displayed as `MAC`. The command displays a great deal of information about the interface so you may have to scroll the output to find the hardware addresses.



You can also use the `diagnose hardware deviceinfo nic <interface_str>` command to display both MAC addresses for any FortiGate interface.

Before HA configuration the current and permanent hardware addresses are the same. For example for one of the units in `Cluster_1`:

```
FGT60B3907503171 # get hardware nic internal
.
.
.
MAC: 02:09:0f:78:18:c9
Permanent_HWaddr: 02:09:0f:78:18:c9
.
.
.
```

During HA operation the current hardware address becomes the HA virtual MAC address, for example for the units in `Cluster_1`:

```
FGT60B3907503171 # get hardware nic internal
.
.
.
MAC: 00:09:0f:09:00:02
Permanent_HWaddr: 02:09:0f:78:18:c9
.
.
.
```

The following command output for Cluster\_2 shows the same current hardware address for port1 as for the internal interface of Cluster\_2, indicating a MAC address conflict.

```
FG300A2904500238 # get hardware nic port1
.
.
.
MAC: 00:09:0f:09:00:02
Permanent_HWaddr: 00:09:0F:85:40:FD
.
.
.
```

## Diagnosing packet loss with two FortiGate HA clusters in the same broadcast domain

A network may experience packet loss when two FortiGate HA clusters have been deployed in the same broadcast domain. Deploying two HA clusters in the same broadcast domain can result in packet loss because of MAC address conflicts. The packet loss can be diagnosed by pinging from one cluster to the other or by pinging both of the clusters from a device within the broadcast domain. You can resolve the MAC address conflict by changing the HA Group ID configuration of the two clusters. The HA Group ID is sometimes also called the Cluster ID.

This section describes a topology that can result in packet loss, how to determine if packets are being lost, and how to correct the problem by changing the HA Group ID.



Packet loss on a network can also be caused by IP address conflicts. Finding and fixing IP address conflicts can be difficult. However, if you are experiencing packet loss and your network contains two FortiGate HA clusters you can use the information in this article to eliminate one possible source of packet loss.

## Changing the HA group ID to avoid MAC address conflicts

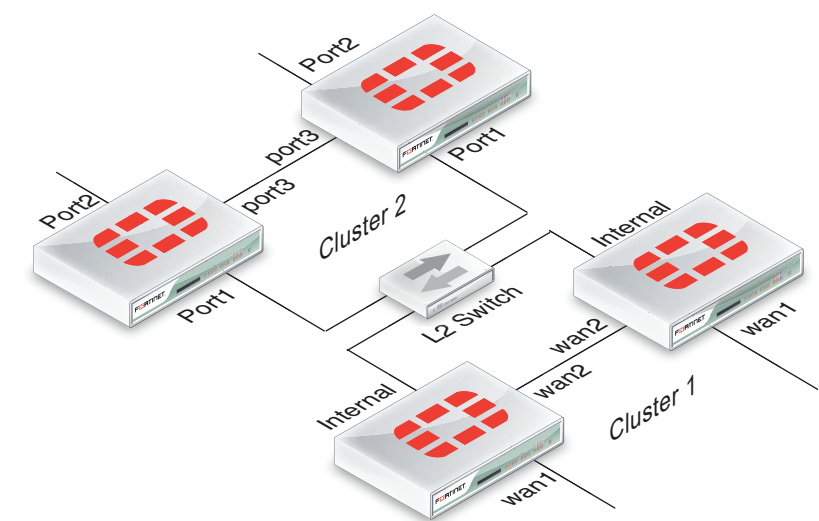
Change the Group ID to change the virtual MAC address of all cluster interfaces. You can change the Group ID from the FortiGate CLI using the following command:

```
config system ha
    set group-id <id_integer>
end
```

## Example topology

The topology below shows two clusters. The Cluster\_1 internal interfaces and the Cluster\_2 port 1 interfaces are both connected to the same broadcast domain. In this topology the broadcast domain could be an internal network. Both clusters could also be connected to the Internet or to different networks.

Figure 24: Example HA topology with possible MAC address conflicts



Ping testing for packet loss

If the network is experiencing packet loss, it is possible that you will not notice a problem unless you are constantly pinging both HA clusters. During normal operation of the network you also might not notice packet loss because the loss rate may not be severe enough to timeout TCP sessions. Also many common types of TCP traffic, such as web browsing, may not be greatly affected by packet loss. However, packet loss can have a significant effect on real time protocols that deliver audio and video data.

To test for packet loss you can set up two constant ping sessions, one to each cluster. If packet loss is occurring the two ping sessions should show alternating replies and timeouts from each cluster.

Cluster_1	Cluster_2
reply	timeout
reply	timeout
reply	timeout
timeout	reply
timeout	reply
reply	timeout
reply	timeout
timeout	reply
timeout	reply
timeout	reply
timeout	reply

Viewing MAC address conflicts on attached switches

If two HA clusters with the same virtual MAC address are connected to the same broadcast domain (L2 switch or hub), the MAC address will conflict and bounce between the two clusters. This example Cisco switch MAC address table shows the MAC address flapping between different interfaces (1/0/1 and 1/0/4).

```

1      0009.0f09.0002      DYNAMIC      Gi1/0/1
1      0009.0f09.0002      DYNAMIC      Gi1/0/4

```

## Synchronizing the configuration

The FGCP uses a combination of incremental and periodic synchronization to make sure that the configuration of all cluster units is synchronized to that of the primary unit.

The following settings are not synchronized between cluster units:

- HA override.
- HA device priority.
- The virtual cluster priority.
- The FortiGate unit host name.
- The HA priority setting for a ping server (or dead gateway detection) configuration.
- The system interface settings of the HA reserved management interface.
- The HA default route for the reserved management interface, set using the `ha-mgt-interface-gateway` option of the `config system ha` command.

The primary unit synchronizes all other configuration settings, including the other HA configuration settings.

### Disabling automatic configuration synchronization

In some cases you may want to use the following command to disable automatic synchronization of the primary unit configuration to all cluster units.

```

config system ha
    set sync-config disable
end

```

When this option is disabled the cluster no longer synchronizes configuration changes. If a device failure occurs, the new primary unit may not have the same configuration as the failed primary unit. As a result, the new primary unit may process sessions differently or may not function on the network in the same way.

In most cases you should not disable automatic configuration synchronization. However, if you have disabled this feature you can use the `execute ha synchronize` command to manually synchronize a subordinate unit's configuration to that of the primary unit.

You must enter `execute ha synchronize` commands from the subordinate unit that you want to synchronize with the primary unit. Use the `execute ha manage` command to access a subordinate unit CLI. See [“Viewing cluster status from the CLI” on page 183](#).

For example, to access the first subordinate unit and force a synchronization at any time, even if automatic synchronization is disabled enter:

```

execute ha manage 0
execute ha synchronize start

```

You can use the following command to stop a synchronization that is in progress.

```

execute ha synchronize stop

```

You can use the following command to a synchronization all parts of the configuration:

```

execute ha synchronize all

```

Individual options are also available to synchronize parts of the configuration. For example, enter the following command to synchronize CA certificates:

```

execute ha synchronize ca

```



## Incremental synchronization

When you log into the cluster web-based manager or CLI to make configuration changes, you are actually logging into the primary unit. All of your configuration changes are first made to the primary unit. Incremental synchronization then immediately synchronizes these changes to all of the subordinate units.

When you log into a subordinate unit CLI (for example using `execute ha manage`) all of the configuration changes that you make to the subordinate unit are also immediately synchronized to all cluster units, including the primary unit, using the same process.

Incremental synchronization also synchronizes other dynamic configuration information such as the DHCP server address lease database, routing table updates, IPsec SAs, MAC address tables, and so on. See [“FortiGate HA compatibility with PPPoE and DHCP” on page 40](#) for more information about DHCP server address lease synchronization and [“Synchronizing routing table updates” on page 219](#) for information about routing table updates.

Whenever a change is made to a cluster unit configuration, incremental synchronization sends the same configuration change to all other cluster units over the HA heartbeat link. An HA synchronization process running on the each cluster unit receives the configuration change and applies it to the cluster unit. The HA synchronization process makes the configuration change by entering a CLI command that appears to be entered by the administrator who made the configuration change in the first place.

Synchronization takes place silently, and no log messages are recorded about the synchronization activity. However, log messages can be recorded by the cluster units when the synchronization process enters CLI commands. You can see these log messages on the subordinate units if you enable event logging and set the minimum severity level to *Information* and then check the event log messages written by the cluster units when you make a configuration change. See [“Configuration change synchronized from primary unit to subordinate unit” on page 168](#).

You can also see these log messages on the primary unit if you make configuration changes from a subordinate unit. See [“Configuration change synchronized from subordinate unit to primary unit” on page 169](#).

## Periodic synchronization

Incremental synchronization makes sure that as an administrator makes configuration changes, the configurations of all cluster units remain the same. However, a number of factors could cause one or more cluster units to go out of sync with the primary unit. For example, if you add a new unit to a functioning cluster, the configuration of this new unit will not match the configuration of the other cluster units. Its not practical to use incremental synchronization to change the configuration of the new unit.

Periodic synchronization is a mechanism that looks for synchronization problems and fixes them. Every minute the cluster compares the configuration file checksum of the primary unit with the configuration file checksums of each of the subordinate units. If all subordinate unit checksums are the same as the primary unit checksum, all cluster units are considered synchronized.

If one or more of the subordinate unit checksums is not the same as the primary unit checksum, the subordinate unit configuration is considered out of sync with the primary unit. The checksum of the out of sync subordinate unit is checked again every 15 seconds. This re-checking occurs in case the configurations are out of sync because an incremental configuration sequence has not completed. If the checksums do not match after 5 checks the subordinate unit that is out of sync retrieves the configuration from the primary unit. The subordinate unit then reloads its configuration and resumes operating as a subordinate unit with the same configuration as the primary unit.

The configuration of the subordinate unit is reset in this way because when a subordinate unit configuration gets out of sync with the primary unit configuration there is no efficient way to determine what the configuration differences are and to correct them. Resetting the subordinate unit configuration becomes the most efficient way to resynchronize the subordinate unit.

Synchronization requires that all cluster units run the same FortiOS firmware build. If some cluster units are running different firmware builds, then unstable cluster operation may occur and the cluster units may not be able to synchronize correctly.



Re-installing the firmware build running on the primary unit forces the primary unit to upgrade all cluster units to the same firmware build.

### Console messages when configuration synchronization succeeds

When a cluster first forms, or when a new unit is added to a cluster as a subordinate unit, the following messages appear on the CLI console to indicate that the unit joined the cluster and had its configuring synchronized with the primary unit.

```
slave's configuration is not in sync with master's, sequence:0
slave's configuration is not in sync with master's, sequence:1
slave's configuration is not in sync with master's, sequence:2
slave's configuration is not in sync with master's, sequence:3
slave's configuration is not in sync with master's, sequence:4
slave starts to sync with master
logout all admin users
slave succeeded to sync with master
```

### Console messages when configuration synchronization fails

If you connect to the console of a subordinate unit that is out of synchronization with the primary unit, messages similar to the following are displayed.

```
slave is not in sync with master, sequence:0. (type 0x3)
slave is not in sync with master, sequence:1. (type 0x3)
slave is not in sync with master, sequence:2. (type 0x3)
slave is not in sync with master, sequence:3. (type 0x3)
slave is not in sync with master, sequence:4. (type 0x3)
global compared not matched
```

If synchronization problems occur the console message sequence may be repeated over and over again. The messages all include a type value (in the example `type 0x3`). The type value can help Fortinet Support diagnose the synchronization problem.

**Table 7: HA out of sync object messages and the configuration objects that they reference**

Out of Sync Message	Configuration Object
HA_SYNC_SETTING_CONFIGURATION = 0x03	/data/config
HA_SYNC_SETTING_AV = 0x10	
HA_SYNC_SETTING_VIR_DB = 0x11	/etc/vir
HA_SYNC_SETTING_SHARED_LIB = 0x12	/data/lib/libav.so
HA_SYNC_SETTING_SCAN_UNIT = 0x13	/bin/scanunitd
HA_SYNC_SETTING_IMAP_PRXY = 0x14	/bin/imapd
HA_SYNC_SETTING_SMTP_PRXY = 0x15	/bin/smtp
HA_SYNC_SETTING_POP3_PRXY = 0x16	/bin/pop3
HA_SYNC_SETTING_HTTP_PRXY = 0x17	/bin/thttp
HA_SYNC_SETTING_FTP_PRXY = 0x18	/bin/ftpd
HA_SYNC_SETTING_FCNI = 0x19	/etc/fcni.dat
HA_SYNC_SETTING_FDNI = 0x1a	/etc/fdnserver.dat
HA_SYNC_SETTING_FSCI = 0x1b	/etc/sci.dat
HA_SYNC_SETTING_FSAE = 0x1c	/etc/fsae_adgrp.cache
HA_SYNC_SETTING_IDS = 0x20	/etc/ids.rules
HA_SYNC_SETTING_IDSUSER_RULES = 0x21	/etc/idsuser.rules
HA_SYNC_SETTING_IDSCUSTOM = 0x22	
HA_SYNC_SETTING_IDS_MONITOR = 0x23	/bin/ipsmonitor
HA_SYNC_SETTING_IDS_SENSOR = 0x24	/bin/ipsengine
HA_SYNC_SETTING_NIDS_LIB = 0x25	/data/lib/libips.so
HA_SYNC_SETTING_WEBLISTS = 0x30	
HA_SYNC_SETTING_CONTENTFILTER = 0x31	/data/cmdb/webfilter.bword
HA_SYNC_SETTING_URLFILTER = 0x32	/data/cmdb/webfilter.urlfilter
HA_SYNC_SETTING_FSGD_OVRD = 0x33	/data/cmdb/webfilter.fgtd-ovrd
HA_SYNC_SETTING_FSGD_LRATING = 0x34	/data/cmdb/webfilter.fgtd-ovrd
HA_SYNC_SETTING_EMAILLISTS = 0x40	
HA_SYNC_SETTING_EMAILCONTENT = 0x41	/data/cmdb/spamfilter.bword
HA_SYNC_SETTING_EMAILBWLST = 0x42	/data/cmdb/spamfilter.emailbwl
HA_SYNC_SETTING_IPBWL = 0x43	/data/cmdb/spamfilter.ipbwl

**Table 7: HA out of sync object messages and the configuration objects that they reference (Continued)**

Out of Sync Message	Configuration Object
HA_SYNC_SETTING_MHEADER = 0x44	/data/cmdb/spamfilter.mheader
HA_SYNC_SETTING_RBL = 0x45	/data/cmdb/spamfilter.rbl
HA_SYNC_SETTING_CERT_CONF = 0x50	/etc/cert/cert.conf
HA_SYNC_SETTING_CERT_CA = 0x51	/etc/cert/ca
HA_SYNC_SETTING_CERT_LOCAL = 0x52	/etc/cert/local
HA_SYNC_SETTING_CERT_CRL = 0x53	/etc/cert/crl
HA_SYNC_SETTING_DB_VER = 0x55	
HA_GET_DETAIL_CSUM = 0x71	
HA_SYNC_CC_SIG = 0x75	/etc/cc_sig.dat
HA_SYNC_CC_OP = 0x76	/etc/cc_op
HA_SYNC_CC_MAIN = 0x77	/etc/cc_main
HA_SYNC_FTGD_CAT_LIST = 0x7a	/migadmin/webfilter/ublock/ftgd/data/

## Comparing checksums of cluster units

You can use the `diagnose sys ha showcsum` command to compare the configuration checksums of all cluster units. The output of this command shows checksums labelled `global` and `all` as well as checksums for each of the VDOMs including the `root` VDOM. The `get system ha-nonsync-csum` command can be used to display similar information; however, this command is intended to be used by FortiManager.

The primary unit and subordinate unit checksums should be the same. If they are not you can use the `execute ha synchronize` command to force a synchronization.

The following command output is for the primary unit of a cluster that does not have multiple VDOMs enabled:

```
diagnose sys ha showcsum
is_manage_master()=1, is_root_master()=1
debugzone
global: a0 7f a7 ff ac 00 d5 b6 82 37 cc 13 3e 0b 9b 77
root: 43 72 47 68 7b da 81 17 c8 f5 10 dd fd 6b e9 57
all: c5 90 ed 22 24 3e 96 06 44 35 b6 63 7c 84 88 d5

checksum
global: a0 7f a7 ff ac 00 d5 b6 82 37 cc 13 3e 0b 9b 77
root: 43 72 47 68 7b da 81 17 c8 f5 10 dd fd 6b e9 57
all: c5 90 ed 22 24 3e 96 06 44 35 b6 63 7c 84 88 d5
```

The following command output is for a subordinate unit of the same cluster:

```
diagnose sys ha showcsum
is_manage_master()=0, is_root_master()=0
debugzone
global: a0 7f a7 ff ac 00 d5 b6 82 37 cc 13 3e 0b 9b 77
root: 43 72 47 68 7b da 81 17 c8 f5 10 dd fd 6b e9 57
all: c5 90 ed 22 24 3e 96 06 44 35 b6 63 7c 84 88 d5
```

```
checksum
global: a0 7f a7 ff ac 00 d5 b6 82 37 cc 13 3e 0b 9b 77
root: 43 72 47 68 7b da 81 17 c8 f5 10 dd fd 6b e9 57
all: c5 90 ed 22 24 3e 96 06 44 35 b6 63 7c 84 88 d5
```

The following example shows using this command for the primary unit of a cluster with multiple VDOMs. Two VDOMs have been added named `test` and `Eng_vdm`.

From the primary unit:

```
config global
diagnose sys ha showcsum
is_manage_master()=1, is_root_master()=1
debugzone
global: 65 75 88 97 2d 58 1b bf 38 d3 3d 52 5b 0e 30 a9
test: a5 16 34 8c 7a 46 d6 a4 1e 1f c8 64 ec 1b 53 fe
root: 3c 12 45 98 69 f2 d8 08 24 cf 02 ea 71 57 a7 01
Eng_vdm: 64 51 7c 58 97 79 b1 b3 b3 ed 5c ec cd 07 74 09
all: 30 68 77 82 a1 5d 13 99 d1 42 a3 2f 9f b9 15 53

checksum
global: 65 75 88 97 2d 58 1b bf 38 d3 3d 52 5b 0e 30 a9
test: a5 16 34 8c 7a 46 d6 a4 1e 1f c8 64 ec 1b 53 fe
root: 3c 12 45 98 69 f2 d8 08 24 cf 02 ea 71 57 a7 01
Eng_vdm: 64 51 7c 58 97 79 b1 b3 b3 ed 5c ec cd 07 74 09
all: 30 68 77 82 a1 5d 13 99 d1 42 a3 2f 9f b9 15 53
```

From the subordinate unit:

```
config global
diagnose sys ha showcsum
is_manage_master()=0, is_root_master()=0
debugzone
global: 65 75 88 97 2d 58 1b bf 38 d3 3d 52 5b 0e 30 a9
test: a5 16 34 8c 7a 46 d6 a4 1e 1f c8 64 ec 1b 53 fe
root: 3c 12 45 98 69 f2 d8 08 24 cf 02 ea 71 57 a7 01
Eng_vdm: 64 51 7c 58 97 79 b1 b3 b3 ed 5c ec cd 07 74 09
all: 30 68 77 82 a1 5d 13 99 d1 42 a3 2f 9f b9 15 53

checksum
global: 65 75 88 97 2d 58 1b bf 38 d3 3d 52 5b 0e 30 a9
test: a5 16 34 8c 7a 46 d6 a4 1e 1f c8 64 ec 1b 53 fe
root: 3c 12 45 98 69 f2 d8 08 24 cf 02 ea 71 57 a7 01
Eng_vdm: 64 51 7c 58 97 79 b1 b3 b3 ed 5c ec cd 07 74 09
all: 30 68 77 82 a1 5d 13 99 d1 42 a3 2f 9f b9 15 53
```

## How to diagnose HA out of sync messages

This section describes how to use the commands `diagnose sys ha showcsum` and `diagnose debug` to diagnose the cause of HA out of sync messages.

If HA synchronization is not successful, use the following procedures on each cluster unit to find the cause.

### To determine why HA synchronization does not occur

- 1 Connect to each cluster unit CLI by connected to the console port.
- 2 Enter the following commands to enable debugging and display HA out of sync messages.

```
diagnose debug enable
diagnose debug console timestamp enable
diagnose debug application hataalk -1
diagnose debug application hasync -1
```

Collect the console output and compare the out of sync messages with the information in [Table 7 on page 215](#).

- 3 Enter the following commands to turn off debugging.

```
diagnose debug disable
diagnose debug reset
```

### To determine what part of the configuration is causing the problem

If the previous procedure displays messages that include sync object 0x30 (for example, `HA_SYNC_SETTING_CONFIGURATION = 0x03`) there is a synchronization problem with the configuration. Use the following steps to determine the part of the configuration that is causing the problem.

If your cluster consists of two cluster units, use this procedure to capture the configuration checksums for each unit. If your cluster consists of more than two cluster units, repeat this procedure for all cluster units that returned messages that include 0x30 sync object messages.

- 1 Connect to each cluster unit CLI by connected to the console port.
- 2 Enter the following command to turn on terminal capture  

```
diagnose debug enable
```
- 3 Enter the following command to stop HA synchronization.  

```
execute ha sync stop
```
- 4 Enter the following command to display configuration checksums.  

```
diagnose sys ha showcsum 1
```
- 5 Copy the output to a text file.
- 6 Repeat for all affected units.
- 7 Compare the text file from the primary unit with the text file from each cluster unit to find the checksums that do not match.

You can use a diff function to compare text files.

- 8 Repeat steps 4 to 7 for each checksum level:

```
diagnose sys ha showcsum 2
diagnose sys ha showcsum 3
diagnose sys ha showcsum 4
diagnose sys ha showcsum 5
diagnose sys ha showcsum 6
diagnose sys ha showcsum 7
diagnose sys ha showcsum 8
```

- 9 When the non-matching checksum is found, attempt to drill down further. This is possible for objects that have sub-components.

For example you can enter the following commands:

```
diagnose sys ha showcsum system.global
diagnose sys ha showcsum system.interface
```

Generally it is the first non-matching checksum in one of the levels that is the cause of the synchronization problem.

- 10 Attempt to can remove/change the part of the configuration that is causing the problem. You can do this by making configuration changes from the primary unit or subordinate unit CLI.
- 11 Enter the following commands to start HA configuration and stop debugging:

```
execute ha sync start
diagnose debug dis
diagnose debug reset
```

## Synchronizing routing table updates

In a functioning cluster, the primary unit keeps all subordinate unit routing tables up to date and synchronized with the primary unit. After a failover, because of these routing table updates the new primary unit does not have to populate its routing table before being able to route traffic. After a failover the new primary unit rebuilds its routing table, but having the synchronized routes already available means the table is rebuilt much faster than if no route information was available.

This section describes how clusters handle dynamic routing failover and also describes how to use CLI commands to control the timing of routing table updates of the subordinate unit routing tables from the primary unit.

### Configuring graceful restart for dynamic routing failover

When an HA failover occurs, neighbor routers will detect that the cluster has failed and remove it from the network until the routing topology stabilizes. During the time the routers may stop sending IP packets to the cluster and communications sessions that would normally be processed by the cluster may time out or be dropped. Also the new primary unit will not receive routing updates and so will not be able to build and maintain its routing database.

You can configure graceful restart (also called nonstop forwarding (NSF)) as described in [RFC3623](#) (Graceful OSPF Restart) to solve the problem of dynamic routing failover. If graceful restart is enabled on neighbor routers, they will keep sending packets to the cluster following the HA failover instead of removing it from the network. The neighboring routers assume that the cluster is experiencing a graceful restart.

After the failover, the new primary unit can continue to process communication sessions using the synchronized routing data received from the failed primary unit before the failover. This gives the new primary unit time to update its routing table after the failover.

You can use the following commands to enable graceful restart or NSF on Cisco routers:

```
router ospf 1
 log-adjacency-changes
 nsf ietf helper strict-lsa-checking
```

If the cluster is running BGP, use the following command to enable graceful restart for BGP:

```
config router bgp
 set graceful-restart enable
end
```

You can also add BGP neighbors and configure the cluster unit to notify these neighbors that it supports graceful restart.

```
config router bgp
 config neighbor
 edit <neighbor_address_Ipv4>
 set capability-graceful-restart enable
```

```
end
end
```

If the cluster is running OSPF, use the following command to enable graceful restart for OSPF:

```
config router ospf
  set restart-mode graceful-restart
end
```

To make sure the new primary unit keeps its synchronized routing data long enough to acquire new routing data, you should also increase the HA route time to live, route wait, and route hold values to 60 using the following CLI command:

```
config system ha
  set route-ttl 60
  set route-wait 60
  set route-hold 60
end
```

## Controlling how the FGCP synchronizes routing updates

You can use the following commands to control some of the timing settings that the FGCP uses when synchronizing routing updates from the primary unit to subordinate units and maintaining routes on the primary unit after a failover.

```
config system ha
  set route-hold <hold_integer>
  set route-ttl <ttl_integer>
  set route-wait <wait_integer>
end
```

## Change how long routes stay in a cluster unit routing table

Change the `route-ttl` time to control how long routes remain in a cluster unit routing table. The time to live range is 0 to 3600 seconds. The default time to live is 10 seconds.

The time to live controls how long routes remain active in a cluster unit routing table after the cluster unit becomes a primary unit. To maintain communication sessions after a cluster unit becomes a primary unit, routes remain active in the routing table for the route time to live while the new primary unit acquires new routes.

If `route-ttl` is set to 0 the primary unit must acquire all new routes before it can continue processing traffic. By default, `route-ttl` is set to 10 which may mean that only a few routes will remain in the routing table after a failover. Normally keeping `route-ttl` to 10 or reducing the value to 0 is acceptable because acquiring new routes usually occurs very quickly, especially if graceful restart is enabled, so only a minor delay is caused by acquiring new routes.

If the primary unit needs to acquire a very large number of routes, or if for other reasons, there is a delay in acquiring all routes, the primary unit may not be able to maintain all communication sessions.

You can increase the route time to live if you find that communication sessions are lost after a failover so that the primary unit can use synchronized routes that are already in the routing table, instead of waiting to acquire new routes.

## Change the time between routing updates

Change the `route-hold` time to change the time that the primary unit waits between sending routing table updates to subordinate units. The route hold range is 0 to 3600 seconds. The default route hold time is 10 seconds.



To avoid flooding routing table updates to subordinate units, set `route-hold` to a relatively long time to prevent subsequent updates from occurring too quickly. Flooding routing table updates can affect cluster performance if a great deal of routing information is synchronized between cluster units. Increasing the time between updates means that this data exchange will not have to happen so often.

The `route-hold` time should be coordinated with the `route-wait` time.

### Change the time the primary unit waits after receiving a routing update

Change the `route-wait` time to change how long the primary unit waits after receiving routing updates before sending the updates to the subordinate units. For quick routing table updates to occur, set `route-wait` to a relatively short time so that the primary unit does not hold routing table changes for too long before updating the subordinate units.

The `route-wait` range is 0 to 3600 seconds. The default `route-wait` is 0 seconds.

Normally, because the `route-wait` time is 0 seconds the primary unit sends routing table updates to the subordinate units every time its routing table changes.

Once a routing table update is sent, the primary unit waits the `route-hold` time before sending the next update.

Usually routing table updates are periodic and sporadic. Subordinate units should receive these changes as soon as possible so `route-wait` is set to 0 seconds. `route-hold` can be set to a relatively long time because normally the next route update would not occur for a while.

In some cases, routing table updates can occur in bursts. A large burst of routing table updates can occur if a router or a link on a network fails or changes. When a burst of routing table updates occurs, there is a potential that the primary unit could flood the subordinate units with routing table updates. Flooding routing table updates can affect cluster performance if a great deal of routing information is synchronized between cluster units. Setting `route-wait` to a longer time reduces the frequency of additional updates and prevents flooding of routing table updates from occurring.

## Synchronizing IPsec VPN SAs

The FGCP synchronizes IPsec security associations (SAs) between cluster members so that if a failover occurs, the cluster can resume IPsec sessions without having to establish new SAs. The result is improved failover performance because IPsec sessions are not interrupted to establish new SAs. Also, establishing a large number of SAs can reduce cluster performance.

The FGCP implements slightly different synchronization mechanisms for IKEv1 and IKEv2.

### Synchronizing SAs for IKEv1

When an SA is synchronized to the subordinate units, the sequence number is set to the maximum sequence number. After a failover, all inbound traffic that connects with the new primary unit and uses the SA will be accepted without needing to re-key. However, first outbound packet to use the SA causes the sequence number to overflow and so causes the new primary unit to re-key the SA.

Please note the following:

- The cluster synchronizes all IPsec SAs.

- IPsec SAs are not synchronized until the IKE process has finished synchronizing the ISAKMP SAs. This is required in for dialup tunnels since it is the synchronizing of the ISAKMP SA that creates the dialup tunnel.
- A dialup interface is created as soon as the phase1 is complete. This ensures that the when HA synchronizes phase1 information the dialup name is included.
- If the IKE process re-starts for any reason it deletes any dialup tunnels that exist. This forces the peer to re-key them.
- IPsec SA deletion happens immediately. Routes associated with a dialup tunnel that is being deleted are cleaned up synchronously as part of the delete, rather than waiting for the SA hard-expiry.
- The FGCP does not sync the IPsec tunnel MTU from the primary unit to the subordinate units. This means that after HA failover if the first packet received by the FortiGate unit arrives after the HA route has been deleted and before the new route is added and the packet is larger than the default MTU of 1024 then the FortiGate unit sends back an ICMP fragmentation required. However, as soon as routing is re-established then the MTU will be corrected and traffic will flow.

## Synchronizing SAs for IKEv2

Due to the way the IKEv2 protocol is designed the FGCP cannot use exactly the same solution that is used for synchronizing IKEv1 SAs, though it is similar.

For IKEv2, like IKEv1, the FGCP synchronizes IKE and ISAKMP SAs from the primary unit to the subordinate units. However, for IKEv2 the FGCP cannot actually use this IKE SA to send/receive IKE traffic because IKEv2 includes a sequence number in every IKE message and thus it would require synchronizing every message to the subordinate units to keep the sequence numbers on the subordinate units up to date.

After a failover when the new primary unit accepts incoming IKEv2 sessions, as in IKEv1, the primary unit uses the synchronized SA to decrypt the traffic before passing it through to its destination. For outgoing sessions, because the synchronized SA has an old sequence number, the primary unit negotiates a new SA. This is different from IKEv1 where the existing SA is re-keyed.

Normally for IKEv2 the new primary unit could just negotiate a CHILD\_SA using the synchronized SA. However, because the sequence numbers are not up-to-date, as noted above, the synchronized SA cannot be used and the primary unit must instead negotiate a whole new SA.

## Link failover

Link failover means that if a monitored interface fails, the cluster reorganizes to reestablish a link to the network that the monitored interface was connected to and to continue operating with minimal or no disruption of network traffic.

You configure monitored interfaces (also called interface monitoring or port monitoring) by selecting the interfaces to monitor as part of the cluster HA configuration.

You can monitor up to 16 interfaces. This limit only applies to FortiGate units with more than 16 physical interfaces. In a multiple VDOM configuration you can monitor up to 16 interfaces per virtual cluster.

The interfaces that you can monitor appear on the port monitor list. You can monitor all FortiGate interfaces including redundant interfaces and 802.3ad aggregate interfaces.

You cannot monitor the following types of interfaces (you cannot select the interfaces on the port monitor list):

- FortiGate interfaces that contain an internal switch.
- VLAN subinterfaces.
- IPsec VPN interfaces.
- Individual physical interfaces that have been added to a redundant or 802.3ad aggregate interface.
- FortiGate-5000 series backplane interfaces that have not been configured as network interfaces.

If you are configuring a virtual cluster you can create a different port monitor configuration for each virtual cluster. Usually for each virtual cluster you would monitor the interfaces that have been added to the virtual domains in each virtual cluster.



Wait until after the cluster is up and running to enable interface monitoring. You do not need to configure interface monitoring to get a cluster up and running and interface monitoring will cause failovers if for some reason during initial setup a monitored interface has become disconnected. You can always enable interface monitoring once you have verified that the cluster is connected and operating properly.



You should only monitor interfaces that are connected to networks, because a failover may occur if you monitor an unconnected interface.

#### To enable interface monitoring - web-based manager

Use the following steps to monitor the port1 and port2 interfaces of a cluster.

- 1 Connect to the cluster web-based manager.
- 2 Go to *System > Config > HA* and edit the primary unit (*Role* is *MASTER*).
- 3 Select the *Port Monitor* check boxes for the *port1* and *port2* interfaces and select *OK*.  
The configuration change is synchronized to all cluster units.

#### To enable interface monitoring - CLI

Use the following steps to monitor the port1 and port2 interfaces of a cluster.

- 1 Connect to the cluster CLI.
- 2 Enter the following command to enable interface monitoring for port1 and port2.  

```
configure system ha
  set monitor port1 port2
end
```

The following example shows how to enable monitoring for the external, internal, and DMZ interfaces.

```
config system ha
  set monitor external internal dmz
end
```

With interface monitoring enabled, during cluster operation, the cluster monitors each cluster unit to determine if the monitored interfaces are operating and connected. Each cluster unit can detect a failure of its network interface hardware. Cluster units can also detect if its network interfaces are disconnected from the switch they should be connected to.



Cluster units cannot determine if the switch that its interfaces are connected to is still connected to the network. However, you can use remote IP monitoring to make sure that the cluster unit can connect to downstream network devices. See [“Remote link failover” on page 228](#).

Because the primary unit receives all traffic processed by the cluster, a cluster can only process traffic from a network if the primary unit can connect to it. So, if the link between a network and the primary unit fails, to maintain communication with this network, the cluster must select a different primary unit; one that is still connected to the network. Unless another link failure has occurred, the new primary unit will have an active link to the network and will be able to maintain communication with it.

To support link failover, each cluster unit stores link state information for all monitored cluster units in a link state database. All cluster units keep this link state database up to date by sharing link state information with the other cluster units. If one of the monitored interfaces on one of the cluster units becomes disconnected or fails, this information is immediately shared with all cluster units.

### If a monitored interface on the primary unit fails

If a monitored interface on the primary unit fails, the cluster renegotiates to select a new primary unit using the process described in [“Primary unit selection” on page 28](#). Because the cluster unit with the failed monitored interface has the lowest monitor priority, a different cluster unit becomes the primary unit. The new primary unit should have fewer link failures.

After the failover, the cluster resumes and maintains communication sessions in the same way as for a device failure. See [“Device failover” on page 197](#).

### If a monitored interface on a subordinate unit fails

If a monitored interface on a subordinate unit fails, this information is shared with all cluster units. The cluster does not renegotiate. The subordinate unit with the failed monitored interface continues to function in the cluster.

In an active-passive cluster after a subordinate unit link failover, the subordinate unit continues to function normally as a subordinate unit in the cluster.

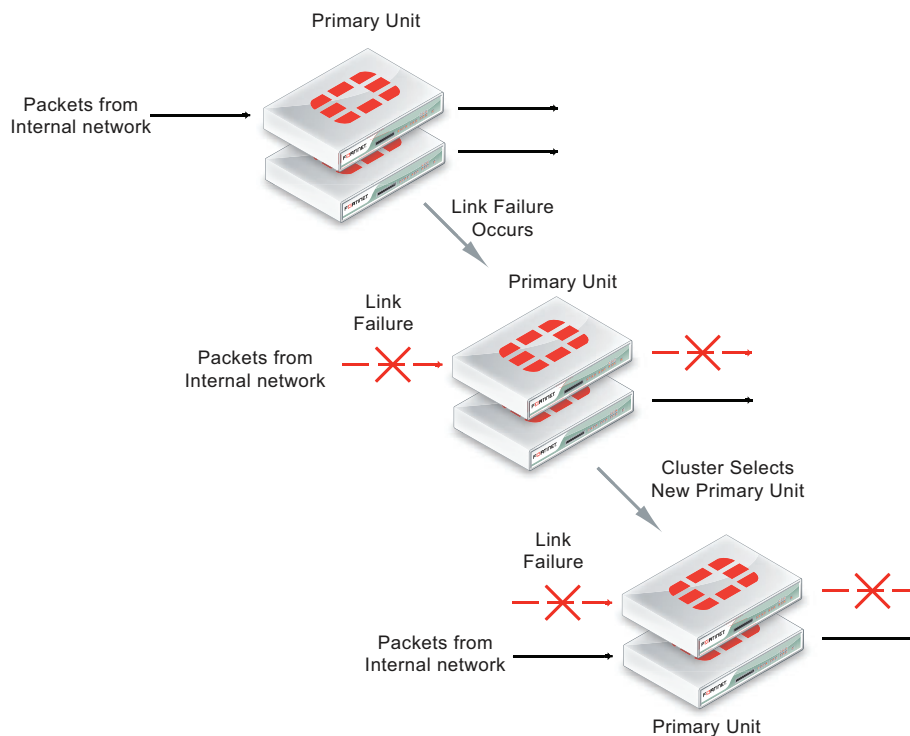
In an active-active cluster after a subordinate unit link failure:

- The subordinate unit with the failed monitored interface can continue processing connections between functioning interfaces. However, the primary unit stops sending sessions to a subordinate unit that use any failed monitored interfaces on the subordinate unit.
- If session pickup is enabled, all sessions being processed by the subordinate unit failed interface that can be are failed over to other cluster units. Sessions that cannot be failed over are lost and have to be restarted.
- If session pickup is not enabled all sessions being processed by the subordinate unit failed interface are lost.

## How link failover maintains traffic flow

Monitoring an interface means that the interface is connected to a high priority network. As a high priority network, the cluster should maintain traffic flow to and from the network, even if a link failure occurs. Because the primary unit receives all traffic processed by the cluster, a cluster can only process traffic from a network if the primary unit can connect to it. So, if the link that the primary unit has to a high priority network fails, to maintain traffic flow to and from this network, the cluster must select a different primary unit. This new primary unit should have an active link to the high priority network.

**Figure 25: A link failure causes a cluster to select a new primary unit**



If a monitored interface on the primary unit fails, the cluster renegotiates and selects the cluster unit with the highest monitor priority to become the new primary unit. The cluster unit with the highest monitor priority is the cluster unit with the most monitored interfaces connected to networks.

After a link failover, the primary unit processes all traffic and all subordinate units, even the cluster unit with the link failure, share session and link status. In addition all configuration changes, routes, and IPsec SAs are synchronized to the cluster unit with the link failure.

In an active-active cluster, the primary unit load balances traffic to all the units in the cluster. The cluster unit with the link failure can process connections between its functioning interfaces (for, example if the cluster has connections to an internal, external, and DMZ network, the cluster unit with the link failure can still process connections between the external and DMZ networks).

If a monitored interface on a subordinate unit fails, the subordinate unit shares this information with all cluster units. The cluster does not renegotiate. The subordinate unit with the failed monitored interface continues to function in the cluster. In an active-active cluster, the subordinate unit can continue processing connections between functioning interfaces. The primary unit re-distributes traffic that was being processed by the failed interface of the subordinate unit to other cluster units. If session pickup is enabled, similar to a failover, some of these sessions continue while others must restart. See [“Session failover \(session pick-up\)” on page 233](#).

## Recovery after a link failover

If you find and correct the problem that caused a link failure (for example, re-connect a disconnected network cable) the cluster updates its link state database and the cluster unit continues to operate as a subordinate unit. In an active-active cluster the primary unit will begin load balancing sessions to the now reconnected interface.

If the `override` CLI keyword is enabled on this cluster unit and its device priority is set higher than the unit priority of other cluster units the cluster will renegotiate when the link failure is repaired and the cluster unit with the highest device priority becomes the primary unit.

## Testing link failover

You can test link failure by disconnecting the network cable from a monitored interface of a cluster unit. If you disconnect a cable from a primary unit monitored interface the cluster should renegotiate and select one of the other cluster units as the primary unit. You can also verify that traffic received by the disconnected interface continues to be processed by the cluster after the failover.

If you disconnect a cable from a subordinate unit interface the cluster will not renegotiate.

## Updating MAC forwarding tables when a link failover occurs

When a FortiGate HA cluster is operating and a monitored interface fails on the primary unit, the primary unit usually becomes a subordinate unit and another cluster unit becomes the primary unit. After a link failover, the new primary unit sends gratuitous ARP packets to refresh the MAC forwarding tables (also called arp tables) of the switches connected to the cluster. This is normal link failover operation (for more information, see [“Link failover” on page 222](#)).

Some switches may not be able to detect that the primary unit has become a subordinate unit and will keep sending packets to the former primary unit. This can occur if the switch does not detect the failure and does not clear its MAC forwarding table.

To make sure the switch detects the failover and clears its MAC forwarding tables, you can use the following command to cause a cluster unit with a monitored interface link failure to shut down all of its interfaces (except the heartbeat interfaces) for one second after the failover occurs. Usually this means the interfaces of the former primary unit are shut down. When this happens the switch should be able to detect this failure and clear its MAC forwarding tables of the MAC addresses of the former primary unit. Since the new primary unit has sent or will send gratuitous ARP packets the switch can then update its MAC forwarding tables to for the new primary unit.

```
config system ha
    set link-failed-signal enable
end
```

## Multiple link failures

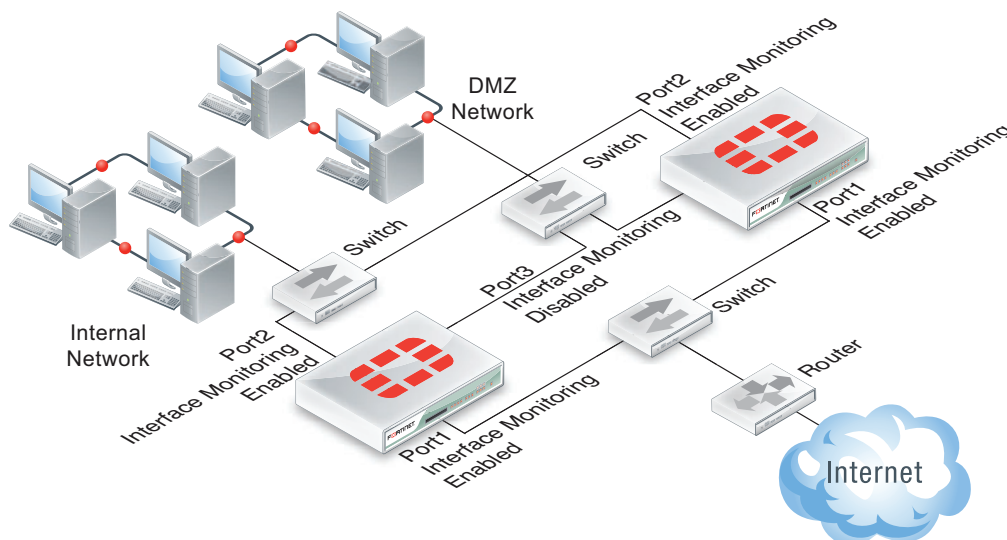
Every time a monitored interface fails, the cluster repeats the processes described above. If multiple monitored interfaces fail on more than one cluster unit, the cluster continues to negotiate to select a primary unit that can provide the most network connections.

## Example link failover scenarios

For the following examples, assume a cluster configuration consisting of two FortiGate units (FGT\_1 and FGT\_2) connected to three networks: internal using port2, external using port1, and DMZ using port3. In the HA configuration, the device priority of FGT\_1 is set higher than the unit priority of FGT\_2.

The cluster processes traffic flowing between the internal and external networks, between the internal and DMZ networks, and between the external and DMZ networks. If there are no link failures, FGT1 becomes the primary unit because it has the highest device priority.

**Figure 26: Sample link failover scenario topology**



### Example: the port1 link on FGT\_1 fails

If the port1 link on FGT\_1 fails, FGT\_2 becomes primary unit because it has fewer interfaces with a link failure. If the cluster is operating in active-active mode, the cluster load balances traffic between the internal network (port2) and the DMZ network (port3). Traffic between the Internet (port1) and the internal network (port2) and between the Internet (port1) and the DMZ network (port3) is processed by the primary unit only.

### Example: port2 on FGT\_1 and port1 on FGT\_2 fail

If port2 on FGT\_1 and port1 on FGT\_2 fail, then FGT\_1 becomes the primary unit. After both of these link failures, both cluster units have the same monitor priority. So the cluster unit with the highest device priority (FGT\_1) becomes the primary unit.

Only traffic between the Internet (port1) and DMZ (port3) networks can pass through the cluster and the traffic is handled by the primary unit only. No load balancing will occur if the cluster is operating in active-active mode.



## Subsecond failover

HA link failover supports subsecond failover (that is a failover time of less than one second). Subsecond failover is available for interfaces that can issue a link failure system call when the interface goes down. When an interface experiences a link failure and sends the link failure system call, the FGCP receives the system call and initiates a link failover.

For interfaces that do not support subsecond failover, port monitoring regularly polls the connection status of monitored interfaces. When a check finds that an interface has gone down, port monitoring causes a link failover. Subsecond failover results in a link failure being detected sooner because the system doesn't have to wait for the next poll to find out about the failure.

Subsecond failover requires interfaces that support sending the link failure system call. This functionality is available for:

- Interfaces with network processors (NP2, NP4, etc.)
- Interfaces with content processors (CP4, CP5, CP6, etc.)
- Interfaces in Fortinet Mezzanine Cards that include network and content processors (FMC-XD2, FMC-XG2, etc.)
- Accelerated interface modules (FortiGate-ASM-FB4, ADM-FB8, ADM-XB2, ADM-XD4, RTM-XD2 etc).
- Interfaces in security processor modules (FortiGate-ASM-CE4, ASM-XE2, etc)

Subsecond failover can accelerate HA failover to reduce the link failover time to less than one second under ideal conditions. Actual failover performance may vary depending on traffic patterns and network configuration. For example, some network devices may respond slowly to an HA failover.

No configuration changes are required to support subsecond failover. However, for best subsecond failover results, the recommended heartbeat interval is 100ms and the recommended lost heartbeat threshold is 5. (See [“Changing the heartbeat interval” on page 204](#))

```
config system ha
    set hb-lost-threshold 5
    set hb-interval 1
end
```

For information about how to reduce failover times, see [“Failover performance” on page 242](#).

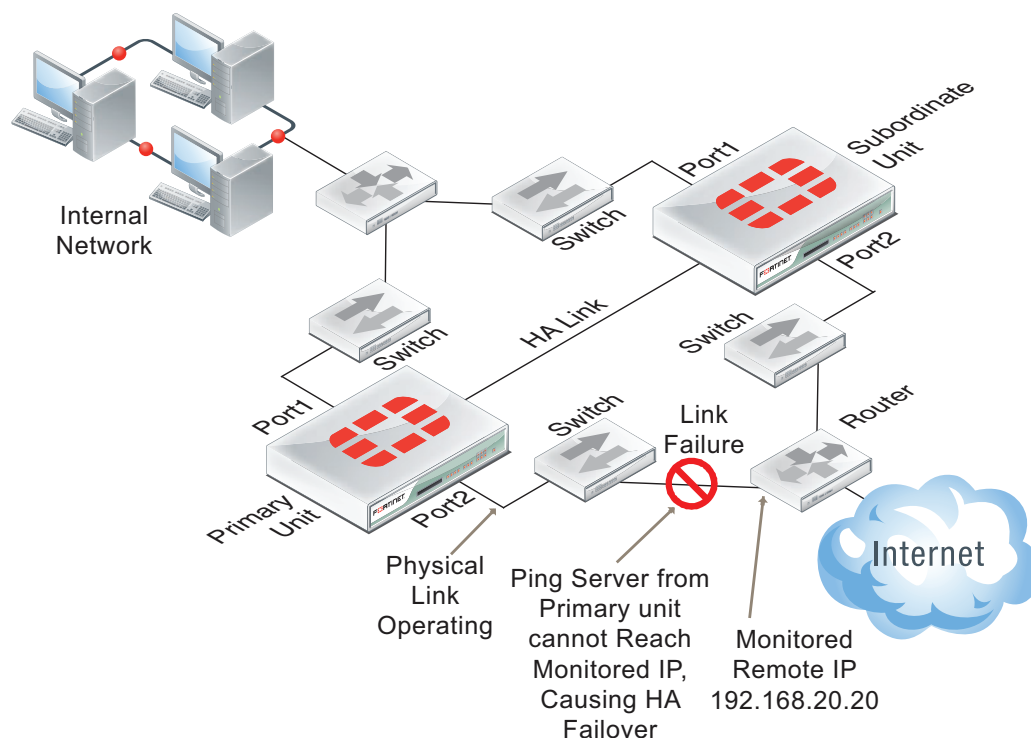
## Remote link failover

Remote link failover (also called remote IP monitoring) is similar to HA port monitoring and interface dead gateway detection. Port monitoring causes a cluster to failover if a monitored primary unit interface fails or is disconnected. Remote IP monitoring uses ping servers configured for FortiGate interfaces on the primary unit to test connectivity with IP addresses of network devices. Usually these would be IP addresses of network devices not directly connected to the cluster. For example, a downstream router. Remote IP monitoring causes a failover if one or more of these remote IP addresses does not respond to a ping server.



By being able to detect failures in network equipment not directly connected to the cluster, remote IP monitoring can be useful in a number of ways depending on your network configuration. For example, in a full mesh HA configuration, with remote IP monitoring, the cluster can detect failures in network equipment that is not directly connected to the cluster but that would interrupt traffic processed by the cluster if the equipment failed.

**Figure 27: Example HA remote IP monitoring topology**



In the simplified example topology shown in Figure 27, the switch connected directly to the primary unit is operating normally but the link on the other side of the switches fails. As a result traffic can no longer flow between the primary unit and the Internet.

To detect this failure you can create a remote IP monitoring configuration consisting of a ping server dead gateway detection configuration for port2 of the cluster. The primary unit tests connectivity to 192.168.20.20. If the ping server cannot connect to 192.268.20.20 the cluster to fails over and the subordinate unit becomes the new primary unit. The remote HA monitoring ping server on the new primary unit can connect to 192.168.20.20 so the failover maintains connectivity between the internal network and the Internet through the cluster.

## To configure remote IP monitoring

- 1 Enter the following commands to configure HA remote monitoring for the example topology.
  - Enter the `pingserver-monitor-interface` keyword to enable HA remote IP monitoring on port2.
  - Leave the `pingserver-failover-threshold` set to the default value of 0. You can change this value if you do not want a failover to occur if only one ping server fails.
  - Enter the `pingserver-flip-timeout` keyword to set the flip timeout to 120 minutes. After a failover, if HA remote IP monitoring on the new primary unit also causes a failover, the flip timeout prevents the failover from occurring until the timer runs out. Setting the `pingserver-flip-timeout` to 120 means that remote IP monitoring can only cause a failover every 120 minutes. This flip timeout is required to prevent repeating failovers if remote IP monitoring causes a failover from all cluster units because none of the cluster units can connect to the monitored IP addresses.

```
config system ha
    set pingserver-monitor-interface port2
    set pingserver-failover-threshold 0
    set pingserver-flip-timeout 120
end
```

- 2 Enter the following commands to add the ping server for the port2 interface and to set the HA remote IP monitoring priority for this ping server.
  - Enter the `detectserver` keyword to add the ping server and set the ping server IP address to 192.168.20.20.
  - Leave the `ha-priority` keyword set to the default value of 1. You only need to change this priority if you change the HA ping server failover threshold. The `ha-priority` setting is not synchronized among cluster units.
  - Use the `interval` keyword to set the time between ping server pings and use the `failtime` keyword to set the number of times that the ping can fail before a failure is detected (the failover threshold). The following example reduces the failover threshold to 2 but keeps the ping interval at the default value of 5.

```
config router gwdetect
    edit port2
        set server 192.168.20.20
        set ha-priority 1
        set interval 5
        set failtime 2
    end
```



You can also do this from the web-based manager by going to *Router > Static > Settings*, selecting *Create New* to add a new dead gateway detection configuration, setting *Ping Server* to 192.168.20.20, *HA Priority* to 1, *Ping Interval* to 5, and *Failover Threshold* to 2.

## Adding HA remote IP monitoring to multiple interfaces

You can enable HA remote IP monitoring on multiple interfaces by adding more interface names to the `pingserver-monitor-interface` keyword. If your FortiGate configuration includes VLAN interfaces, aggregate interfaces and other interface types, you can add the names of these interfaces to the `pingserver-monitor-interface` keyword to configure HA remote IP monitoring for these interfaces.

For example, enable remote IP monitoring for interfaces named port2, port20, and vlan\_234:

```
config system ha
    set pingserver-monitor-interface port2 port20 vlan_234
    set pingserver-failover-threshold 10
    set pingserver-flip-timeout 120
end
```

Then configure ping servers for each of these interfaces. In the following example, default values are accepted for all settings other than the server IP address.

```
config router gwdetect
    edit port2
        set server 192.168.20.20
    next
    edit port20
        set server 192.168.20.30
    next
    edit vlan_234
        set server 172.20.12.10
    end
```

## Changing the ping server failover threshold

By default the ping server failover threshold is 0 and the HA priority is 1 so any HA remote IP monitoring ping server failure causes a failover. If you have multiple ping servers you may want a failover to occur only if more than one of them has failed.

For example, you may have 3 ping servers configured on three interfaces but only want a failover to occur if two of the ping servers fail. To do this you must set the HA priorities of the ping servers and the HA ping server failover threshold so that the priority of one ping server is less than the failover threshold but the added priorities of two ping servers is equal to or greater than the failover threshold. Failover occurs when the HA priority of all failed ping servers reaches or exceeds the threshold.

For example, set the failover threshold to 10 and monitor three interfaces:

```
config system ha
    set pingserver-monitor-interface port2 port20 vlan_234
    set pingserver-failover-threshold 10
    set pingserver-flip-timeout 120
end
```

Then set the HA priority of each ping server to 5.

```
config router gwdetect
    edit port2
        set server 192.168.20.20
        set ha-priority 5
    next
    edit port20
        set server 192.168.20.30
        set ha-priority 5
    next
    edit vlan_234
        set server 172.20.12.10
        set ha-priority 5
    end
```

If only one of the ping servers fails, the total ping server HA priority will be 5, which is lower than the failover threshold so a failover will not occur. If a second ping server fails, the total ping server HA priority of 10 will equal the failover threshold, causing a failover.

By adding multiple ping servers to the remote HA monitoring configuration and setting the HA priorities for each, you can fine tune remote IP monitoring. For example, if it is more important to maintain connections to some networks you can set the HA priorities higher for these ping servers. And if it is less important to maintain connections to other networks you can set the HA priorities lower for these ping servers. You can also adjust the failover threshold so that if the cluster cannot connect to one or two high priority IP addresses a failover occurs. But a failover will not occur if the cluster cannot connect to one or two low priority IP addresses.

## Monitoring multiple IP addresses from one interface

You can add multiple IP addresses to a single ping server to use HA remote IP monitoring to monitor more than one IP address from a single interface. If you add multiple IP addresses, the ping will be sent to all of the addresses at the same time. The ping server only fails when no responses are received from any of the addresses.

```
config router gwdetect
  edit port2
    set server 192.168.20.20 192.168.20.30 172.20.12.10
  end
```

## Flip timeout

The HA remote IP monitoring configuration also involves setting a flip timeout. The flip timeout is required to reduce the frequency of failovers if, after a failover, HA remote IP monitoring on the new primary unit also causes a failover. This can happen if the new primary unit cannot connect to one or more of the monitored remote IP addresses. The result could be that until you fix the network problem that blocks connections to the remote IP addresses, the cluster will experience repeated failovers. You can control how often the failovers occur by setting the flip timeout. The flip timeout stops HA remote IP monitoring from causing a failover until the primary unit has been operating for the duration of the flip timeout.

If you set the flip timeout to a relatively high number of minutes you can find and repair the network problem that prevented the cluster from connecting to the remote IP address without the cluster experiencing very many failovers. Even if it takes a while to detect the problem, repeated failovers at relatively long time intervals do not usually disrupt network traffic.

## Detecting HA remote IP monitoring failovers

Just as with any HA failover, you can detect HA remote IP monitoring failovers by using SNMP to monitor for HA traps. You can also use alert email to receive notifications of HA status changes and monitor log messages for HA failover log messages. In addition, FortiGate units send the critical log message `Ping Server is down` when a ping server fails. The log message includes the name of the interface that the ping server has been added to.

## Session failover (session pick-up)

Session failover means that a cluster maintains active network TCP and IPsec VPN sessions after a device or link failover. Session failover does not failover UDP, multicast, ICMP, or SSL VPN sessions. In some cases UDP sessions may be maintained after a failover.

FortiGate HA does not support session failover by default. To enable session failover go to *System > Config > HA* and select *Enable Session Pick-up*.

From the CLI enter:

```
config system ha
    set session-pickup enable
end
```

To support session failover, when *Enable Session Pick-up* is selected, the FGCP maintains an HA session table for most TCP communication sessions being processed by the cluster and synchronizes this session table with all cluster units. If a cluster unit fails, the HA session table information is available to the remaining cluster units and these cluster units use this session table to resume most of the TCP sessions that were being processed by the failed cluster unit without interruption.

You must enable session pickup for session failover protection. If you do not require session failover protection, leaving session pickup disabled may reduce HA CPU usage and reduce HA heartbeat network bandwidth usage.

If *Enable Session Pick-up* is not selected, the FGCP does not maintain an HA session table and most TCP sessions do not resume after a failover. After a device or link failover all sessions are briefly interrupted and must be restarted after the cluster renegotiates. Many protocols can successfully restart sessions without loss of data. Other protocols may experience data loss and some protocols may require sessions to be manually restarted.

Some sessions may resume after a failover whether or not enable session pick-up is selected:

- [“Session failover and UDP, ICMP, multicast and broadcast packets” on page 236](#)
- [“FortiOS Carrier GTP session failover” on page 237](#)
- [“Active-active HA subordinate units sessions can resume after a failover” on page 237.](#)

### Improving session synchronization performance

Two HA configuration options are available to reduce the performance impact of enabling session pickup. They include reducing the number of sessions that are synchronized by adding a session pickup delay and using more FortiGate interfaces for session synchronization.

#### Reducing the number of sessions that are synchronized

Enable the `session-pickup-delay` CLI option to reduce the number of sessions that are synchronized by synchronizing sessions only if they remain active for more than 30 seconds. Enabling this option could greatly reduce the number of sessions that are synchronized if a cluster typically processes very many short duration sessions, which is typical of most HTTP traffic for example.

Use the following command to enable a 30 second session pickup delay:

```
config system ha
    set session-pickup-delay enable
```

```
end
```

Enabling session pickup delay means that if a failover occurs more sessions may not be resumed after a failover. In most cases short duration sessions can be restarted with only a minor traffic interruption. However, if you notice too many sessions not resuming after a failover you might want to disable this setting.

## Using multiple FortiGate interfaces for session synchronization

Using the `session-sync-dev` option you can select one or more FortiGate interfaces to use for synchronizing sessions as required for session pickup. Normally session synchronization occurs over the HA heartbeat link. Using this HA option means only the selected interfaces are used for session synchronization and not the HA heartbeat link. If you select more than one interface, session synchronization traffic is load balanced among the selected interfaces.

Moving sessions synchronization from the HA heartbeat interface reduces the bandwidth requirements of the HA heartbeat interface and may improve the efficiency and performance of the cluster, especially if the cluster is synchronizing a large number of sessions. Load balancing session synchronization among multiple interfaces can further improve performance and efficiency if the cluster is synchronizing a large number of sessions.

Use the following command to perform cluster session synchronization using the port10 and port12 interfaces.

```
config system ha
    set session-sync-dev port10 port12
end
```

Session synchronization packets use Ethertype 0x8892. The interfaces to use for session synchronization must be connected together either directly using the appropriate cable (possible if there are only two units in the cluster) or using switches. If one of the interfaces becomes disconnected the cluster uses the remaining interfaces for session synchronization. If all of the session synchronization interfaces become disconnected, session synchronization reverts back to using the HA heartbeat link. All session synchronization traffic is between the primary unit and each subordinate unit.

Since large amounts of session synchronization traffic can increase network congestion, it is recommended that you keep this traffic off of your network by using dedicated connections for it.

## Session failover not supported for all sessions

Most of the features applied to sessions by FortiGate UTM functionality require the FortiGate unit to maintain very large amounts of internal state information for each session. The FGCP does not synchronize internal state information for the following UTM features, so the following types of sessions will not resume after a failover:

- Virus scanning of HTTP, HTTPS, FTP, IMAP, IMAPS, POP3, POP3S, SMTP, SMTPS, IM, and NNTP sessions,
- Web filtering and FortiGuard Web Filtering of HTTP and HTTPS sessions,
- Spam filtering of IMAP, IMAPS, POP3, POP3S, SMTP, and SMTPS sessions,
- DLP scanning of IMAP, IMAPS, POP3, POP3S, SMTP, SMTPS, SIP, SIMPLE, and SCCP sessions,

- DLP archiving of HTTP, HTTPS, FTP, IMAP, IMAPS, POP3, SMTP, SMTPS, IM, NNTP, AIM, ICQ, MSN, Yahoo! IM, SIP, SIMPLE, and SCCP signal control sessions,



Active-active clusters can resume some of these sessions after a failover. See [“Active-active HA subordinate units sessions can resume after a failover”](#) on page 237 for details.

If you use these features to protect most of the sessions that your cluster processes, enabling session failover may not actually provide significant session failover protection.

TCP sessions that are not being processed by these UTM features resume after a failover even if these sessions are accepted by security policies with UTM options configured. Only TCP sessions that are actually being processed by these UTM features do not resume after a failover. For example:

- TCP sessions that are not virus scanned, web filtered, spam filtered, content archived, or are not SIP, SIMPLE, or SCCP signal traffic resume after a failover, even if they are accepted by a security policy with UTM options enabled. For example, SNMP TCP sessions resume after a failover because FortiOS does not apply any UTM options to SNMP sessions.
- TCP sessions for a protocol for which UTM features have not been enabled resume after a failover even if they are accepted by a security policy with UTM features enabled. For example, if you have not enabled any antivirus or content archiving settings for FTP, FTP sessions resume after a failover.

The following UTM features do not affect TCP session failover:

- IPS does not affect session failover. Sessions being scanned by IPS resume after a failover. After a failover; however, IPS can only perform packet-based inspection of resumed sessions; reducing the number of vulnerabilities that IPS can detect. This limitation only applies to in-progress resumed sessions.
- Application control does not affect session failover. Sessions that are being monitored by application control resume after a failover.
- Logging enabled from UTM features does not affect session failover. UTM logging writes event log messages for UTM events; such as when a virus is found by antivirus scanning, when Web Filtering blocks a URL, and so on. Logging does not enable features that would prevent sessions from being failed over, logging just reports on the activities of enabled features.

If more than one UTM feature is applied to a TCP session, that session will not resume after a failover as long as one of the UTM features prevents session failover. For example:

- Sessions being scanned by IPS and also being virus scanned do not resume after a failover.
- Sessions that are being monitored by application control and that are being DLP archived or virus scanned will not resume after a failover.

## SIP session failover

The FGCP supports SIP session failover (also called stateful failover) for active-passive HA. To support SIP session failover, create a standard HA configuration and select *Enable Session Pick-up* option.

SIP session failover replicates SIP states to all cluster units. If an HA failover occurs, all in-progress SIP calls (setup complete) and their RTP flows are maintained and the calls will continue after the failover with minimal or no interruption.



SIP calls being set up at the time of a failover may lose signaling messages. In most cases the SIP clients and servers should use message retransmission to complete the call setup after the failover has completed. As a result, SIP users may experience a delay if their calls are being set up when an HA failover occurs. But in most cases the call setup should be able to continue after the failover.

## **Session failover and explicit web proxy, WCCP, and WAN optimization sessions**

Similar to UTM sessions, the explicit web proxy, WCCP and WAN optimization features all require the FortiGate unit to maintain very large amounts of internal state information for each session. This information is not maintained and these sessions do not resume after a failover.

## **Session failover and SSL offloading and HTTP multiplexing**

SSL offloading and HTTP multiplexing are both enabled from firewall virtual IPs and firewall load balancing. Similar to the features applied by UTM, SSL offloading and HTTP multiplexing requires the FortiGate unit to maintain very large amounts of internal state information for each session. Sessions accepted by security policies containing virtual IPs or virtual servers with SSL offloading or HTTP multiplexing enabled do not resume after a failover.

## **IPsec VPN and SSL VPN sessions**

Session failover is supported for all IPsec VPN tunnels. To support IPsec VPN tunnel failover, when an IPsec VPN tunnel starts, the FGCP distributes the SA and related IPsec VPN tunnel data to all cluster units.

Session failover is not supported for SSL VPN tunnels.

## **PPTP and L2TP VPN sessions**

PPTP and L2TP VPNs are supported in HA mode. For a cluster you can configure PPTP and L2TP settings and you can also add security policies to allow PPTP and L2TP pass through. However, the FGCP does not provide session failover for PPTP or L2TP. After a failover, all active PPTP and L2TP sessions are lost and must be restarted.

## **Session failover and UDP, ICMP, multicast and broadcast packets**

The FGCP does not maintain a session table for UDP, ICMP, multicast, or broadcast packets. So the cluster does not specifically support failover of these packets.

Some UDP traffic can continue to flow through the cluster after a failover. This can happen if, after the failover, a UDP packet that is part of an already established communication stream matches a security policy. Then a new session will be created and traffic will flow. So after a short interruption, UDP sessions can appear to have failed over. However, this may not be reliable for the following reasons:

- UDP packets in the direction of the security policy must be received before reply packets can be accepted. For example, if a port1 -> port2 policy accepts UDP packets, UDP packets received at port2 destined for the network connected to port1 will not be accepted until the policy accepts UDP packets at port1 that are destined for the network connected to port2. So, if a user connects from an internal network to the Internet and starts receiving UDP packets from the Internet (for example streaming media), after a failover the user will not receive any more UDP packets until the user re-connects to the Internet site.



- UDP sessions accepted by NAT policies will not resume after a failover because NAT will usually give the new session a different source port. So only traffic for UDP protocols that can handle the source port changing during a session will continue to flow.

### FortiOS Carrier GTP session failover

FortiOS Carrier HA supports GTP session failover. The primary unit synchronizes the GTP tunnel state to all cluster units after the GTP tunnel setup is completed. After the tunnel setup is completed, GTP sessions use UDP and HA does not synchronize UDP sessions to all cluster units. However, similar to other UDP sessions, after a failover, since the new primary unit will have the GTP tunnel state information, GTP UDP sessions using the same tunnel can continue to flow with some limitations.

The limitation on packets continuing to flow is that there has to be a security policy to accept the packets. For example, if the FortiOS Carrier unit has an internal to external security policy, GTP UDP sessions using an established tunnel that are received by the internal interface are accepted by the security policy and can continue to flow. However, GTP UDP packets for an established tunnel that are received at the external interface cannot flow until packets from the same tunnel are received at the internal interface.

If you have bi-directional policies that accept GTP UDP sessions then traffic in either direction that uses an established tunnel can continue to flow after a failover without interruption.

### Active-active HA subordinate units sessions can resume after a failover

In an active-active cluster, subordinate units process sessions. After a failover, all cluster units that are still operating may be able to continue processing the sessions that they were processing before the failover. These sessions are maintained because after the failover the new primary unit uses the HA session table to continue to send session packets to the cluster units that were processing the sessions before the failover. Cluster units maintain their own information about the sessions that they are processing and this information is not affected by the failover. In this way, the cluster units that are still operating can continue processing their own sessions without loss of data.

The cluster keeps processing as many sessions as it can. But some sessions can be lost. Depending on what caused the failover, sessions can be lost in the following ways:

- A cluster unit fails (the primary unit or a subordinate unit). All sessions that were being processed by that cluster unit are lost.
- A link failure occurs. All sessions that were being processed through the network interface that failed are lost.

This mechanism for continuing sessions is not the same as session failover because:

- Only the sessions that can be are maintained.
- The sessions are maintained on the same cluster units and not re-distributed.
- Sessions that cannot be maintained are lost.

## WAN optimization and HA

You can configure WAN optimization on a FortiGate HA cluster. The recommended HA configuration for WAN optimization is active-passive mode. Also, when the cluster is operating, all WAN optimization sessions are processed by the primary unit only. Even if the cluster is operating in active-active mode, HA does not load-balance WAN optimization sessions. HA also does not support WAN optimization session failover.

In a cluster, the primary unit only stores web cache and byte cache databases. These databases are not synchronized to the subordinate units. So, after a failover, the new primary unit must rebuild its web and byte caches. As well, the new primary unit cannot connect to a SAS partition that the failed primary unit used.

Rebuilding the byte caches can happen relatively quickly because the new primary unit gets byte cache data from the other FortiGate units that it is participating with in WAN optimization tunnels.

## Failover and attached network equipment

It normally takes a cluster approximately 6 seconds to complete a failover. However, the actual failover time experienced by your network users may depend on how quickly the switches connected to the cluster interfaces accept the cluster MAC address update from the primary unit. If the switches do not recognize and accept the gratuitous ARP packets and update their MAC forwarding table, the failover time will increase.

Also, individual session failover depends on whether the cluster is operating in active-active or active-passive mode, and whether the content of the traffic is to be virus scanned. Depending on application behavior, it may take a TCP session a longer period of time (up to 30 seconds) to recover completely.

## Monitoring cluster units for failover

You can use logging and SNMP to monitor cluster units for failover. Both the primary and subordinate units can be configured to write log messages and send SNMP traps if a failover occurs. You can also log into the cluster web-based manager and CLI to determine if a failover has occurred. See [“Monitoring cluster units for failover” on page 183](#).

## NAT/Route mode active-passive cluster packet flow

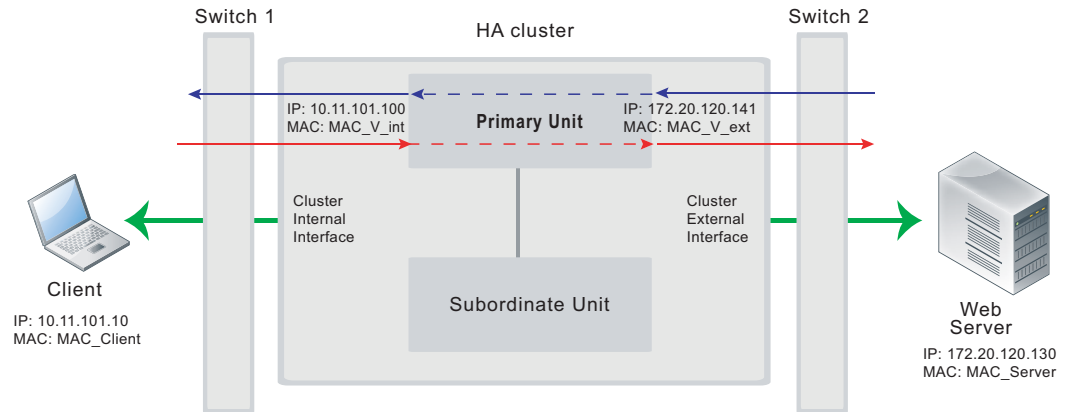
This section describes how packets are processed and how failover occurs in an active-passive HA cluster running in NAT/Route mode. In the example, the NAT/Route mode cluster acts as the internet firewall for a client computer's internal network. The client computer's default route points at the IP address of the cluster internal interface. The client connects to a web server on the Internet. Internet routing routes packets from the cluster external interface to the web server, and from the web server to the cluster external interface.

In an active-passive cluster operating in NAT/Route mode, four MAC addresses are involved in communication between the client and the web server when the primary unit processes the connection:

- Internal virtual MAC address (MAC\_V\_int) assigned to the primary unit internal interface,
- External virtual MAC address (MAC\_V\_ext) assigned to the primary unit external interface,
- Client MAC address (MAC\_Client),
- Server MAC address (MAC\_Server),

In NAT/Route mode, the HA cluster works as a gateway when it responds to ARP requests. Therefore, the client and server only know the gateway MAC addresses. The client only knows the cluster internal virtual MAC address (MAC\_V\_int) and the server only know the cluster external virtual MAC address (MAC\_V\_ext). Cluster virtual MAC addresses are described in “Cluster virtual MAC addresses” on page 205.

**Figure 28: NAT/Route mode active-passive packet flow**



### Packet flow from client to web server

- 1 The client computer requests a connection from 10.11.101.10 to 172.20.120.130.
- 2 The default route on the client computer recognizes 10.11.101.100 (the cluster IP address) as the gateway to the external network where the web server is located.
- 3 The client computer issues an ARP request to 10.11.101.100.
- 4 The primary unit intercepts the ARP request, and responds with the internal virtual MAC address (MAC\_V\_int) which corresponds to its IP address of 10.11.101.100.
- 5 The client's request packet reaches the primary unit internal interface.

	IP address	MAC address
<b>Source</b>	10.11.101.10	MAC_Client
<b>Destination</b>	172.20.120.130	MAC_V_int

- 6 The primary unit processes the packet.
- 7 The primary unit forwards the packet from its external interface to the web server.

	IP address	MAC address
<b>Source</b>	172.20.120.141	MAC_V_ext
<b>Destination</b>	172.20.120.130	MAC_Server

- 8 The primary unit continues to process packets in this way unless a failover occurs.

### Packet flow from web server to client

- 1 When the web server responds to the client's packet, the cluster external interface IP address (172.20.120.141) is recognized as the gateway to the internal network.
- 2 The web server issues an ARP request to 172.20.120.141.
- 3 The primary unit intercepts the ARP request, and responds with the external virtual MAC address (MAC\_V\_ext) which corresponds its IP address of 172.20.120.141.

- 4 The web server then sends response packets to the primary unit external interface.

	IP address	MAC address
<b>Source</b>	172.20.120.130	MAC_Server
<b>Destination</b>	172.20.120.141	MAC_V_ext

- 5 The primary unit processes the packet.
- 6 The primary unit forwards the packet from its internal interface to the client.

	IP address	MAC address
<b>Source</b>	172.20.120.130	MAC_V_int
<b>Destination</b>	10.11.101.10	MAC_Client

- 7 The primary unit continues to process packets in this way unless a failover occurs.

### When a failover occurs

The following steps are followed after a device or link failure of the primary unit causes a failover.

- 1 If the primary unit fails the subordinate unit becomes the primary unit.
- 2 The new primary unit changes the MAC addresses of all of its interfaces to the HA virtual MAC addresses.  
The new primary unit has the same IP addresses and MAC addresses as the failed primary unit.
- 3 The new primary unit sends gratuitous ARP packets from the internal interface to the 10.11.101.0 network to associate its internal IP address with the internal virtual MAC address.
- 4 The new primary unit sends gratuitous ARP packets to the 172.20.120.0 to associate its external IP address with the external virtual MAC address.
- 5 Traffic sent to the cluster is now received and processed by the new primary unit.  
If there were more than two cluster units in the original cluster, these remaining units would become subordinate units.

## Transparent mode active-passive cluster packet flow

This section describes how packets are processed and how failover occurs in an active-passive HA cluster running in Transparent mode. The cluster is installed on an internal network in front of a mail server and the client connects to the mail server through the Transparent mode cluster.

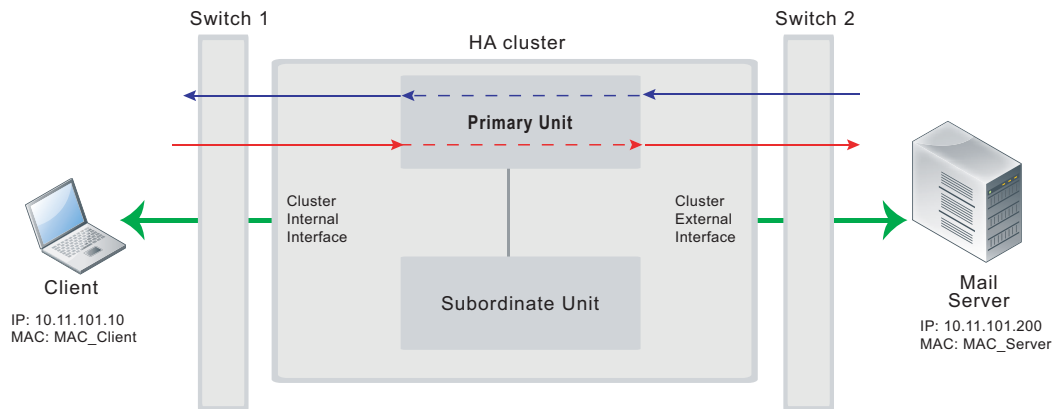
In an active-passive cluster operating in Transparent mode, two MAC addresses are involved in the communication between a client and a server when the primary unit processes a connection:

- Client MAC address (MAC\_Client)
- Server MAC address (MAC\_Server)

The HA virtual MAC addresses are not directly involved in communication between the client and the server. The client computer sends packets to the mail server and the mail server sends responses. In both cases the packets are intercepted and processed by the cluster.

The cluster's presence on the network is transparent to the client and server computers. The primary unit sends gratuitous ARP packets to Switch 1 that associate all MAC addresses on the network segment connected to the cluster external interface with the HA virtual MAC address. The primary unit also sends gratuitous ARP packets to Switch 2 that associate all MAC addresses on the network segment connected to the cluster internal interface with the HA virtual MAC address. In both cases, this results in the switches sending packets to the primary unit interfaces.

**Figure 29: Transparent mode active-passive packet flow**



### Packet flow from client to mail server

- 1 The client computer requests a connection from 10.11.101.10 to 110.11.101.200.
- 2 The client computer issues an ARP request to 10.11.101.200.
- 3 The primary unit forwards the ARP request to the mail server.
- 4 The mail server responds with its MAC address (MAC\_Server) which corresponds to its IP address of 10.11.101.200. The primary unit returns the ARP response to the client computer.
- 5 The client's request packet reaches the primary unit internal interface.

	IP address	MAC address
<b>Source</b>	10.11.101.10	MAC_Client
<b>Destination</b>	10.11.101.200	MAC_Server

- 6 The primary unit processes the packet.
- 7 The primary unit forwards the packet from its external interface to the mail server.

	IP address	MAC address
<b>Source</b>	10.11.101.10	MAC_Client
<b>Destination</b>	10.11.101.200	MAC_Server

- 8 The primary unit continues to process packets in this way unless a failover occurs.

### Packet flow from mail server to client

- 1 To respond to the client computer, the mail server issues an ARP request to 10.11.101.10.
- 2 The primary unit forwards the ARP request to the client computer.

- 3 The client computer responds with its MAC address (MAC\_Client) which corresponds to its IP address of 192.168.20.10. The primary unit returns the ARP response to the mail server.
- 4 The mail server's response packet reaches the primary unit external interface.

	IP address	MAC address
<b>Source</b>	10.11.101.200	MAC_Server
<b>Destination</b>	10.11.101.10	MAC_Client

- 5 The primary unit processes the packet.
- 6 The primary unit forwards the packet from its internal interface to the client.

	IP address	MAC address
<b>Source</b>	10.11.101.200	MAC_Server
<b>Destination</b>	10.11.101.10	MAC_Client

- 7 The primary unit continues to process packets in this way unless a failover occurs.

### When a failover occurs

The following steps are followed after a device or link failure of the primary unit causes a failover.

- 1 If the primary unit fails, the subordinate unit negotiates to become the primary unit.
- 2 The new primary unit changes the MAC addresses of all of its interfaces to the HA virtual MAC address.
- 3 The new primary unit sends gratuitous ARP packets to switch 1 to associate its MAC address with the MAC addresses on the network segment connected to the external interface.
- 4 The new primary unit sends gratuitous ARP packets to switch 2 to associate its MAC address with the MAC addresses on the network segment connected to the internal interface.
- 5 Traffic sent to the cluster is now received and processed by the new primary unit.  
If there were more than two cluster units in the original cluster, these remaining units would become subordinate units.

## Failover performance

This section describes the designed device and link failover times for a FortiGate cluster and also shows results of a failover performance test.

### Device failover performance

By design FGCP device failover time is 2 seconds for a two-member cluster with ideal network and traffic conditions. If subsecond failover is enabled the failover time can drop below 1 second.

All cluster units regularly receive HA heartbeat packets from all other cluster units over the HA heartbeat link. If any cluster unit does not receive a heartbeat packet from any other cluster unit for 2 seconds, the cluster unit that has not sent heartbeat packets is considered to have failed.

It may take another few seconds for the cluster to negotiate and re-distribute communication sessions. Typically if subsecond failover is not enabled you can expect a failover time of 9 to 15 seconds depending on the cluster and network configuration. The failover time can also be increased by more complex configurations and or configurations with network equipment that is slow to respond.

You can change the `hb-lost-threshold` to increase or decrease the device failover time. See “[Modifying heartbeat timing](#)” on page 203 for information about using `hb-lost-threshold`, and other heartbeat timing settings.

## Link failover performance

Link failover time is controlled by how long it takes for a cluster to synchronize the cluster link database. When a link failure occurs, the cluster unit that experienced the link failure uses HA heartbeat packets to broadcast the updated link database to all cluster units. When all cluster units have received the updated database the failover is complete.

It may take another few seconds for the cluster to negotiate and re-distribute communication sessions.

## Reducing failover times

You can do the following to help reduce failover times:

- Keep the network configuration as simple as possible with as few as possible network connections to the cluster.
- If possible operate the cluster in Transparent mode.
- Use high-performance switches to that the switches failover to interfaces connected to the new primary unit as quickly as possible.
- Use accelerated FortiGate interfaces. In some cases accelerated interfaces will reduce failover times.
- Make sure the FortiGate unit sends multiple gratuitous arp packets after a failover. In some cases, sending more gratuitous arp packets will cause connected network equipment to recognize the failover sooner. To send 10 gratuitous arp packets:

```
config system ha
    set arps 10
end
```

- Reduce the time between gratuitous arp packets. This may also caused connected network equipment to recognize the failover sooner. To send 50 gratuitous arp packets with 1 second between each packet:

```
config system ha
    set arp 50
    set arps-interval 1
end
```

- Reduce the number of lost heartbeat packets and reduce the heartbeat interval timers to be able to more quickly detect a device failure. To set the lost heartbeat threshold to 3 packets and the heartbeat interval to 100 milliseconds:

```
config system ha
    set hb-interval 3
    set hb-lost-threshold 1
end
```

- Reduce the hello state hold down time to reduce the amount of the time the cluster waits before transitioning from the hello to the work state. To set the hello state hold down time to 5 seconds:

```
config system ha
  set hello-holddown 5
end
```

- Enable sending a link failed signal after a link failover to make sure that attached network equipment responds as quickly as possible to a link failure. To enable the link failed signal:

```
config system ha
  set link-failed-signal enable
end
```





# HA and load balancing

FGCP active-active load balancing distributes network traffic among all of the units in a cluster. Load balancing can improve cluster performance because the processing load is shared among multiple cluster units.

This chapter describes how active-active load balancing works and provides detailed NAT/Route and Transparent mode packet flow descriptions.

This chapter contains the following sections:

- [Load balancing overview](#)
- [Configuring load balancing settings](#)
- [NAT/Route mode active-active cluster packet flow](#)
- [Transparent mode active-active cluster packet flow](#)

## Load balancing overview

In active-active HA, the FGCP uses unicast load balancing in which the primary unit is associated with the cluster HA virtual MAC address and cluster IP address. The primary unit is the only cluster unit to receive packets sent to the cluster.

An active-active HA cluster consists of a primary unit that processes communication sessions and one or more subordinate units that also process communication sessions. The primary unit receives all sessions and load balances sessions for security policies with UTM enabled to all cluster units. Because processing UTM sessions can be CPU and memory-intensive, load balancing UTM traffic may result in an active-active cluster having higher throughput than an active-passive cluster or a standalone FortiGate unit because resource-intensive UTM processing is distributed among all cluster units.

You can also enable the `load-balance-all` CLI keyword to have the primary unit load balance all TCP sessions. Load balancing TCP sessions is less likely to improve throughput because of extra overhead required for load balancing. So `load-balance-all` is disabled by default.

During active-active HA load balancing operation, when the primary unit receives the first packet of a UTM session (or a TCP session if `load-balance-all` is enabled) the primary unit uses the configured load balancing schedule to determine the cluster unit that will process the session. The primary unit stores the load balancing information for each active load balanced session in the cluster load balancing session table. Using the information in this table, the primary unit can then forward all of the remaining packets in each session to the appropriate cluster unit. The load balancing session table is synchronized among all cluster units.

UDP, ICMP, multicast, and broadcast sessions are never load balanced and are always processed by the primary unit. VoIP, IM, P2P, IPsec VPN, HTTPS, SSL VPN, HTTP multiplexing, SSL offloading, WAN optimization, explicit web proxy, and WCCP sessions are also always processed only by the primary unit.

In addition to load balancing, active-active HA also provides device and link failover protection similar to active-passive HA. If the primary unit fails, a subordinate unit becomes the primary unit and resumes operating the cluster. See [“Device failover” on page 197](#) and [“Link failover” on page 222](#) for more information.

Active-active HA provides session failover protection for all TCP sessions except UTM sessions. Active-active HA does not provide session failover for UTM sessions. Active-active HA also does not provide session failover for UDP, ICMP, multicast, and broadcast sessions. Protection profile sessions and all UDP, ICMP, multicast, and broadcast sessions are not failed over and must be restarted.

If a subordinate unit fails, the primary unit redistributes all TCP communications sessions among the remaining cluster units. Protection profile sessions that are in progress on the subordinate unit that failed are not failed over and must be restarted. All sessions being processed by the primary unit, including UDP, ICMP, multicast, and broadcast sessions, are not affected.

Because of the limitation of not supporting failover of UDP, ICMP, multicast, and broadcast sessions, active-active HA can be a less robust session failover solution than active-passive HA. See [“Session failover \(session pick-up\)” on page 233](#) more information about FortiGate session failover and its limitations.

Active-active HA does maintain as many UTM sessions as possible after a failover by continuing to process the UTM sessions that were being processed by the cluster units that are still operating. See [“Active-active HA subordinate units sessions can resume after a failover” on page 237](#) for more information. Active-passive HA does not support maintaining UTM sessions after a failover.

## Load balancing schedules

The load balancing schedule controls how the primary unit distributes packets to all cluster units. You can select from the following load balancing schedules.

<b>None</b>	No load balancing. Select <i>None</i> when the cluster interfaces are connected to load balancing switches. If you select <i>None</i> , the Primary unit does not load balance traffic and the subordinate units process incoming traffic that does not come from the Primary unit. For all other load balancing schedules, all traffic is received first by the Primary unit, and then forwarded to the subordinate units. The subordinate units only receive and process packets sent from the primary unit.
<b>Hub</b>	Load balancing if the cluster interfaces are connected to a hub. Traffic is distributed to cluster units based on the source IP and destination IP of the packet.
<b>Least-Connection</b>	If the cluster units are connected using switches, select <i>Least Connection</i> to distribute network traffic to the cluster unit currently processing the fewest connections.
<b>Round-Robin</b>	If the cluster units are connected using switches, select Round-Robin to distribute network traffic to the next available cluster unit.
<b>Weighted Round-Robin</b>	Similar to round robin, but weighted values are assigned to each of the units in a cluster based on their capacity and on how many connections they are currently processing. For example, the primary unit should have a lower weighted value because it handles scheduling and forwards traffic. Weighted round robin distributes traffic more evenly because units that are not processing traffic will be more likely to receive new connections than units that are very busy.

<b>Random</b>	If the cluster units are connected using switches, select Random to randomly distribute traffic to cluster units.
<b>IP</b>	Load balancing according to IP address. If the cluster units are connected using switches, select <i>IP</i> to distribute traffic to units in a cluster based on the source IP and destination IP of the packet.
<b>IP Port</b>	Load balancing according to IP address and port. If the cluster units are connected using switches, select IP Port to distribute traffic to units in a cluster based on the source IP, source port, destination IP, and destination port of the packet.

Once a packet has been propagated to a subordinate unit, all packets are part of that same communication session are also propagated to that same subordinate unit. Traffic is distributed according to communication session, not just according to individual packet.

Any subordinate unit that receives a forwarded packet processes it, without applying load balancing. Note that subordinate units are still considered to be active, because they perform routing, virus scanning, and other FortiGate unit tasks on their share of the traffic. Active subordinate units also share their session and link status information with all cluster units. The only things that active members do not do is make load balancing decisions.

Even though the primary unit is responsible for the load balancing process, the primary unit still acts like a FortiGate unit in that it processes packets, performing, routing, firewall, virus scanning, and other FortiGate unit tasks on its share of the traffic. Depending on the load balancing schedule used, the primary unit may assign itself a smaller share of the total load.

## Selecting which packets are load balanced

The primary unit processes all UDP and ICMP traffic. By default, the primary unit also processes all TCP traffic and load balances virus scanning traffic among all cluster units. You can change the default configuration so that the cluster load balances both TCP traffic and virus scanning traffic among all cluster units.

Load balancing increases network bandwidth usage and also increases the load on the primary unit CPU. Because of this, in some network environments, load balancing TCP traffic may not result in an overall cluster performance increase. However, in other network environments, TCP load balancing may improve cluster performance.

If the cluster is configured to load balance virus scanning sessions, the primary unit uses the load balancing schedule to distribute HTTP, FTP, SMTP, POP3, and IMAP packets to be virus scanned, among the primary unit and the subordinate units. Load balancing virus scanning traffic is much more likely to increase cluster performance. Virus scanning is processor intensive for the cluster unit that is performing the virus scanning. Distributing virus scanning over the cluster units significantly reduces the processing load on the primary unit. As a result overall cluster performance should improve. See [“Load balancing UTM sessions and TCP sessions” on page 249](#).

## More about active-active failover

If a subordinate unit fails, the primary unit re-distributes the connections that the subordinate unit was processing among the remaining active cluster members. If the primary unit fails, the subordinate units negotiate to select a new primary unit. The new primary unit continues to distribute packets among the remaining active cluster units.

Failover works in a similar way if the cluster consists of only two units. If the primary unit fails the subordinate unit negotiates and becomes the new primary unit. If the subordinate unit fails, the primary unit processes all traffic. In both cases, the single remaining unit continues to function as a primary unit, maintaining the HA virtual MAC address for all of its interfaces.

## HTTPS sessions, active-active load balancing, and proxy servers

To prevent HTTPS web filtering problems active-active HA does not load balance HTTPS sessions. The FortiGate unit identifies HTTPS sessions as all sessions received on the HTTPS TCP port. The default HTTPS port is 443. You can use the CLI command `config antivirus service` to configure the FortiGate unit to use a custom port for HTTPS sessions. If you change the HTTPS port using this CLI command, the FGCP stops load balancing all sessions that use the custom HTTPS port.

Normally you would not change the HTTPS port. However, if your network uses a proxy server for HTTPS traffic you may have to use the `config antivirus service` command to configure your cluster to use a custom HTTPS port. If your network uses a proxy server you might also use the same port for both HTTP and HTTPS traffic. In this case you would use `config antivirus service` to configure the FortiGate unit to use custom ports for both HTTP and HTTPS traffic.

Using the same port for HTTP and HTTPS traffic can cause problems with active-active clusters because active-active clusters always load balance HTTP traffic. If both HTTP and HTTPS use the same port, the active-active cluster cannot tell the difference between HTTP and HTTPS traffic and will load balance both HTTP and HTTPS traffic.

As mentioned above, load balancing HTTPS traffic may cause problems with HTTPS web filtering. To avoid this problem, you should configure your proxy server to use different ports for HTTP and HTTPS traffic. Then use the `config antivirus service` command to configure your cluster to also use different ports for HTTP and HTTPS.

## Using FortiGate network processor interfaces to accelerate active-active HA performance

Many FortiGate models and FortiGate AMC modules include network processors that can provide hardware acceleration for active-active HA load balancing by offloading load balancing from the primary unit CPU. HA load balancing can be accelerated by NP1 network processors (called FA2 interfaces), NP2 network processors and NP4 network processors.

In some cases, performance of the primary unit can be reduced by active-active HA load balancing. Primary unit CPU cycles and bus bandwidth are required to receive, calculate load balancing schedules, and send balanced packets to the subordinate units. In very busy active-active clusters the primary unit may not be able to keep up with the processing load. This can result in lost traffic and can also cause the primary unit to delay sending heartbeat packets possibly reducing the stability and reliability of the active-active HA cluster.

Adding network processors to busy cluster unit interfaces increases load balancing performance by offloading load balancing to the network processors. The first packet of every new session is received by the primary unit and the primary unit uses its load balancing schedule to select the cluster unit that will process the new session. This information is passed back to the network processor and all subsequent packets of the same sessions are received by the primary unit interface network processor which sends the packet directly to a subordinate unit without using the primary unit CPU. Load balancing is effectively offloaded from the primary unit to the network processor resulting in a faster and more stable active-active cluster.

Using network processors to accelerate load balancing is especially useful if the `load-balance-all` option is enabled and the cluster is load balancing all TCP sessions because this could mean that the cluster is load balancing an excessive number of sessions.

To take advantage of network processor load balancing acceleration, connect the cluster unit interfaces with network processors to the busiest networks. Connect non-accelerated interfaces to less busy networks. No special FortiOS or HA configuration is required. Network processor acceleration of active-active HA load balancing is supported for any active-active HA configuration or active-active HA load balancing schedule.

## Configuring load balancing settings

This section describes how to configure the following load balancing settings:

- [Selecting a load balancing schedule](#)
- [Load balancing UTM sessions and TCP sessions](#)
- [Configuring weighted-round-robin weights](#)

### Selecting a load balancing schedule

You can select the load balancing schedule when initially configuring the cluster and you can change the load balancing schedule at any time while the cluster is operating without affecting cluster operation.

You can select a load balancing schedule from the CLI. Use the following command to select a load balancing schedule:

```
config system ha
    set schedule {hub | ip | ipport | leastconnection | none |
        random | round-robin | weight-round-robin}
end
```

### Load balancing UTM sessions and TCP sessions

By default a FortiGate active-active cluster load balances UTM sessions among all cluster units. UTM processing applies protocol recognition, virus scanning, IPS, web filtering, email filtering, data leak prevention (DLP), application control, and VoIP content scanning and protection to HTTP, HTTPS, FTP, IMAP, IMAPS, POP3, POP3S, SMTP, SMTPS, IM, NNTP, SIP, SIMPLE, and SCCP sessions accepted by security policies. By load balancing this resource-intensive UTM processing among all cluster units, an active-active HA cluster may provide better UTM performance than a standalone FortiGate unit. Other features enabled in security policies such as Endpoint security, traffic shaping and authentication (identity-based policies) have no effect active-active load balancing.

All other sessions are processed by the primary unit. Using the CLI, you can configure the cluster to load balance TCP sessions among all cluster units in addition to UTM sessions. All UDP, ICMP, multicast, and broadcast sessions are never load balanced, but are always processed by the primary unit.

Use the following command to enable load balancing UTM and TCP sessions.

```
config system ha
    set load-balance-all enable
end
```

Enabling `load-balance-all` to load balance TCP sessions may not improve throughput because the cluster requires additional overhead to load balance sessions. The primary unit receives all sessions and load balances some TCP sessions to the subordinate units. Load balancing UTM sessions can improve performance because UTM session performance is limited by CPU performance. However, load balancing a non-UTM session usually requires about as much overhead as just processing it.

If your active-active cluster is processing TCP sessions and not performing UTM, you can enable `load-balance-all` and monitor network performance to see if it improves. If performance is not improved, you should change the HA mode to active-passive since active-active HA is not providing any benefit.

## Configuring weighted-round-robin weights

You can configure weighted round-robin load balancing for a cluster and configure the static weights for each of the cluster units according to their priority in the cluster. When you set `schedule` to `weight-round-robin` you can use the `weight` option to set the static weight of each cluster unit. The static weight is set according to the priority of each unit in the cluster. A FortiGate HA cluster can contain up to four FortiGate units so you can set up to 4 static weights.

The priority of a cluster unit is determined by its device priority, the number of monitored interfaces that are functioning, its age in the cluster and its serial number. Priorities are used to select a primary unit and to set an order of all of the subordinate units. Thus the priority order of a cluster unit can change depending on configuration settings, link failures and so on. Since weights are also set using this priority order the weights are independent of specific cluster units but do depend on the role of the each unit in the cluster.

You can use the following command to display the priority order of units in a cluster. The following example displays the priority order for a cluster of 5 FortiGate-620B units:

```
get system ha status
  Model: 620
  Mode: a-p
  Group: 0
  Debug: 0
  ses_pickup: disable
  Master:150 head_office_cla FG600B3908600825 0
  Slave :150 head_office_clb FG600B3908600705 1
  Slave :150 head_office_clc FG600B3908600702 2
  Slave :150 head_office_cld FG600B3908600605 3
  Slave :150 head_office_cle FG600B3908600309 4
  number of vcluster: 1
  vcluster 1: work 169.254.0.1
  Master:0 FG600B3908600825
  Slave :1 FG600B3908600705
  Slave :2 FG600B3908600702
  Slave :3 FG600B3908600605
  Slave :4 FG600B3908600309
```

The cluster units are listed in priority order starting at the 6th output line. The primary unit always has the highest priority and is listed first followed by the subordinate units in priority order. The last 5 output lines list the cluster units in vcluster 1 and are not always in priority order. For more information about the `get system ha status` command, see [“Viewing cluster status from the CLI” on page 183](#).

The default static weight for each cluster unit is 40. This means that sessions are distributed evenly among all cluster units. You can use the `set weight` command to change the static weights of cluster units to distribute sessions to cluster units depending on their priority in the cluster. The weight can be between 0 and 255. Increase the weight to increase the number of connections processed by the cluster unit with that priority.

You set the weight for each unit separately. For the example cluster of 5 FortiGate-620B units you can set the weight for each unit as follows:

```
config system ha
  set mode a-a
  set schedule weight-round-robin
  set weight 0 5
  set weight 1 10
  set weight 2 15
  set weight 3 20
  set weight 4 30
end
```

If you enter the `get` command to view the HA configuration the output for `weight` would be:

```
weight 5 10 15 20 30 40 40 40 40 40 40 40 40 40 40
```

This configuration has the following results if the output of the `get system ha status` command is that shown above:

- The first five connections are processed by the primary unit (host name head\_office\_cla, priority 0, weight 5). From the output of the
- The next 10 connections are processed by the first subordinate unit (host name head\_office\_clb, priority 1, weight 10)
- The next 15 connections are processed by the second subordinate unit (host name head\_office\_clc, priority 2, weight 15)
- The next 20 connections are processed by the third subordinate unit (host name head\_office\_cld, priority 3, weight 20)
- The next 30 connections are processed by the fourth subordinate unit (host name head\_office\_cle, priority 4, weight 30)

## Dynamically optimizing weighted load balancing according to how busy cluster units are

In conjunction with using static weights to load balance sessions among cluster units you can configure a cluster to load balance sessions according to individual cluster unit CPU usage, memory usage, and number of UTM proxy sessions. If any of these system loading indicators increases above configured thresholds, weighted load balancing sends fewer new sessions to the busy unit until it recovers.

High CPU or memory usage indicates that a unit is under increased load and may not be able to process more sessions. UTM proxy use is also a good indicator of how busy a cluster unit is since processing high numbers of UTM proxy sessions can quickly reduce overall cluster unit performance.

For example, if you set a CPU usage high watermark, when a cluster unit's CPU usage reaches the high watermark threshold fewer sessions are sent to it. With fewer sessions to process the cluster unit's CPU usage should fall back to a low watermark threshold. When this happens the cluster resumes load balancing sessions to the cluster unit as normal.



You can set different high and low watermark thresholds for CPU usage and memory usage, and for the number of HTTP, FTP, IMAP, POP3, SMTP, or NNTP UTM proxy sessions. For each loading indicator you set a high watermark threshold a low watermark threshold and a weight. When you first enable this feature the weighted load balancing configuration is synchronized to all cluster units. Subsequent changes to the weighted load balancing configuration are not synchronized so you can configure different weights on each cluster unit.

If a cluster unit's CPU usage reaches the high watermark threshold fewer sessions are sent to it. With fewer sessions to process the cluster unit's CPU usage should fall back to the low watermark threshold. When this happens the cluster resumes sending sessions to at the previous rate.

The CPU usage, memory usage, and UTM proxy weights determine how the cluster load balances sessions when a high watermark threshold is reached and also affect how the cluster load balances sessions when multiple cluster units reach different high watermark thresholds at the same time. For example, you might be less concerned about a cluster unit reaching the memory usage high watermark threshold than reaching the CPU usage high watermark threshold. If this is the case you can set the weight lower for memory usage. Then, if one cluster unit reaches the CPU usage high watermark threshold and a second cluster unit reaches the memory usage high watermark threshold the cluster will load balance more sessions to the unit with high memory usage and fewer sessions to the cluster unit with high CPU usage. As a result, reaching the CPU usage high watermark will have a greater affect on how sessions are redistributed than reaching the memory usage high watermark.

When a high watermark threshold is reached, the corresponding weight is subtracted from the static weight of the cluster unit. The lower the weight the fewer the number of sessions that are load balanced to that unit. Subsequently when the low watermark threshold is reached, the static weight of the cluster returns to its configured value. For the weights to all be effective the weights assigned to the load indicators should usually be lower than or equal to the static weights assigned to the cluster units.

Use the following command to set thresholds and weights for CPU and memory usage and UTM proxy sessions:

```
config system ha
  set mode a-a
  set schedule weight-round-robin
  set cpu-threshold <weight> <low> <high>
  set memory-threshold <weight> <low> <high>
  set http-proxy-threshold <weight> <low> <high>
  set ftp-proxy-threshold <weight> <low> <high>
  set imap-proxy-threshold <weight> <low> <high>
  set nntp-proxy-threshold <weight> <low> <high>
  set pop3-proxy-threshold <weight> <low> <high>
  set smtp-proxy-threshold <weight> <low> <high>
end
```

For each option, the weight range is 0 to 255 and the default weight is 5. The low and high watermarks are a percent (0 to 100). The default low and high watermarks are 0 which means they are disabled. The high watermark must be greater than the low watermark.

For CPU and memory usage the low and high watermarks are compared with the percentage CPU and memory use of the cluster unit. For each of the UTM proxies the high and low watermarks are compared to a number that represents percent of the max number of proxy sessions being used by a proxy. This number is calculated using the formula:



```
proxy usage = (current sessions * 100) / max sessions
```

where:

`current sessions` is the number of active sessions for the proxy type.

`max sessions` is the session limit for the proxy type. The session limit depends on the FortiGate unit and its configuration.

You can use the following command to display the maximum and current number of sessions for a UTM proxy:

```
get test { ftpd | http | imap | nntp | pop3 | smtp } 4
```

You can use the following command to display the maximum number of session and the and current number of sessions for all of the proxies:

```
get test proxyworker 4
```

The command output includes lines similar to the following:

```
get test http 4
HTTP Common
Current Connections          5000/8032
```

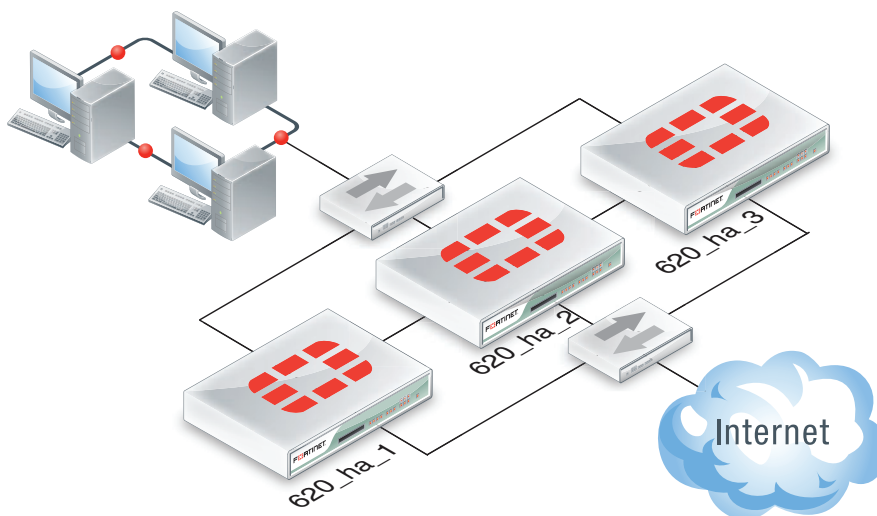
In the example, 5000 is the current number of proxy connections being used by HTTP and 8032 is the maximum number of proxy sessions allowed. For this example the proxy usage would be:

```
proxy usage = (5000 * 100) / 8032
proxy usage = 62%
```

## Example weighted load balancing configuration

Consider a cluster of three FortiGate-620B units with host names 620\_ha\_1, 620\_ha\_2, and 620\_ha\_3 as shown in Figure 30. This example describes how to configure weighted load balancing settings for CPU and memory usage for the cluster and then to configure UTM proxy weights to send most HTTP and POP3 proxy sessions to different cluster units.

**Figure 30: Example HA weighted load balancing configuration**



Connect to the cluster CLI and use the following command to set the CPU usage threshold weight to 30, low watermark to 60, and high watermark to 80. This command also sets the memory usage threshold weight to 10, low watermark to 60, and high watermark to 90.

```
config system ha
  set mode a-a
  set schedule weight-round-robin
  set cpu-threshold 30 60 80
  set memory-threshold 10 60 90
end
```

The static weights for the cluster units remain at the default values of 40. Since this command changes the mode to `a-a` and the schedule to `weight-round-robin` for the first time, the weight settings are synchronized to all cluster units.

As a result of this configuration, if the CPU usage of any cluster unit (for example, 620\_ha\_1) reaches 80% the static weight for that cluster unit is reduced from 40 to 10 and only 10 of every 120 new sessions are load balanced to this cluster unit. If the memory usage of 620\_ha\_1 also reaches 90% the static weight further reduces to 0 and no new sessions are load balanced to 620\_ha\_1. Also, if the memory usage of 620\_ha\_2 reaches 90% the static weight of 620\_ha\_2 reduces to 30 and 30 of every 120 new sessions are load balanced to 620\_ha\_2.

Now that you have established the weight load balancing configuration for the entire cluster you can monitor the cluster to verify that processing gets distributed evenly to all cluster units. From the web-based manager you can go to *System > Config > HA > View HA Statistics* and see the CPU usage, active sessions, memory usage and other statistics for all of the cluster units. If you notice that one cluster unit is more or less busy than others you can adjust the dynamic weights separately for each cluster unit.

For example, in some active-active clusters the primary unit may tend to be busier than other cluster units because in addition to processing sessions the primary unit also receives all packets sent to the cluster and performs load balancing to distribute the sessions to other cluster units. To reduce the load on the primary unit you could reduce the CPU and memory usage high watermark thresholds for the primary unit so that fewer sessions are distributed to the primary unit. You could also reduce the primary unit's high watermark setting for the proxies to distribute more proxy sessions to other cluster units.



Note that this would only be useful if you are using device priorities and override settings to make sure the same unit always becomes the primary unit. See [“Controlling primary unit selection using device priority and override” on page 38](#).

If the example cluster is configured for 620\_ha\_2 to be the primary unit, connect to the 620\_ha\_2's CLI and enter the following command to set CPU usage, memory usage, and proxy usage high watermark thresholds lower.

```
config system ha
  set cpu-threshold 30 60 70
  set memory-threshold 30 60 70
  set http-proxy-threshold 30 60 70
  set ftp-proxy-threshold 30 60 70
  set imap-proxy-threshold 30 60 70
  set nntp-proxy-threshold 30 60 70
  set pop3-proxy-threshold 30 60 70
  set smtp-proxy-threshold 30 60 70
end
```

As a result, when any of these factors reaches 70% on the primary unit, fewer sessions will be processed by the primary unit, preventing the number of sessions being processed from rising.

## NAT/Route mode active-active cluster packet flow

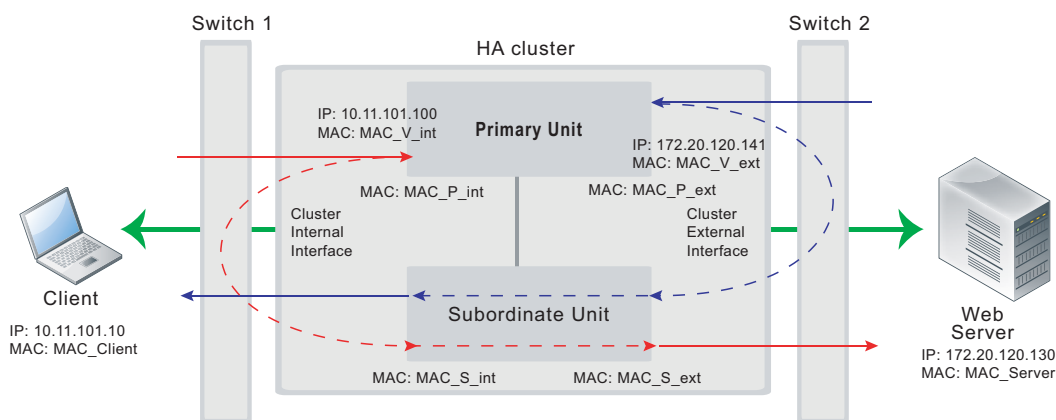
This section describes an example of how packets are load balanced and how failover occurs in an active-active HA cluster running in NAT/Route mode. In the example, the NAT/Route mode cluster acts as the internet firewall for a client computer's internal network. The client computer's default route points at the IP address of the cluster internal interface. The client connects to a web server on the Internet. Internet routing routes packets from the cluster external interface to the web server, and from the web server to the cluster external interface.

In NAT/Route mode, eight MAC addresses are involved in active-active communication between the client and the web server when the primary unit load balances packets to the subordinate unit:

- Internal virtual MAC address (MAC\_V\_int) assigned to the primary unit internal interface,
- External virtual MAC address (MAC\_V\_ext) assigned to the primary unit external interface,
- Client MAC address (MAC\_Client),
- Server MAC address (MAC\_Server),
- Primary unit original internal MAC address (MAC\_P\_int),
- Primary unit original external MAC address (MAC\_P\_ext),
- Subordinate unit internal MAC address (MAC\_S\_int),
- Subordinate unit external MAC address (MAC\_S\_ext).

In NAT/Route mode, the HA cluster works as a gateway when it responds to ARP requests. Therefore, the client and server only know the gateway MAC addresses. The client only knows the cluster internal virtual MAC address (MAC\_V\_int) and the server only know the cluster external virtual MAC address (MAC\_V\_ext). The cluster virtual MAC address is described in [“Cluster virtual MAC addresses” on page 205](#).

**Figure 31: NAT/Route mode active-active packet flow**



### Packet flow from client to web server

- 1 The client computer requests a connection from 10.11.101.10 to 172.20.120.130.
- 2 The default route on the client computer recognizes 10.11.101.100 (the cluster IP address) as the gateway to the external network where the web server is located.
- 3 The client computer issues an ARP request to 10.11.101.100.

- 4 The primary unit intercepts the ARP request, and responds with the internal virtual MAC address (MAC\_V\_int) which corresponds to its IP address of 10.11.101.100.
- 5 The client's request packet reaches the primary unit internal interface.

	IP address	MAC address
<b>Source</b>	10.11.101.10	MAC_Client
<b>Destination</b>	172.20.120.130	MAC_V_int

- 6 The primary unit decides that the subordinate unit should handle this packet, and forwards it to the subordinate unit internal interface. The source MAC address of the forwarded packet is changed to the actual MAC address of the primary unit internal interface.

	IP address	MAC address
<b>Source</b>	10.11.101.10	MAC_P_int
<b>Destination</b>	172.20.120.130	MAC_S_int

- 7 The subordinate unit recognizes that the packet has been forwarded from the primary unit and processes it.
- 8 The subordinate unit forwards the packet from its external interface to the web server.

	IP address	MAC address
<b>Source</b>	172.20.120.141	MAC_S_ext
<b>Destination</b>	172.20.120.130	MAC_Server

- 9 The primary unit forwards further packets in the same session to the subordinate unit.
- 10 Packets for other sessions are load balanced by the primary unit and either sent to the subordinate unit or processed by the primary unit.

### Packet flow from web server to client

- 1 When the web server responds to the client's packet, the cluster external interface IP address (172.20.120.141) is recognized as the gateway to the internal network.
- 2 The web server issues an ARP request to 172.20.120.141.
- 3 The primary unit intercepts the ARP request, and responds with the external virtual MAC address (MAC\_V\_ext) which corresponds its IP address of 172.20.120.141.
- 4 The web server then sends response packets to the primary unit external interface.

	IP address	MAC address
<b>Source</b>	172.20.120.130	MAC_Server
<b>Destination</b>	172.20.120.141	MAC_V_ext

- 5 The primary unit decides that the subordinate unit should handle this packet, and forwards it to the subordinate unit external interface. The source MAC address of the forwarded packet is changed to the actual MAC address of the primary unit external interface.

	IP address	MAC address
<b>Source</b>	172.20.120.130	MAC_P_ext
<b>Destination</b>	172.20.120.141	MAC_S_ext

- 6 The subordinate unit recognizes that packet has been forwarded from the primary unit and processes it.
- 7 The subordinate unit forwards the packet from its internal interface to the client.

	IP address	MAC address
<b>Source</b>	172.20.120.130	MAC_S_int
<b>Destination</b>	10.11.101.10	MAC_Client

- 8 The primary unit forwards further packets in the same session to the subordinate unit.
- 9 Packets for other sessions are load balanced by the primary unit and either sent to the subordinate unit or processed by the primary unit.

### When a failover occurs

The following steps are followed after a device or link failure of the primary unit causes a failover.

- 1 If the primary unit fails, the subordinate unit negotiates to become the primary unit.
- 2 The new primary unit changes the MAC addresses of all of its interfaces to the HA virtual MAC addresses.  
The new primary unit has the same IP addresses and MAC addresses as the failed primary unit.
- 3 The new primary unit sends gratuitous ARP packets to the 10.10.101.0 network to associate its internal IP address with the internal virtual MAC address.
- 4 The new primary unit sends gratuitous ARP packets to the 172.20.120.0 network to associate its external IP address with the external virtual MAC address.
- 5 Traffic sent to the cluster is now received and processed by the new primary unit.  
If there were more than two cluster units in the original cluster, the new primary unit would load balance packets to the remaining cluster members.

## Transparent mode active-active cluster packet flow

This section describes an example of how packets are load balanced and how failover occurs in an active-active HA cluster running in Transparent mode. The cluster is installed on an internal network in front of a mail server and the client connects to the mail server through the Transparent mode cluster.

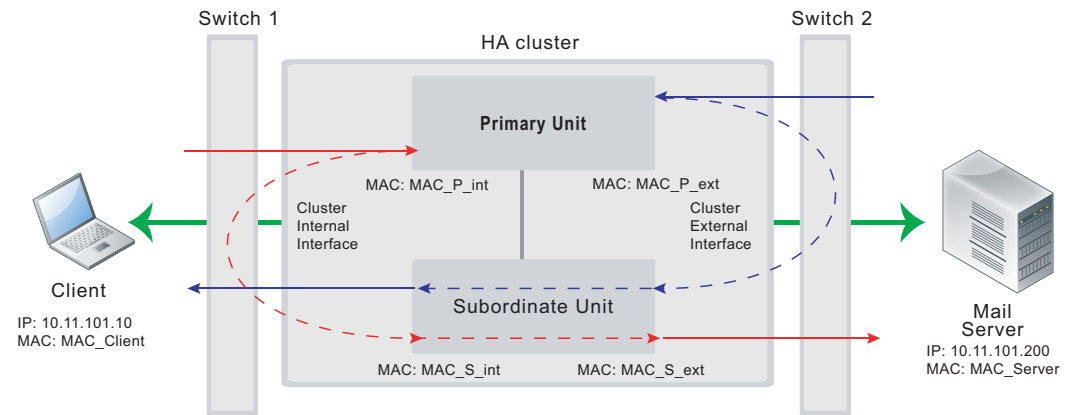
In Transparent mode, six MAC addresses are involved in active-active communication between a client and a server when the primary unit load balances packets to the subordinate unit:

- Client MAC address (MAC\_Client),
- Server MAC address (MAC\_Server),
- Primary unit original internal MAC address (MAC\_P\_int),
- Primary unit original external MAC address (MAC\_P\_ext),
- Subordinate unit internal MAC address (MAC\_S\_int),
- Subordinate unit external MAC address (MAC\_S\_ext).

The HA virtual MAC addresses are not directly involved in communication between the client and the server. The client computer sends packets to the mail server and the mail server sends responses. In both cases the packets are intercepted and load balanced among cluster members.

The cluster's presence on the network and its load balancing are transparent to the client and server computers. The primary unit sends gratuitous ARP packets to Switch 1 that associate all MAC addresses on the network segment connected to the cluster external interface with the external virtual MAC address. The primary unit also sends gratuitous ARP packets to Switch 2 that associate all MAC addresses on the network segment connected to the cluster internal interface with the internal virtual MAC address. In both cases, this results in the switches sending packets to the primary unit interfaces.

**Figure 32: Transparent mode active-active packet flow**



### Packet flow from client to mail server

- 1 The client computer requests a connection from 10.11.101.10 to 10.11.101.200.
- 2 The client computer issues an ARP request to 10.11.101.200.
- 3 The primary unit forwards the ARP request to the mail server.
- 4 The mail server responds with its MAC address (MAC\_Server) which corresponds to its IP address of 10.11.101.200. The primary unit returns the ARP response to the client computer.
- 5 The client's request packet reaches the primary unit internal interface.

	IP address	MAC address
<b>Source</b>	10.11.101.10	MAC_Client
<b>Destination</b>	10.11.101.200	MAC_Server

- 6 The primary unit decides that the subordinate unit should handle this packet, and forwards it to the subordinate unit internal interface. The source MAC address of the forwarded packet is changed to the actual MAC address of the primary unit internal interface.

	IP address	MAC address
<b>Source</b>	10.11.101.10	MAC_P_int
<b>Destination</b>	10.11.101.200	MAC_S_int

- 7 The subordinate unit recognizes that packet has been forwarded from the primary unit and processes it.
- 8 The subordinate unit forwards the packet from its external interface to the mail server.

	IP address	MAC address
<b>Source</b>	10.11.101.10	MAC_S_ext
<b>Destination</b>	10.11.101.200	MAC_Server

- 9 The primary unit forwards further packets in the same session to the subordinate unit.
- 10 Packets for other sessions are load balanced by the primary unit and either sent to the subordinate unit or processed by the primary unit.

### Packet flow from mail server to client

- 1 To respond to the client computer, the mail server issues an ARP request to 10.11.101.10.
- 2 The primary unit forwards the ARP request to the client computer.
- 3 The client computer responds with its MAC address (MAC\_Client) which corresponds to its IP address of 10.11.101.10. The primary unit returns the ARP response to the mail server.
- 4 The mail server's response packet reaches the primary unit external interface.

	IP address	MAC address
<b>Source</b>	10.11.101.200	MAC_Server
<b>Destination</b>	10.11.101.10	MAC_Client

- 5 The primary unit decides that the subordinate unit should handle this packet, and forwards it to the subordinate unit external interface. The source MAC address of the forwarded packet is changed to the actual MAC address of the primary unit external interface.

	IP address	MAC address
<b>Source</b>	10.11.101.200	MAC_P_ext
<b>Destination</b>	10.11.101.10	MAC_S_ext

- 6 The subordinate unit recognizes that packet has been forwarded from the primary unit and processes it.
- 7 The subordinate unit forwards the packet from its internal interface to the client.

	IP address	MAC address
<b>Source</b>	10.11.101.200	MAC_S_int
<b>Destination</b>	10.11.101.10	MAC_Client

- 8 The primary unit forwards further packets in the same session to the subordinate unit.
- 9 Packets for other sessions are load balanced by the primary unit and either sent to the subordinate unit or processed by the primary unit.

### When a failover occurs

The following steps are followed after a device or link failure of the primary unit causes a failover.

- 1 If the primary unit fails the subordinate unit negotiates to become the primary unit.
- 2 The new primary unit changes the MAC addresses of all of its interfaces to the HA virtual MAC address.

- 3 The new primary unit sends gratuitous ARP requests to switch 1 to associate its MAC address with the MAC addresses on the network segment connected to the external interface.
- 4 The new primary unit sends gratuitous ARP requests to switch 2 to associate its MAC address with the MAC addresses on the network segment connected to the internal interface.
- 5 Traffic sent to the cluster is now received and processed by the new primary unit.  
If there were more than two cluster units in the original cluster, the new primary unit would load balance packets to the remaining cluster members.





# HA with third-party products

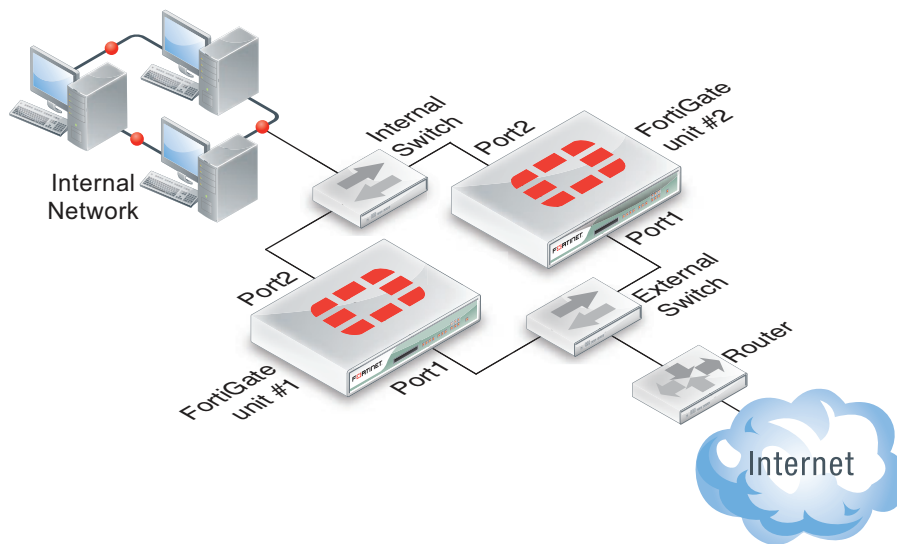
This chapter provides information about operating FortiGate clusters with third party products such as layer-2 and layer-3 switches. This chapter describes:

- [Troubleshooting layer-2 switches](#)
- [Failover issues with layer-3 switches](#)
- [Changing spanning tree protocol settings for some switches](#)
- [Failover and attached network equipment](#)
- [Ethernet conflicts with third-party switches](#)
- [LACP, 802.3ad aggregation and third-party switches](#)

## Troubleshooting layer-2 switches

Issues may occur because of the way an HA cluster assigns MAC addresses to the primary unit. In a functioning HA cluster, all primary unit interfaces are assigned the same virtual MAC address. The last byte of the virtual MAC address is the hexadecimal equivalent of the group ID. See [“Cluster virtual MAC addresses” on page 205](#) for more information about the HA group ID and the cluster virtual MAC address.

**Figure 33: Typical HA configuration, each interface connected to a different switch**



Assigning the virtual MAC addresses in this way results in two restrictions when installing HA clusters:

- Two clusters with the same group ID cannot connect to the same switch and cannot be installed on the same network unless they are separated by a router.
- Two or more interfaces on the same primary unit cannot be connected to the same switch unless the traffic is separated using VLANs and unless the switch is VLAN-aware.

## Forwarding delay on layer 2 switches

You must ensure that if there is a switch between the FortiGate HA cluster and the network it is protecting and the switch has a forwarding delay (even if spanning tree is disabled) when one of its interfaces is activated then the forwarding delay should be set as low as possible. For example, some versions of Cisco IOS have a forwarding delay of 15 seconds even when spanning tree is disabled. If left at this default value then TCP session pickup can fail because traffic is not forwarded through the switch on HA failover.

## Failover issues with layer-3 switches

After a failover, the new primary unit sends gratuitous ARP packets to refresh the MAC forwarding tables of the switches connected to the cluster. If the cluster is connected using layer-2 switches, the MAC forwarding tables (also called arp tables) are refreshed by the gratuitous ARP packets and the switches start directing packets to the new primary unit.

In some configurations that use layer-3 switches, after a failover, the layer-3 switches may not successfully re-direct traffic to the new primary unit. The possible reason for this is that the layer-3 switch might keep a table of IP addresses and interfaces and may not update this table for a relatively long time after the failover (the table is not updated by the gratuitous ARP packets). Until the table is updated, the layer-3 switch keeps forwarding packets to the now failed cluster unit. As a result, traffic stops and the cluster does not function.

As of the release date of this document, Fortinet has not developed a workaround for this problem. One possible solution would be to clear the forwarding table on the layer-3 switch.

The `config system ha link-failed-signal` command described in [“Updating MAC forwarding tables when a link failover occurs” on page 226](#) can be used to resolve link failover issues similar to those described here.

## Changing spanning tree protocol settings for some switches

Configuration changes may be required when you are running an active-active HA cluster that is connected to a switch that operates using the spanning tree protocol. For example, the following spanning tree parameters may need to be changed:

<b>Maximum Age</b>	The time that a bridge stores the spanning tree bridge control data unit (BPDU) before discarding it. A maximum age of 20 seconds means it may take 20 seconds before the switch changes a port to the listening state.
<b>Forward Delay</b>	The time that a connected port stays in listening and learning state. A forward delay of 15 seconds assumes a maximum network size of seven bridge hops, a maximum of three lost BPDUs and a hello-interval of 2 seconds.

For an active-active HA cluster to be compatible with the spanning tree algorithm, the FGCP requires that the sum of maximum age and forward delay should be less than 20 seconds. The maximum age and forward delay settings are designed to prevent layer 2 loops. If there is no possibility of layer 2 loops in the network, you could reduce the forward delay to the minimum value.

For some Dell 3348 switches the default maximum age is 20 seconds and the default forward delay is 15 seconds. In this configuration the switch cannot work with a FortiGate HA cluster. However, the switch and cluster are compatible if the maximum age is reduced to 10 seconds and the forward delay is reduced to 5 seconds.

## Spanning Tree protocol (STP)

Spanning tree protocol is an IEEE 802.1 standard link management protocol that for media access control bridges. STP uses the spanning tree algorithm to provide path redundancy while preventing undesirable loops in a network that are created by multiple active paths between stations. Loops can be created if there are more than route between two hosts. To control path redundancy, STP creates a tree that spans all of the switches in an extended network. Using the information in the tree, the STP can force redundant paths into a standby, or blocked, state. The result is that only one active path is available at a time between any two network devices (preventing looping). Redundant links are used as backups if the initial link should fail. Without spanning tree in place, it is possible that two connections may be simultaneously live, which could result in an endless loop of traffic on the network.

## Bridge Protocol Data Unit (BPDU)

BPDU are spanning tree data messages exchanged across switches within an extended network. BPDU packets contain information on ports, addresses, priorities and costs and ensure that the data ends up where it was intended to go. BPDU messages are exchanged across bridges to detect loops in a network topology. The loops are then removed by shutting down selected bridge interfaces and placing redundant switch ports in a backup, or blocked, state.

## Failover and attached network equipment

It normally takes a cluster approximately 6 seconds to complete a failover. However, the actual failover time may depend on how quickly the switches connected to the cluster interfaces accept the cluster MAC address update from the primary unit. If the switches do not recognize and accept the gratuitous ARP packets and update their MAC forwarding table, the failover time will increase.

Also, individual session failover depends on whether the cluster is operating in active-active or active-passive mode, and whether the content of the traffic is to be virus scanned. Depending on application behavior, it may take a TCP session a longer period of time (up to 30 seconds) to recover completely.

## Ethertype conflicts with third-party switches

Some third-party network equipment may use packets with Ethertypes that are the same as the ethertypes used for HA heartbeat packets. For example, Cisco N5K/Nexus switches use Ethertype 0x8890 for some functions. When one of these switches receives Ethertype 0x8890 heartbeat packets from an attached cluster unit, the switch generates CRC errors and the packets are not forwarded. As a result, FortiGate units connected with these switches cannot form a cluster.

In some cases, if the heartbeat interfaces are connected and configured so regular traffic flows but heartbeat traffic is not forwarded, you can change the configuration of the switch that connects the HA heartbeat interfaces to allow level2 frames with Ethertypes 0x8890, 0x8893, and 0x8891 to pass.

You can also use the following CLI commands to change the Ethertypes of the HA heartbeat packets:

```
config system ha
  set ha-eth-type <ha_ethertype_4-digit_hex>
  set hc-eth-type <hc_ethertype_4-digit_hex>
  set l2ep-eth-type <l2ep_ethertype_4-digit_hex>
end
```

For more information, see [“Heartbeat packet Ethertypes” on page 202](#).

## LACP, 802.3ad aggregation and third-party switches

If a cluster contains 802.3ad aggregated interfaces you should connect the cluster to switches that support configuring multiple Link Aggregation (LAG) groups.

The primary and subordinate unit interfaces have the same MAC address, so if you cannot configure multiple LAG groups a switch may place all interfaces with the same MAC address into the same LAG group; disrupting the operation of the cluster.

You can change the FortiGate configuration to prevent subordinate units from participating in LACP negotiation. For example, use the following command to do this for an aggregate interface named Port1\_Port2:

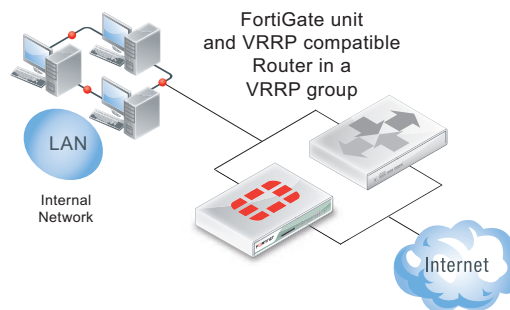
```
config system interface
  edit Port1_Port2
    set lacp-ha-slave disable
  end
```

This configuration prevents the subordinate unit interfaces from sending or receiving packets. Resulting in the cluster not being able to operate in active-active mode. As well, failover may be slower because after a failover the new primary unit has to perform LACP negotiation before being able to process network traffic.

For more information, see [“Example: HA and 802.3ad aggregated interfaces” on page 85](#).

# VRRP

A Virtual Router Redundancy Protocol (VRRP) configuration can be used as a high availability solution to make sure that a network maintains connectivity with the Internet (or with other networks) even if the default router for the network fails. Using VRRP, if a router or a FortiGate unit fails all traffic to this router transparently fails over to another router or FortiGate unit that takes over the role of the router or FortiGate unit that failed. If the failed router or FortiGate unit is restored, it will once again take over processing traffic for the network. VRRP is described by [RFC 3768](#).



To configure VRRP you create a VRRP group that contains two or more routers. Some or all of these routers can be FortiGate units. You can include different FortiGate models in the same VRRP group. The group members are configured to be the master router and one or more backup routers of the VRRP group. The network directs all traffic to the master's IP address and MAC address. If the master fails, VRRP dynamically shifts packet forwarding to a backup router. VRRP provides this redundancy without user intervention or additional configuration to any of the devices on the network.

The VRRP redundancy scheme means that devices on the network keep a single IP address for the default gateway and this IP address maps to a well-known virtual MAC address. If the VRRP master fails, one of the backup units becomes the new master and acquires virtual IP and MAC addresses that match the addresses of the master. The network then automatically directs all traffic to the backup unit. VRRP uses the broadcast capabilities of Ethernet networks. As long as one of the routers in a VRRP group is running, ARP requests for the default gateway IP address always receive replies. Additionally, hosts can send packets outside their subnet without interruption.

FortiGate units support VRRP and can be quickly and easily integrated into a network that has already deployed a group of routers using VRRP. You can also create a new VRRP configuration consisting of a FortiGate unit acting as a VRRP master with one or more VRRP-compatible routers acting as backup routers. Some or all of those backup routers can be FortiGate units.

During normal operation the VRRP master unit sends VRRP advertisement messages to the backup units. A backup unit will not attempt to become a master unit while it is receiving these messages. When a FortiGate unit operating as a VRRP master fails, a backup unit takes its place and continues processing network traffic. The backup unit assumes the master unit has failed if it stops receiving the advertisement messages from the master unit. The backup unit with the highest priority becomes the new master unit after a short delay. During this delay the new master unit sends gratuitous ARPs to the network to map the virtual router IP address to its MAC address. As a result, all packets sent to the default route IP address are sent to the new master unit. If the backup unit is a FortiGate unit, the network continues to benefit from FortiOS security features. If the backup unit is a router, after a failure traffic will continue to flow, but FortiOS security features will be unavailable until the FortiGate unit is back on line.

During a VRRP failover, as the backup unit starts to forward traffic it will not have session information for all of the failed over in-progress sessions. If the backup unit is operating as a normal FortiGate unit it will not be able to forward this traffic because of the lack of session information. To resolve this problem, immediately after a failover and for a short time as its taking over traffic processing, the backup unit operates with asymmetric routing enabled. This allows the backup unit to re-create all of the in-progress sessions and add them to the session table. While operating with asymmetric routing enabled, the backup unit cannot apply security functions. When the start-time ends the backup unit disables asymmetric routing and returns to normal operation including applying security functions.

## Adding a VRRP virtual router to a FortiGate interface

Use the following command to add a VRRP virtual router to the port10 interface of a FortiGate unit. This VRRP virtual router has a virtual router ID of 200, uses IP address 10.31.101.200 and has a priority of 255. Since this is the highest priority this interface is configured to be the master of the VRRP group with ID number 200.

```
config system interface
  edit port10
    config vrrp
      edit 200
        set vrip 10.31.101.200
        set priority 255
      end
    end
  end
```

## VRRP virtual MAC address

The VRRP virtual MAC address (or virtual router MAC address) is a shared MAC address adopted by the VRRP master. If the master fails the same virtual MAC master fails over to the new master. As a result, all packets for VRRP routers can continue to use the same virtual MAC address. You must enable the VRRP virtual MAC address feature on all members of a VRRP group.

Each VRRP router is associated with its own virtual MAC address. The last part of the virtual MAC depends on the VRRP virtual router ID using the following format:

```
00-00-5E-00-01-<VRID_hex>
```

Where <VRID\_hex> is the VRRP virtual router ID in hexadecimal format in internet standard bit-order. For more information about the format of the virtual MAC see RFC 3768.

Some examples:

- If the VRRP virtual router ID is 10 the virtual MAC would be 00-00-5E-00-01-05.
- If the VRRP virtual router ID is 200 the virtual MAC would be 00-00-5E-00-01-c8.

The VRRP virtual MAC address feature is disabled by default. When you enable the feature on a FortiGate interface, all of the VRRP routers added to that interface use their own VRRP virtual MAC address. Each virtual MAC address will be different because each virtual router has its own ID.

Use the following command to enable the VRRP virtual MAC address on the port2 interface:

```
config system interface
  edit port2
    set vrrp-virtual-mac enable
  end
```

```
end
```

The port2 interface will now accept packets sent to the MAC addresses of the VRRP virtual routers added to this interface.

Using the VRRP virtual MAC address can improve network efficiency especially on large and complex LANs because when a failover occurs devices on the LAN do not have to learn a new MAC address for the new VRRP router.

If the VRRP virtual MAC address feature is disabled, the VRRP group uses the MAC address of the master. In the case of a FortiGate VRRP virtual router this is the MAC address of the FortiGate interface that the VRRP virtual routers are added to. If a master fails, when the new master takes over it sends gratuitous ARPs to associate the VRRP virtual router IP address with the MAC address of the new master (or the interface of the FortiGate unit that has become the new master). If the VRRP virtual MAC address is enabled the new master uses the same MAC address as the old master.

## Configuring VRRP

To configure VRRP you must configure two or more FortiGate interfaces or routers with the same virtual router ID and IP address. Then these FortiGate units or routers can automatically join the same VRRP group. You must also assign priorities to each of the FortiGate units or routers in the VRRP group. One of the FortiGate units or routers must have the highest priority to become the master. The other FortiGate units or routers in the group are assigned lower priorities and become backup units. All of the units in the VRRP group should have different priorities. If the master unit fails, VRRP automatically fails over to the remaining unit in the group with the highest priority.

You configure VRRP from the FortiGate CLI by adding a VRRP virtual router to a FortiGate interface. You can add VRRP virtual routers to multiple FortiGate interfaces and you can add more than one virtual router to the same interface.

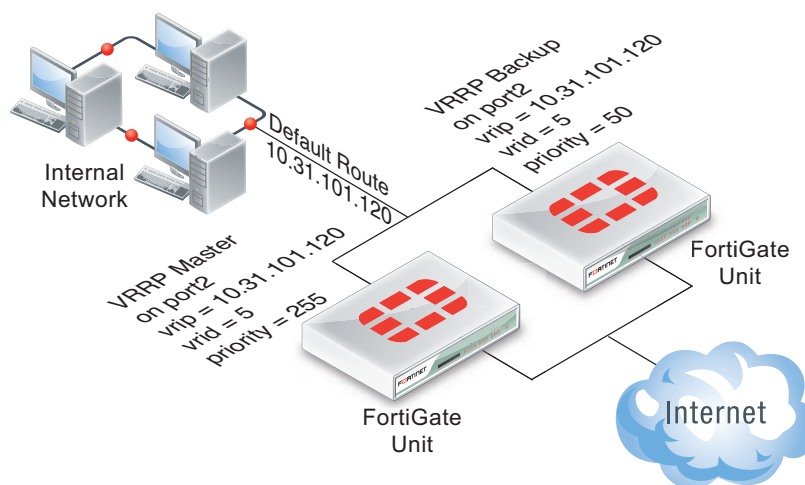
### Example VRRP configuration: two FortiGate units in a VRRP group

This example includes a VRRP group consisting of two FortiGate units that connect an internal network to the Internet. As shown in [Figure 34](#), the internal network's default route is 10.31.101.120.

The FortiGate port2 interfaces connect to the internal network. A VRRP virtual router is added to each FortiGate unit's port2 interface. The virtual router IP address is 10.31.101.120 (the internal network's default route) and the virtual router's ID is 5. The VRRP priority of the master unit is set to 255 and the VRRP priority of the backup unit is 50. The port2 interface of each FortiGate unit should have an IP address that is different from the virtual router IP address and the port2 interface IP addresses should be different from each other.

This example also includes enabling the VRRP virtual MAC address on both FortiGate unit port2 interfaces so that the VRRP group uses the VRRP virtual MAC address.



**Figure 34: Example VRRP configuration with two FortiGate units****To configure the FortiGate units for VRRP**

- 1 Select one of the FortiGate units to be the VRRP master and the other to be the backup unit.
- 2 From the master unit's CLI, enter the following command to enable the VRRP virtual MAC address on the port2 interface and add the VRRP virtual router to the port2 interface:

```
config system interface
  edit port2
    set vrrp-virtual-mac enable
    config vrrp
      edit 5
        set vrip 10.31.101.120
        set priority 255
      end
    end
  end
```

- 3 From the backup unit's CLI, enter the following command to enable the VRRP virtual MAC address on the port2 interface and add the VRRP virtual router to the port2 interface:

```
config system interface
  edit port2
    set vrrp-virtual-mac enable
    config vrrp
      edit 5
        set vrip 10.31.101.120
        set priority 50
      end
    end
  end
```



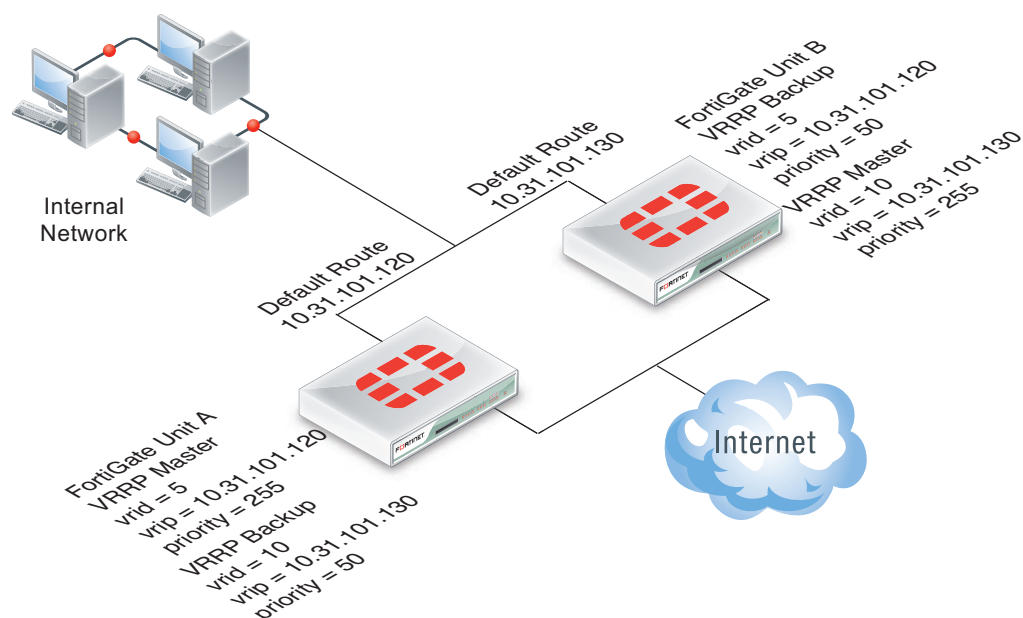
## Example VRRP configuration: VRRP load balancing two FortiGate units and two VRRP groups

In this configuration two VRRP groups are involved. Each FortiGate unit participates in both of them. One FortiGate unit is the master of one group and the other FortiGate unit is the master of the other group. The network distributes traffic between two different default routes (10.31.101.120 and 10.31.101.130). One VRRP group is configured with one of the default route IP addresses and the other VRRP group get the other default route IP address. So during normal operation both FortiGate units are processing traffic and the VRRP groups are used to load balance the traffic between the two FortiGate units.

If one of the FortiGate units fails, the remaining FortiGate unit becomes the master of both VRRP groups. The network sends all traffic for both default routes to this FortiGate unit. The result is a configuration that under normal operation load balances traffic between two FortiGate units, but if one of the FortiGate units fails, all traffic fails over to the unit that is still operating.

This example also includes enabling the VRRP virtual MAC address on both FortiGate unit port2 interfaces so that the VRRP groups use their VRRP virtual MAC addresses.

**Figure 35: Example VRRP configuration with two FortiGate units and two VRRP groups**



### To configure the FortiGate units

- 1 Log into the CLI of FortiGate unit A.
- 2 Enter the following command to enable the VRRP virtual MAC address feature and add the VRRP groups to the port2 interface of FortiGate unit A:

```
config system interface
edit port2
set vrrp-virtual-mac enable
config vrrp
edit 50 (32)
set vrip 10.31.101.120
set priority 255
```

```
        next
        edit 100 (64)
            set vrip 10.31.101.130
            set priority 50
        end
    end
```

**3** Log into the CLI of FortiGate unit B.

**4** Enter the following command to enable the VRRP virtual MAC address feature and add the VRRP groups to the port2 interface of FortiGate unit B:

```
config system interface
    edit port2
        set vrrp-virtual-mac enable
    config vrrp
        edit 50
            set vrip 10.31.101.120
            set priority 50
        next
        edit 100
            set vrip 10.31.101.130
            set priority 255
        end
    end
```

## Optional VRRP configuration settings

In addition to the basic configuration settings, you can change to the VRRP configuration to:

- Adjust the virtual router advertisement message interval between 1 and 255 seconds using the `adv-interval` option.
- Adjust the startup time using the `start-time` option. The default start time is 3 seconds and the range is 1 to 255 seconds. The start time is the maximum time that the backup unit waits between receiving advertisement messages from the master unit. If the backup unit does not receive an advertisement message during this time it assumes the master has failed and becomes the new master unit. In some cases the advertisement messages may be delayed. For example, some switches with spanning tree enabled may delay some of the advertisement message packets. If you find that backup units are attempting to become master units without the master unit failing, you can extend the start time to make sure the backup units wait long enough for the advertisement messages.
- Enable or disable individual virtual router configurations using the `status` option. Normally virtual router configurations are enabled but you can temporarily disable one if its not required.
- Enable or disable preempt mode using the `preempt` option. In preempt mode a higher priority backup unit can preempt a lower priority master unit. This can happen if a master has failed, a backup unit has become the master unit, and the failed master is restarted. Since the restarted unit will have a higher priority, if preempt mode is enabled the restarted unit will replace the current master unit. Preempt mode is enabled by default.
- Monitor the route to a destination IP address using the `vrdst` option.



# TCP session synchronization

You can use the `config system session-sync` command to configure TCP session synchronization (also called standalone session synchronization) between two standalone FortiGate units. You can use this feature with external routers or load balancers configured to distribute or load balance TCP sessions between two peer FortiGate units. If one of the peers fails, session failover occurs and active TCP sessions fail over to the peer that is still operating. This failover occurs without any loss of data. As well, the external routers or load balancers will detect the failover and re-distribute all sessions to the peer that is still operating.



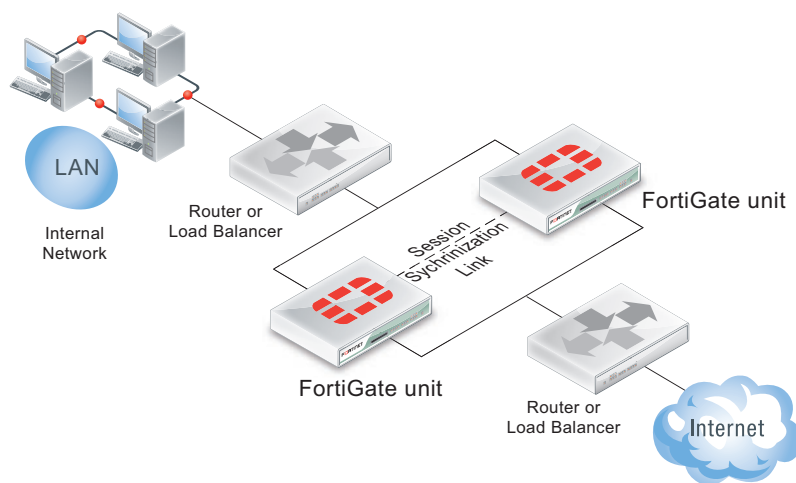
Standalone session synchronization between two standalone FortiGate units is also sometimes called TCP session synchronization or session synchronization between non-HA FortiGate units.



You cannot configure standalone session synchronization when HA is enabled.

Standalone session synchronization can be used instead of HA to provide TCP session synchronization between two peer FortiGate units. If the external load balancers direct all sessions to one peer the affect is similar to active-passive HA. If external load balancers or routers load balance traffic to both peers, the effect is similar to active-active HA. The load balancers should be configured so that all of the packets for any given session are processed by the same peer. This includes return packets.

**Figure 36: Standalone session synchronization**



By default, standalone session synchronization synchronizes all TCP sessions. You can optionally add filters to a configuration control which TCP sessions are synchronized. You can add filters to only synchronize packets from specified source and destination addresses, specified source and destination interfaces, and specified predefined firewall TCP services.

Unlike HA, standalone session synchronization does not include configuration synchronization. In fact, the configuration of the two peers is not identical because in most cases the peers would have different IP addresses. Also unlike HA, load balancing is done by external routers or load balancers. The FortiGate units only perform session synchronization and session failover.

## Notes and limitations

Standalone session synchronization has the following limitations:

- Only TCP sessions accepted by security policies are synchronized. Due to their non-stateful nature, UDP and ICMP sessions don't need to be synchronized to naturally failover.
- Standalone session synchronization is a global configuration option. As a result you can only add one predefined firewall TCP service to a filter configuration. You cannot add custom services or service groups even if virtual domains are not enabled.
- You can only add one filter configuration to a given standalone session synchronization configuration. However, you can add multiple filters by adding multiple identical standalone session synchronization configurations, each one with a different filter configuration.
- Sessions accepted by security policies with UTM options configured are not synchronized.
- Sessions that include network address translation (NAT) applied by selecting NAT in security policies are not synchronized because the address translation binds to a FortiGate unit address and the peers have different IP addresses.
- Session synchronization is a CLI only configuration.
- Session synchronization is available for FortiGate units or virtual domains operating in NAT/Route or Transparent mode. NAT sessions are not synchronized in either mode. In NAT/Route mode, only sessions for route mode security policies are synchronized. In Transparent mode, only sessions for normal Transparent mode policies are synchronized.
- Session synchronization cannot be asymmetric. Session synchronization is stateful. So all of the packets of a given session must be processed on the same peer. This includes return packets. You must configure the load balancers so that they do not cause asymmetric routing.
- Session synchronization is supported for traffic on physical interfaces, VLAN interfaces, zones, and aggregate interfaces. Session synchronization has not been tested for inter-vdom links, accelerated interfaces (FA2 and NP2), between HA clusters, and for redundant interfaces.
- The names of the matching interfaces, including VLAN interfaces, aggregate interfaces and so on, must be the same on both peers.

## Configuring session synchronization

You configure session synchronization for each virtual domain to be synchronized. If virtual domain configuration is not enabled, you configure session synchronization for the root virtual domain. When virtual domain configuration is enabled and you have added virtual domains you configure session synchronization for each virtual domain to be synchronized. You don't have to synchronize all of the virtual domains.

You must configure session synchronization on both peers. The session synchronization configurations of each peer should complement the other. In fact you can manage and configure both peers as separate FortiGate units. Using FortiManager, you can manage both peers as two separate FortiGate devices.

On each peer, configuring session synchronization consists of selecting the virtual domains to be synchronized using the `syncvd` field, selecting the virtual domain on the other peer that receives the synchronization packets using the `peervd` field, and setting IP address of the interface in the peer unit that receives the synchronization packets using the `peerip` field. The interface with the `peerip` must be in the `peervd` virtual domain.

The `syncvd` and `peervd` settings must be the same on both peers. However, the `peerip` settings will be different because the `peerip` setting on the first peer includes the IP address of an interface on the second peer. And the `peerip` setting on the second peer includes the IP address of an interface on the first peer.

Because session synchronization does not synchronize FortiGate configuration settings you must configure both peers separately. For session synchronization to work properly all session synchronized virtual domains must be added to both peers. The names of the matching interfaces in each virtual domain must also be the same; this includes the names of matching VLAN interfaces. Note that the index numbers of the matching interfaces and VLAN interfaces can be different. Also the VLAN IDs of the matching VLAN interfaces can be different.

As well, the session synchronized virtual domains should have the same security policies so that sessions can be resumed after a failover using the same security policies.

For a configuration example, see [“Basic example configuration” on page 274](#).

## Configuring the session synchronization link

When session synchronization is operating, the peers share session information over an Ethernet link between the peers similar to an HA heartbeat link. Usually you would use the same interface on each peer for session synchronization. You should connect the session synchronization interfaces directly without using a switch or other networking equipment. If possible use a crossover cable for the session synchronization link. For FortiGate-5000 systems you can use a backplane interface as the session synchronization link.

You can use different interfaces on each peer for session synchronization links. Also, if you have multiple sessions synchronization configurations, you can have multiple session synchronization links between the peers. In fact if you are synchronizing a lot of sessions, you may want to configure and connect multiple session synchronization links to distribute session synchronization traffic to these multiple links.

You cannot configure backup session synchronization links. Each configuration only includes one session synchronization link.

The session synchronization link should always be maintained. If session synchronization communication is interrupted and a failure occurs, sessions will not failover and data could be lost.

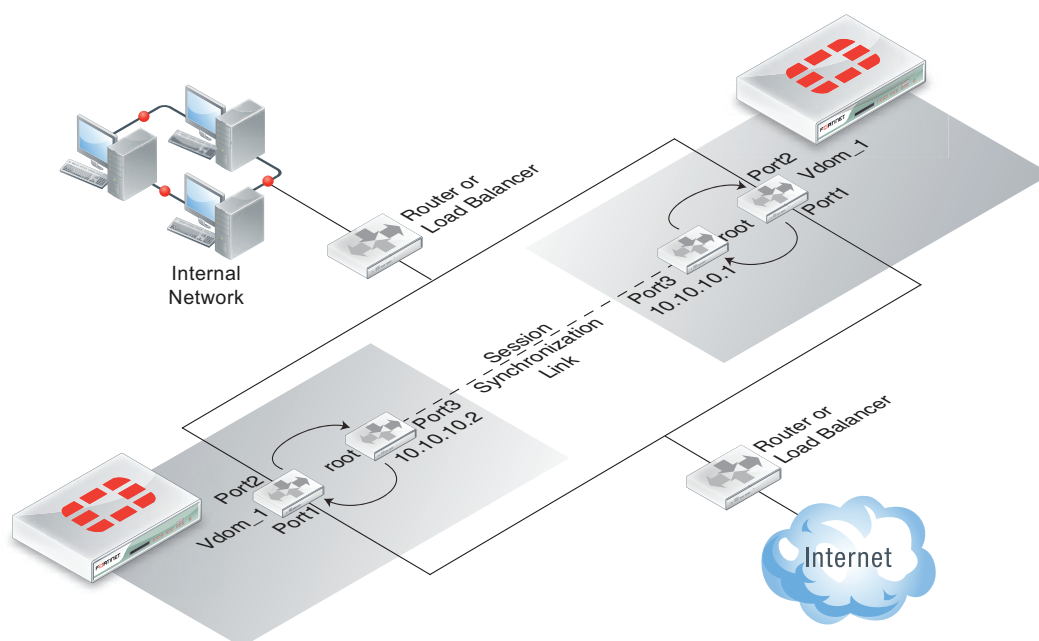
Session synchronization traffic can use a considerable amount of network bandwidth. If possible, session synchronization link interfaces should only be used for session synchronization traffic and not for data traffic.

## Basic example configuration

The following configuration example shows how to configure a basic session synchronization configuration for two peer FortiGate units shown in [Figure 37 on page 274](#). The host names of peers are peer\_1 and peer\_2. Both peers are configured with two virtual domains: root and vdom\_1. All sessions processed by vdom\_1 are synchronized. The synchronization link interface is port3 which is in the root virtual domain. The IP address of port3 on peer\_1 is 10.10.10.1. The IP address of port3 on peer\_2 is 10.10.10.2.

Also on both peers, port1 and port2 are added to vdom\_1. On peer\_1 the IP address of port1 is set to 192.168.20.1 and the IP address of port2 is set to 172.110.20.1. On peer\_2 the IP address of port1 is set to 192.168.20.2 and the IP address of port2 is set to 172.110.20.2.

**Figure 37: Example standalone session synchronization network configuration**



### To configure standalone session synchronization

- 1 Configure the load balancer or router to send all sessions to peer\_1.
- 2 Configure the load balancer or router to send all traffic to peer\_2 if peer\_1 fails.
- 3 Use normal FortiGate configuration steps on peer\_1:
  - Enable virtual domain configuration.
  - Add the vdom\_1 virtual domain.
  - Add port1 and port2 to the vdom\_1 virtual domain and configure these interfaces.
  - Set the IP address of port1 to 192.168.20.1.
  - Set the IP address of port2 to 172.110.20.1.
  - Set the IP address of port3 to 10.10.10.1.
  - Add route mode security policies between port1 and port2 to vdom\_1.
- 4 Enter the following commands to configure session synchronization for peer\_1
 

```
config system session-sync
```

```
edit 1
  set peerip 10.10.10.2
  set peervd root
  set syncvd vdom_1
end
```

**5** Use normal FortiGate configuration steps on peer\_2:

- Enable virtual domain configuration.
- Add the vdom\_1 virtual domain.
- Add port1 and port2 to the vdom\_1 virtual domain and configure these interfaces.
- Set the IP address of port1 to 192.168.20.2.
- Set the IP address of port2 to 172.110.20.2.
- Set the IP address of port3 to 10.10.10.1.
- Add route mode security policies between port1 and port2 to vdom\_1.

**6** Enter the following commands to configure session synchronization for peer\_1

```
config system session-sync
  edit 1
    set peerip 10.10.10.1
    set peervd root
    set syncvd vdom_1
  end
```

**To add a filter**

You can add a filter to this basic configuration if you only want to synchronize some TCP sessions. For example you can enter the following commands on both FortiGate units to edit the standalone sessions configurations and add a filter so that only HTTP sessions are synchronized

```
config system session-sync
  edit 1
    config filter
      set service HTTP
    end
  end
```







# Appendix

## Document conventions

Fortinet technical documentation uses the conventions described below.

### IPv4 IP addresses

To avoid publication of public IPv4 IP addresses that belong to Fortinet or any other organization, the IP addresses used in Fortinet technical documentation are fictional and follow documentation guidelines specific to Fortinet. The addresses used are from the private IP address ranges defined in RFC 1918: Address Allocation for Private Internets, available at <http://ietf.org/rfc/rfc1918.txt?number-1918>.

Most of the examples in this document use the following IP addressing:

IP addresses are made up of A.B.C.D:

- A - can be one of 192, 172, or 10 - the private addresses covered in RFC 1918.
- B - 168, or the branch / device / virtual device number.
  - Branch number can be 0xx, 1xx, 2xx - 0 is Head office, 1 is remote, 2 is other.
  - Device or virtual device - allows multiple FortiGate units in this address space (VDOMs).
  - Devices can be from x01 to x99.
- C - interface - FortiGate units can have up to 40 interfaces, potentially more than one on the same subnet
  - 001 - 099- physical address ports, and non -virtual interfaces
  - 100-255 - VLANs, tunnels, aggregate links, redundant links, vdom-links, etc.
- D - usage based addresses, this part is determined by what the device is doing. The following gives 16 reserved, 140 users, and 100 servers in the subnet.
  - 001 - 009 - reserved for networking hardware, like routers, gateways, etc.
  - 010 - 099 - DHCP range - users
  - 100 - 109 - FortiGate devices - typically only use 100
  - 110 - 199 - servers in general (see later for details)
  - 200 - 249 - static range - users
  - 250 - 255 - reserved (255 is broadcast, 000 not used)
  - The D segment servers can be farther broken down into:
    - 110 - 119 - Email servers
    - 120 - 129 - Web servers
    - 130 - 139 - Syslog servers
    - 140 - 149 - Authentication (RADIUS, LDAP, TACACS+, FSAE, etc)
    - 150 - 159 - VoIP / SIP servers / managers
    - 160 - 169 - FortiAnalyzers
    - 170 - 179 - FortiManagers
    - 180 - 189 - Other Fortinet products (FortiScan, FortiDB, etc.)
    - 190 - 199 - Other non-Fortinet servers (NAS, SQL, DNS, DDNS, etc.)
    - Fortinet products, non-FortiGate, are found from 160 - 189.

## Example Network

Variations on network shown in [Figure 38](#) are used for many of the examples in this document. In this example, the 172.20.120.0 network is equivalent to the Internet. The network consists of a head office and two branch offices.

**Figure 38: Example network**

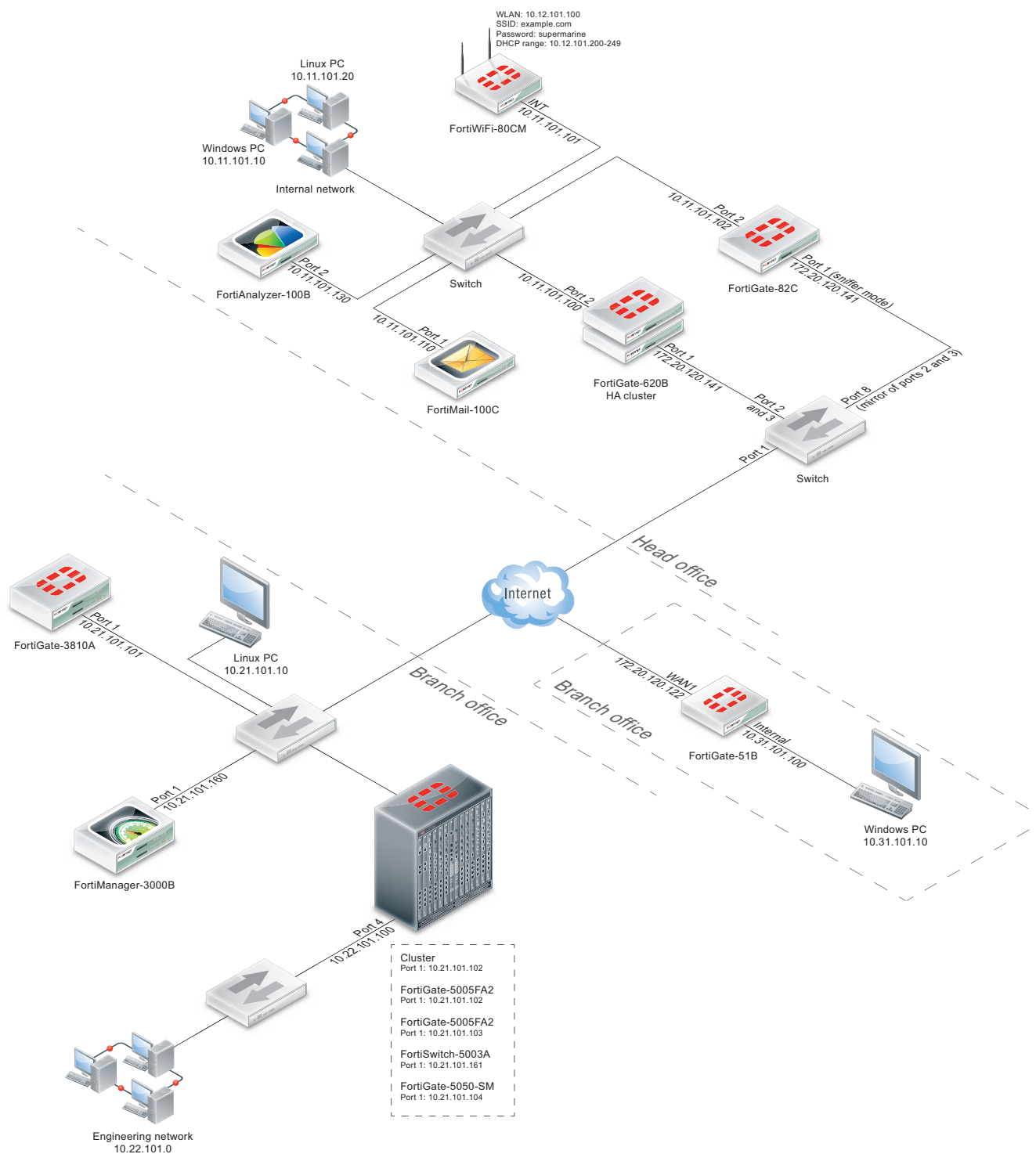


Table 8: Example IPv4 IP addresses

Location and device	Internal	Dmz	External
Head Office, one FortiGate	10.11.101.100	10.11.201.100	172.20.120.191
Head Office, second FortiGate	10.12.101.100	10.12.201.100	172.20.120.192
Branch Office, one FortiGate	10.21.101.100	10.21.201.100	172.20.120.193
Office 7, one FortiGate with 9 VDOMs	10.79.101.100	10.79.101.100	172.20.120.194
Office 3, one FortiGate, web server	n/a	10.31.201.110	n/a
Bob in accounting on the corporate user network (DHCP) at Head Office, one FortiGate	10.0.11.101.200	n/a	n/a
Router outside the FortiGate	n/a	n/a	172.20.120.195

## Tips, must reads, and troubleshooting



A Tip provides shortcuts, alternative approaches, or background information about the task at hand. Ignoring a tip should have no negative consequences, but you might miss out on a trick that makes your life easier.



A Must Read item details things that should not be missed such as reminders to back up your configuration, configuration items that must be set, or information about safe handling of hardware. Ignoring a must read item may cause physical injury, component damage, data loss, irritation or frustration.



A Troubleshooting tip provides information to help you track down why your configuration is not working.

## Typographical conventions

Table 9: Typographical conventions in Fortinet technical documentation

Convention	Example
Button, menu, text box, field, or check box label	From <i>Minimum log level</i> , select <i>Notification</i> .
CLI input	<code>config system dns   set primary &lt;address_ipv4&gt; end</code>
CLI output	<code>FGT-602803030703 # get system settings comments              : (null) opmode                 : nat</code>

**Table 9: Typographical conventions in Fortinet technical documentation**

<b>Emphasis</b>	HTTP connections are <b><i>not</i></b> secure and can be intercepted by a third party.
<b>File content</b>	<HTML><HEAD><TITLE>Firewall Authentication</TITLE></HEAD> <BODY><H4>You must authenticate to use this service.</H4>
<b>Hyperlink</b>	Visit the Fortinet Technical Support web site, <a href="https://support.fortinet.com">https://support.fortinet.com</a> .
<b>Keyboard entry</b>	Type a name for the remote VPN peer or client, such as Central_Office_1.
<b>Navigation</b>	Go to VPN > IPSEC > Auto Key (IKE).
<b>Publication</b>	For details, see the <a href="#">FortiOS Handbook</a> .

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# Index

## Numerics

- 802.3ad
  - aggregate interface, 85
- 802.3ad aggregate interface
  - full mesh HA, 141
  - HA MAC addresses, 86
  - port monitoring, 86

## A

- a-a
  - load balance schedule, 249
- active sessions
  - HA statistics, 178
- active-active
  - device failover, 246
  - IPsec VPN, 245
  - link failover, 246
  - load balancing, 27, 245
  - network processor accelerated interfaces, 248
  - operation mode, 26
  - recommended practice, 41
  - redundant interfaces, 100
  - session failover, 246
  - SSL VPN, 245
  - traffic processed by primary unit, 245
  - UTM sessions continue after a failover, 237
- active-passive
  - device failover, 26
  - failover, 195
  - LACP, 86
  - link failover, 26
  - operating mode, 26
- active-passive mode
  - redundant interfaces, 100
- adding, configuring defining
  - HA, 46
  - port monitoring (HA), 48
- ADM, 248
- advertisement message
  - adjusting the interval, 270
- advertisement messages
  - VRRP, 265
- age
  - age difference margin, 31
  - changing the age difference margin, 31
  - displaying cluster unit age, 32
  - primary unit selection, 31
  - reset the cluster age, 33
  - resetting cluster unit age, 33

- age difference margin, 31
  - changing, 31
- aggregate interface
  - HA MAC addresses, 86
  - interface monitoring, 86
  - recommended practice, 41
- alert email
  - HA, 183
- AMC
  - hard disk, 41
- ARP
- gratuitous, 206
- arp table, 226, 262
- arps
  - CLI command, 206
  - gratuitous, 206
- arps-interval
  - CLI command, 206
- attached network equipment
  - failover, 238
- authentication
  - heartbeat, 205

## B

- back to HA monitor
  - HA statistics, 178
- backup
  - cluster configuration, 182
- backup unit, 20
  - See Also subordinate unit, 20
- BGP
  - graceful restart, 219
- BPDU
  - message exchange, 263
- bridge protocol data unit, 263
- broken cluster unit
  - replacing, 84
- busy
  - load balance, 251

## C

- CB2, 248
- certification, 280
- CLI
  - session-pickup, 199, 233
- CLI command, 52, 57, 64, 69, 76, 79, 89, 94, 102, 107, 124, 129, 144, 149, 209

- cluster
    - adding a new FortiGate unit, 83
    - configuring in transparent mode, 62
    - connecting an HA cluster, 24
    - converting a standalone FortiGate unit, 82
    - definition, 43
    - operating, 155
    - replacing a failed cluster unit, 84
    - virtual cluster, 117
  - cluster configuration
    - backup, 182
    - restore, 182
    - troubleshoot, 55, 60, 67, 73, 77, 81, 90, 96, 103, 109, 113, 145, 151
  - cluster member, 175
    - cluster members list, 176
    - priority, 176
    - role, 176
  - cluster name, 27
  - cluster unit
    - connect to a cluster, 192
    - definition, 43
    - disconnect from a cluster, 192
    - getting information using SNMP, 173, 174
      - getting cluster unit serial numbers, 174
    - getting serial numbers using SNMP, 174
    - SNMP get, 173, 174
  - cluster units, 20
  - configuration
    - backup, 182
    - restore, 182
    - synchronization, 212
  - configuration synchronization, 197
    - disabling, 212
  - configuring a FortiGate unit for HA operation, 23
  - connected monitored interfaces
    - primary unit selection, 30
  - connecting a FortiGate HA cluster, 24
  - console messages
    - synchronization fails, 214
  - conventions, 277
  - CPU usage
    - HA statistics, 178
    - weight, 252
  - cpu usage
    - weighted load balancing, 251
  - customer service, 280
- D**
- dead gateway detection, 228
  - debug
    - diagnose, 217
  - dedicated monitoring
    - interface, 157
  - delaying session pickup, 234
  - device
    - failure, 196
  - device failover, 195, 197
    - active-active, 246
    - active-passive, 26
    - configuration synchronization, 197
    - definition, 43
    - HA heartbeat, 197
    - IPsec SA synchronization, 197
    - route synchronization, 197
    - virtual MAC address, 197
  - device priority, 179
    - primary unit selection, 29, 34
    - subordinate unit, 179
  - DHCP, 40
    - relay, 40
    - server, 40
  - diagnose
    - sys ha reset-uptime, 33
    - sys ha showcsum, 217
  - diagnose debug, 217
  - diagnose hardware deviceinfo nic, 52, 57, 64, 69, 76, 79, 89, 94, 102, 107, 124, 129, 144, 149
    - CLI command, 209
  - diagnose sys ha dump, 32
  - disconnecting a unit from a cluster
    - override, 40
  - documentation
    - conventions, 277
    - Fortinet, 280
  - dynamic routing
    - failover, 219
- E**
- enable session pickup, 233
  - encryption
    - heartbeat, 205
  - execute
    - ha synchronize all, 212
  - explicit web proxy, 236
    - load balancing, 245
- F**
- FA2, 248
  - failed cluster unit
    - replacing, 84
  - failover, 28
    - active-passive, 195
    - and attached network equipment, 238
    - attached network equipment, 263
    - definition, 43
    - delayed, 263
    - device, 195, 197
    - dynamic routing, 219
    - enabling session failover, 233
    - GTP and HA session failover, 237
    - HA, 20
    - heartbeat, 44
    - issues with layer-3 switches, 262
    - link, 44, 195, 222
    - monitoring cluster units, 183
    - session, 196, 233
    - subsecond, 228

- failover protection, 117
  - active-passive operating mode, 26
  - virtual clustering, 117
- failure
  - definition, 43
  - device, 196
  - link, 196, 222
  - multiple link failures, 227
- FB4, 248
- FB8, 248
- FDN, 163
- FGCP
  - definition, 43
  - description, 15
- FGT\_ha\_admin
  - HA administrator account, 191
- file
  - quarantine, 174
- firewall
  - load balancing, 236
- firmware upgrade
  - HA, 180
- FortiAnalyzer, 164
- FortiGate Cluster Protocol
  - description, 15
- FortiGate unit
  - adding to a cluster, 83
  - converting from standalone to a cluster, 82
  - replacing a failed, 84
- FortiGate unit serial number
  - primary unit selection, 35
- FortiGuard, 163
  - Antivirus, 280
- FortiGuard Antispam, 163
- FortiGuard Antivirus, 163
- FortiGuard Distribution Network, 163
- FortiGuard Intrusion Protection, 163
- FortiGuard Web Filtering, 163
- Fortinet
  - Technical Documentation, conventions, 277
  - Technical Support, 280
  - Technical Support, registering with, 280
  - Training Services, 280
- Fortinet customer service, 280
- Fortinet documentation, 280
- forward delay
  - spanning tree parameter, 262
- forwarding
  - MAC forwarding table, 226, 262
- FSM
  - hard disk, 41
- full mesh
  - HA, 139
  - redundant HA heartbeat interfaces, 140
- full mesh HA, 21, 139
  - configuration example, 141
  - definition, 43
  - troubleshooting, 153

## G

- GARP, 206

- get hardware nic, 52, 57, 64, 69, 76, 79, 89, 94, 102, 107, 114, 124, 129, 144, 149, 209
- get system performance status, 170
- grace period
  - age difference margin, 31
  - changing, 31
- graceful restart
  - BGP, 219
  - OSPF, 220
- gratuitous ARP packets, 206
- gratuitous arps, 206
- group ID
  - changing, 210
  - HA configuration option, 28
  - virtual MAC address, 210
- group name
  - HA cluster name, 27
  - HA configuration option, 27
- group-id
  - CLI command, 210
- GTP
  - HA session failover, 237
- GTP UDP
  - session failover, 237

## H

- HA, 176
  - alert email, 183
  - changing firmware upgrade, 181
  - cluster member, 176
  - cluster members list, 175
  - configure weighted-round-robin weights, 250
  - configuring virtual clustering, 121, 123, 128
  - connect a cluster unit, 192
  - definition, 15
  - disconnect a cluster unit, 192
  - event log message, 165
  - FGT\_ha\_admin administrator account, 191
  - firmware upgrade, 180
  - full mesh and 802.3ad aggregate interfaces, 141
  - full mesh and redundant heartbeat interfaces, 140
  - full mesh HA configuration example, 141
  - GTP session failover, 237
  - hello state, 165
  - host name, 176
  - link failover scenarios, 227
  - log message, 165
  - manage individual cluster units, 191
  - manage logs for individual cluster units, 164
  - monitor cluster units for a failover, 183
  - SNMP and reserved management interface, 158
  - standby state, 165
  - states, 165
  - subordinate unit device priority, 179
  - subordinate unit host name, 179
  - VDOM partitioning, 48
  - viewing HA statistics, 177
  - virtual cluster, 117
  - virtual clustering, 46
  - virtual domains, 117
  - work state, 165
- HA group ID
  - changing, 210



- HA group name, 27
  - HA heartbeat, 197
    - definition, 43
  - HA statistics
    - active sessions, 178
    - back to HA monitor, 178
    - CPU usage, 178
    - intrusion detected, 178
    - memory usage, 178
    - monitor, 178
    - network utilization, 178
    - refresh every, 178
    - serial no, 178
    - status, 178
    - total bytes, 178
    - total packets, 178
    - up time, 178
    - virus detected, 178
  - HA virtual MAC address
    - definition, 43
  - ha\_daemon
    - HA user interface, 169
  - ha-eth-type
    - CLI command, 203, 264
  - hard disk
    - AMC, 41
    - FSM, 41
  - hardware
    - get hardware nic command, 52, 57, 64, 69, 76, 79, 89, 94, 102, 107, 124, 129, 144, 149, 209
  - hash map, 201
  - hb-interval, 204
  - hb-lost-threshold, 203
  - hc-eth-type
    - CLI command, 203, 264
  - heartbeat, 197
    - authentication, 205
    - changing the heartbeat interval, 204
    - changing the hello state hold-down time, 204
    - changing the lost heartbeat threshold, 203
    - definition, 43
    - encryption, 205
    - modifying heartbeat timing, 203
  - heartbeat device
    - definition, 43
  - heartbeat failover
    - definition, 44
  - heartbeat interface, 199
    - configuring, 199
    - priority, 199
    - recommended practice, 42
    - selection, 200
    - switch interfaces, 200
    - virtual clustering, 118
  - heartbeat interfaces, 118
  - hello state
    - changing the time to wait, 204
    - definition, 44
  - hello state hold-down time
    - changing, 204
  - helo-holddown, 204
  - high availability
    - definition, 15, 44
  - host name, 179
    - recommended practice, 41
  - hostname
    - cluster members list, 176
  - HTTP multiplexing, 236
    - load balancing, 245
  - HTTPS
    - load balancing, 245
  - hub
    - HA schedule, 246
- ## I
- IM
    - load balancing, 245
  - incremental
    - synchronization, 213
  - index, 201
  - interface
    - dedicated monitoring, 157
    - failover, 222
    - HA heartbeat, 199
    - heartbeat, 199
    - monitor, 222
    - reserved management interface, 157
  - interface index
    - hash map order, 201
  - interface monitoring, 42
    - aggregate interfaces, 86
    - definition, 44
    - redundant interfaces, 99
  - interval
    - changing the heartbeat interval, 204
  - introduction
    - Fortinet documentation, 280
  - intrusion detected
    - HA statistics, 178
  - IP, 247
  - IP address
    - private network, 277
  - IP monitoring
    - remote, 228
  - IP port
    - HA schedule, 247
  - IPsec
    - SAs, 221
    - security associations, 221
  - IPsec VPN
    - load balancing, 245
  - IPsec VPN SA
    - synchronization, 197
- ## K
- keyword, 36
- ## L
- l2ep-eth-type
    - CLI command, 203, 264
  - L2TP, 236



- LACP, 85, 86
  - active-passive HA mode, 86
- LACPDU, 87
- lacp-ha-slave
  - CLI keyword, 86, 264
- LAG, 85
- layer-2 switch
  - troubleshooting, 261
- layer-3 switch
  - failover issues, 262
- LDAP, 162, 163
- Least-Connection
  - HA schedule, 246
- link
  - failure, 196
  - multiple link failures, 227
- Link Aggregation Control Protocol, 86
- link failover, 195, 222
  - active-active, 246
  - active-passive, 26
  - aggregate interfaces, 86
  - definition, 44
  - not detected by high-end switches, 226
  - redundant interfaces, 99
- link failure
  - remote, 228
- link-failed-signal, 226
  - CLI, 226
- load balance
  - according to loading, 251
  - cpu usage, 251
  - explicit web proxy, 245
  - how busy, 251
  - HTTP multiplexing, 245
  - HTTPS, 245
  - IM, 245
  - IPsec VPN, 245
  - memory usage, 251
  - P2P, 245
  - proxy UTM sessions, 251
  - schedule, 249
  - SSL offloading, 245
  - SSL VPN, 245
  - VoIP, 245
  - WAN optimization, 245
  - WCCP, 245
- load balancing, 20
  - active-active, 27, 245
  - definition, 44
  - load-balance-all, 245
  - traffic not load balanced by active-active HA, 245
- load-balance-all, 249
  - enabling, 245
  - recommended practice, 41
- log message
  - HA, 165
- log messages
  - example log message scenarios, 166
  - HA, 166
  - primary unit removed from cluster, 167
- logging, 164
  - example log message scenarios, 166
  - HA log messages, 166

- logs
  - managing for individual cluster units, 164
- lost heartbeat threshold
  - changing, 203

## M

- MAC
  - MAC forwarding table, 226, 262
- MAC address
  - aggregate interfaces, 86
  - redundant interfaces, 99
  - virtual, 205
  - VRRP virtual, 266
- MAC forwarding tables, 226, 262
- manage cluster units
  - HA, 191
- management interface
  - reserved, 157
- master unit
  - See Also primary unit, 20
- maximum age
  - spanning tree parameter, 262
- memory usage
  - HA statistics, 178
  - weight, 252
  - weighted load balancing, 251
- MIB, 171
  - HA, 171
- monitor
  - HA statistics, 178
  - interface, 222
  - port, 222
- monitored interface
  - definition, 44
  - primary unit selection, 30

## N

- NAT/Route mode
  - general configuration steps, 50, 87, 100, 122
  - HA network topology, 50
  - reserved management interface, 158
  - web-based manager configuration steps, 51, 55, 62, 67, 75, 78
- network
  - train, 206
- network equipment
  - failover time, 263
- network processor accelerated interfaces
  - accelerate active-active HA, 248
- network topology
  - NAT/Route mode HA, 50
- network utilization
  - HA statistics, 178
- nic
  - get hardware nic, 52, 57, 64, 69, 76, 79, 89, 94, 102, 107, 124, 129, 144, 149, 209
- none
  - HA schedule, 246
- NP1, 248
- NP2, 248
- NP4, 248

**O**

- OID, 171, 173, 174
- operating a cluster, 155
- operating mode
  - active-passive, 26
- operation mode
  - active-active, 26
- OSFP
  - graceful restart, 220
- out of band management, 157
- override, 36
  - and primary unit selection, 36
  - configuration changes lost, 39
  - disconnecting a unit from a cluster, 40
  - primary unit selection, 34, 38

**P**

- P2P
  - load balancing, 245
- packet
  - gratuitous ARP, 206
- password
  - HA configuration option, 27
- peer, 179
- performance
  - improving session pickup performance, 233
- periodic
  - synchronization, 213
- port monitor, 222
  - virtual clustering, 119
- port monitoring, 42
  - aggregate interfaces, 86
  - redundant interfaces, 99
- PPP, 40
- PPPoE, 40
- PPTP, 236
- preempt mode
  - VRRP, 270
- primary cluster unit
  - definition, 44
- primary unit, 20
  - connected monitored interfaces, 30
  - definition, 44
  - getting information using SNMP, 171
  - override keyword, 36
  - recovery after a failover, 197
  - selection, 28
  - SNMP get, 171
- primary unit selection
  - age, 30, 31
  - basic, 29
  - device priority, 29, 34
  - FortiGate unit serial number, 35
  - interface monitoring, 30
  - monitored interfaces, 30
  - override, 34, 36, 38
  - serial number, 35
- priority
  - cluster members, 176
  - heartbeat interface, 199

- product registration, 280
- proxy
  - explicit web, 236
- proxy UTM sessions
  - weighted load balancing, 251

**Q**

- quarantine
  - file, 174

**R**

- RADIUS, 162, 163
- random
  - HA schedule, 247
- recommended practice, 42
- redundant interface
  - recommended practice, 41
  - active-active mode, 100
  - active-passive mode, 100
  - HA, 21, 139
  - HA MAC addresses, 99
  - port monitoring, 99
- refresh every
  - HA statistics, 178
- registering
  - with Fortinet Technical Support, 280
- relay
  - DHCP, 40
- remote IP monitoring, 228
- remote link failover
  - recommended practice, 42
  - virtual clustering, 119
- remote link failure, 228
- replacement FortiGate unit
  - adding to a cluster, 84
- replacing a broken cluster unit, 84
- replacing a failed cluster unit, 84
- reserved management interface, 157
  - NAT/Route mode, 158
  - transparent mode, 158
- reset age
  - command, 33
- reset uptime
  - command, 33
- reset-uptime
  - diagnose command, 33
- restore
  - cluster configuration, 182
- RFC
  - 1918, 277
- role
  - cluster members, 176
- Round-Robin
  - HA schedule, 246
- route hold, 220
- route synchronization, 197
- route-hold, 220
- route-ttl, 220
- route-wait, 220

- routing table updates
  - synchronizing, 219

## S

### SA

- IPsec, 221

### schedule

- load balance, 249

### security association

- IPsec, 221

- selecting the primary unit, 28

### serial no

- HA statistics, 178

### serial number

- getting using SNMP, 174

- primary unit selection, 35

### server

- DHCP, 40

### session

- failover, 196

### session failover, 26, 233

- active-active, 246

- definition, 45

- enabling, 233

- failover

- session, 195

- GTP and HA, 237

- SIP, 235

### session pick-up

- definition, 45

### session pickup, 26

- delay, 234

- enable, 233

- enhancing performance, 233

- improving performance, 233

- recommended practice, 41

- selecting FortiGate interfaces to use, 234

### session synchronization

- between two standalone FortiGate units, 271

- improving performance, 233

- using multiple FortiGate interfaces, 234

### session-pickup, 170

- CLI command, 199, 233

### session-pickup-delay, 170, 233

### session-sync-dev, 234

### SIP

- session failover, 235

### slave unit, 20

- See Also subordinate unit, 20

### SNMP, 171

- HA reserved management interface, 158

- MIB, 171

- trap, 171

### SNMP get

- any cluster unit, 173, 174

- primary unit, 171

- subordinate unit, 173, 174

### snmpget, 161, 162, 173

### spanning tree

- forward delay, 262

- maximum age, 262

- spanning tree protocol, 263

- settings and HA, 262

### split brain, 199

- heartbeat, 42

### SSL offloading, 236

- load balancing, 245

### SSL VPN

- load balancing, 245

### standalone FortiGate unit

- adding to a cluster, 83

- converting to a cluster, 82

### standalone session synchronization, 271

- filters, 271

### standby state

- definition, 45

- HA, 165

### state

- hello, 44

- standby, 45

- work, 46

### state synchronization

- definition, 45

### static weight, 250

### statistics

- viewing HA statistics, 177

### status

- HA statistics, 178

### STP, 263

### sub second failover, 228

### subordinate cluster unit

- definition, 45

### subordinate unit, 20

- definition, 45

- getting information using SNMP, 173, 174

- getting serial numbers using SNMP, 174

- SNMP get, 173, 174

### sub-second failover, 228

### subsecond failover, 228

### switch

- link failover, 226

- troubleshooting layer-2 switches, 261

### switch interface

- heartbeat interface, 200

### synchronization

- configuration, 212

- failure console messages, 214

- incremental, 213

- IPsec VPN SA, 197

- periodic, 213

- route, 197

- sessions between standalone FortiGate units, 271

- TCP sessions between standalone FortiGate units, 271

### synchronize all

- CLI command, 212

### synchronizing routing table updates, 219

### synchronizing the configuration

- disabling, 212

### sys ha showcsum

- diagnose, 217

**T**

- table
  - arp, 226, 262
  - MAC forwarding table, 226, 262
- TACACS+, 162
- TCP session synchronization, 16, 271
  - between two standalone FortiGate units, 271
  - filters, 271
- TCP sessions
  - load-balance-all, 245
- technical
  - documentation conventions, 277
  - support, 280
- technical support, 280
- third-party products, 261
- time to live for routes, 220
- timing
  - modifying heartbeat timing, 203
- total bytes
  - HA statistics, 178
- total packets
  - HA statistics, 178
- train the network, 206
- Training Services, 280
- Transparent mode
  - configuring an active-active HA cluster, 62
  - general configuration steps, 62
- transparent mode
  - reserved management interface, 158
- trap
  - SNMP, 171
- troubleshoot
  - cluster configuration, 55, 60, 67, 73, 77, 81, 90, 96, 103, 109, 113, 145, 151
- troubleshooting
  - communication sessions lost after a failover, 220
  - full mesh HA, 153
  - layer-2 switch, 261

**U**

- UDP
  - GTP session failover, 237
- up time
  - HA statistics, 178
- updating switch arp tables, 226
- UTM
  - sessions continue after active-active HA failover, 237
- UTM proxy
  - weight, 252

**V**

- VDOM
  - partitioning (HA), 46

- VDOM partitioning
  - HA, 48
- virtual cluster, 117, 118
  - and virtual domains, 117
  - configuring, 121, 123, 128
- virtual clustering, 21
  - definition, 46
  - port monitoring, 119
  - remote link failover, 119
- virtual IP, 236
- virtual MAC address, 197, 205
  - definition, 43
  - group ID, 210
  - how its determined, 207
  - VRRP, 266
- virtual router MAC address
  - VRRP, 266
- Virtual Router Redundancy Protocol, 265
- virtual server, 236
- virus detected
  - HA statistics, 178
- VoIP
  - load balancing, 245
- VRRP, 265
  - adjusting the advertisement message interval, 270
  - advertisement messages, 265
  - Configuring, 267
  - destination IP address, 270
  - example, 267, 269
  - preempt mode, 270
  - startup time, 270
  - virtual MAC address, 266

**W**

- WAN optimization, 236, 237
  - load balancing, 245
- WCCP, 236
  - load balancing, 245
- web proxy, 236
- web-based manager configuration steps
  - NAT/Route mode, 51, 55, 62, 67, 75, 78
- weight
  - static, 250
- weighted round-robin
  - HA schedule, 246
- weighted-round-robin
  - configuring weights, 250
- work state
  - definition, 46
  - HA, 165

**X**

- XD4, 248

